

**CITY OF BATAVIA**  
100 N. Island Avenue, Batavia, IL 60510  
(630) 454-2000 <http://cityofbatavia.net>

**Committee of the Whole Agenda**  
Tuesday, August 30, 2016  
7:30 PM Council Chambers 1st Floor

1. Roll Call
2. Items Removed/Added/Changed
3. Matters From The Public (For Items NOT On Agenda)
4. Consent Agenda:
  - a. July Financials

Documents:

[JULY 2016 CITY COUNCIL REPORT.PDF](#)

5. Resolution 16-74-R Authorizing Execution Of An Agreement With Hitachi HVB, Inc. For 345kV Breaker Maintenance For \$50,000 (Bob Rogde 8/22/16) PU

Documents:

[RES 16-74-R AUTHORIZE EXEC-AGRMT FOR 345KV BREAKER MAINTENANCE W HVB.PDF](#)

6. Resolution 16-75-R Authorizing Execution Of A Master Services Agreement With Schweitzer Engineering Laboratories, Inc. (Bob Rogde 8/23/16) PU

Documents:

[RES 16-75-R APPROVING MSA W SCHWEITZER ENGINEERING LABORATORIES INC.PDF](#)

7. Resolution 16-76-R Authorizing Execution Of An Agreement With Schweitzer Engineering Laboratories, Inc For Task 1for An Amount Not To Exceed \$148,200.00 (Bob Rogde 8/23/16) PU

Documents:

[RES 16-76-R AUTHORIZE EXEC-AGRMT W SEL-TASK 1.PDF](#)

8. Project Status
9. Other
10. Executive Session: Sale/Purchase Of Real Estate
11. Adjournment

**City of Batavia**

**July 31, 2016**

**Financial Report**

SUNGARD PENTAMATION  
 DATE: 08/24/2016  
 TIME: 11:30:07

CITY OF BATAVIA  
 PRINT BALANCE SHEETS BY FUND

PAGE NUMBER: 1  
 STATMN11

SELECTION CRITERIA: ALL  
 ACCOUNTING PERIOD: 7/16

FUND - 10 - GENERAL FUND

ACCOUNT	TITLE	DEBITS	CREDITS
1020	CASH	46,419.07	
1021	CASH - ILLINOIS FUNDS	7,567,812.37	
1023	E-PAY CASH	23,733.04	
1029	COM DEVL PETTY CASH	150.00	
1030	PETTY CASH	300.00	
1031	RECORDS PETTY CASH	100.00	
1040	GOV FUND CUSTODY	5,330.47	
1042	CITIBANK PMA LIQUID	527,331.39	
1046	R-CASH HAZ WASTE FUNDS	121,828.73	
1120	INVESTMENTS	5,733,400.00	
	TOTAL CASH AND INVESTMENTS	14,026,405.07	.00
1121	MARKET ADJ		968.10
	TOTAL NON-CASH CURRENT ASSETS	.00	968.10
1122	NET PREMIUM-DISCOUNT		1,390.75
	TOTAL CASH AND INVESTMENTS	.00	1,390.75
1201	CURRENT PROPERTY TAX	6,248,072.00	
1202	MISC RECEIVABLE	35,881.71	
1203	UNAPPLIED CREDIT	50.89	
1204	ALLOW UNCOLLECT PROP TAX		62,480.72
1207	INTEREST RECEIVABLE	17,392.20	
1210	COURT FINES RECEIVABLE	8,979.45	
1214	CURRENT SSA TAX	6,571.11	
1215	SALES TAX RECEIVABLE	1,407,183.72	
1218	INCOME TAX PAST DUE	412,161.01	
1220	INCOME TAX RECEIVABLE	257,115.27	
1221	LOCAL USE TAX RECEIVABLE	222,541.42	
1222	SIMPLIFIED TAX RECEIVABLE	220,777.77	
1223	GASOLINE TAX RECEIVABLE	15,206.33	
1224	GAS USE TAX RECEIVABLE	71,708.79	
1225	HOME RULE TAX REC	1,008,636.46	
1233	MULTIMEDIA CABLE REC	117,599.34	
1235	UNBILLED UTILITIES REC	148,989.94	
	TOTAL NON-CASH CURRENT ASSETS	10,198,867.41	62,480.72
1270	GAS- CAPITAL SURCHARGE		8,435.37
1275	GASOLINE-INVENTORY	38,675.69	
1280	VEOLIA INVENTORY		579.21
1282	POSTAGE ON HAND	10,426.97	
	TOTAL INVENTORY	49,102.66	9,014.58
1311	DUE FROM TIF FD #11	1,375,000.00	
1342	DUE FR DP BRIDGE #42	15,091.60	
	TOTAL DUE FROM'S	1,390,091.60	.00
1504	UTIL TAX BILLED-CUSTOMER	172,222.90	
1505	UTIL TAX - MARKET CUST	14,856.21	

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SELECTION CRITERIA: ALL  
 ACCOUNTING PERIOD: 7/16

FUND - 10 - GENERAL FUND

ACCOUNT	TITLE	DEBITS	CREDITS
1520	LEAF & BRUSH RECEIVABLE	59,175.48	
1730	ALLOWANCE FOR BAD DEBT		6,952.62
TOTAL NON-CASH CURRENT ASSETS		246,254.59	6,952.62
TOTAL ASSETS		25,910,721.33	80,806.77
1911	BATAVIA ENV COMMISSION		1,417.60
1912	MISC ESCROW/HOLDING		58,380.00
1925	LIQUOR&TOBACCO VIOLATIONS		4,750.00
1955	AS-BUILT ESCROW		13,088.78
1962	ENG DEVELOPER ESCROW		8,000.00
1973	NONREFUNDABLE TREE PYMNTS		18,517.37
1974	TREE DEPOSITS-DEVELOPERS		8,383.00
1976	LOT GRADING DEPOSITS		25,000.00
1977	SIDEWALK DEPOSITS		6,900.00
1978	ROW DEPOSITS		55.00
1979	DRIVEWAY DEPOSITS		3,386.70
1980	PSN PAYABLE CC FEES		3,790.19
TOTAL DEPOSITS/ESCROWS		.00	151,668.64
2002	ED CREDIT PAYABLE		123,298.53
2012	WAGES PAYABLE		823,770.31
2014	OTHER PAYABLE		24,247.89
2086	VAUGHAN		405.70
TOTAL CURRENT LIABILITIES		.00	971,722.43
TOTAL LIABILITIES		.00	1,123,391.07
3010	DEFERRED REVENUE		6,192,162.39
TOTAL DEFERRED EXPENSE/REVENUE		.00	6,192,162.39
3024	ASSIGNED FUND BALANCE		672,325.00
3025	FUND BALANCE/NET ASSETS		17,692,746.69
TOTAL FUND BALANCE		.00	18,365,071.69
TOTAL CONTROL ACCOUNTS		41,653,795.29	41,803,084.70
TOTAL EQUITIES		41,653,795.29	66,360,318.78
TOTAL GENERAL FUND		67,564,516.62	67,564,516.62

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SELECTION CRITERIA: ALL  
 ACCOUNTING PERIOD: 7/16

FUND - 11 - T.I.F. DISTRICT

ACCOUNT	TITLE	DEBITS	CREDITS
1020	CASH	428,618.33	
	TOTAL CASH AND INVESTMENTS	428,618.33	.00
1201	CURRENT PROPERTY TAX	813,778.70	
1202	MISC RECEIVABLE	2,712.41	
1212	LOAN RECEIVABLE	65,121.88	
1213	FORGIVABLE LOAN REC	34,000.00	
	TOTAL NON-CASH CURRENT ASSETS	915,612.99	.00
	TOTAL ASSETS	1,344,231.32	.00
2011	ACCOUNTS PAYABLE-MANUAL		56,638.69
2036	RETAINAGE PAYABLE		66,712.90
	TOTAL CURRENT LIABILITIES	.00	123,351.59
2410	DUE TO GENERAL FUND #10		1,375,000.00
	TOTAL DUE TO'S	.00	1,375,000.00
	TOTAL LIABILITIES	.00	1,498,351.59
3010	DEFERRED REVENUE		813,778.70
	TOTAL DEFERRED EXPENSE/REVENUE	.00	813,778.70
3025	FUND BALANCE/NET ASSETS	1,129,139.29	
	TOTAL FUND BALANCE	1,129,139.29	.00
	TOTAL CONTROL ACCOUNTS	1,086,804.25	1,248,044.57
	TOTAL EQUITIES	2,215,943.54	2,061,823.27
	TOTAL T.I.F. DISTRICT	3,560,174.86	3,560,174.86

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FUND - 12 - TIF DISTRICT #3

ACCOUNT - - - - -	TITLE - - - - -	DEBITS	CREDITS
1020	CASH	168,029.45	
TOTAL CASH AND INVESTMENTS		168,029.45	.00
1201	CURRENT PROPERTY TAX	311,947.70	
1202	MISC RECEIVABLE	1,151.23	
1212	LOAN RECEIVABLE	46,047.42	
TOTAL NON-CASH CURRENT ASSETS		359,146.35	.00
TOTAL ASSETS		527,175.80	.00
3010	DEFERRED REVENUE		311,947.70
TOTAL DEFERRED EXPENSE/REVENUE		.00	311,947.70
3025	FUND BALANCE/NET ASSETS		58,039.98
TOTAL FUND BALANCE		.00	58,039.98
TOTAL CONTROL ACCOUNTS		323,814.00	481,002.12
TOTAL EQUITIES		323,814.00	850,989.80
TOTAL TIF DISTRICT #3		850,989.80	850,989.80

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SELECTION CRITERIA: ALL  
ACCOUNTING PERIOD: 7/16

FUND - 15 - CITY'S HEALTH BENEFIT

ACCOUNT	TITLE	DEBITS	CREDITS
1020	CASH	546,278.88	
1040	GOV FUND CUSTODY	6,041.71	
1042	CITIBANK PMA LIQUID	6,737.58	
1120	INVESTMENTS	215,000.00	
TOTAL	CASH AND INVESTMENTS	774,058.17	.00
1121	MARKET ADJ		3,149.05
TOTAL	NON-CASH CURRENT ASSETS	.00	3,149.05
1122	NET PREMIUM-DISCOUNT	4,392.45	
TOTAL	CASH AND INVESTMENTS	4,392.45	.00
1207	INTEREST RECEIVABLE	3,127.65	
TOTAL	NON-CASH CURRENT ASSETS	3,127.65	.00
TOTAL	ASSETS	781,578.27	3,149.05
1970	ACCRUED CLAIMS		562,871.06
TOTAL	DEPOSITS/ESCROWS	.00	562,871.06
TOTAL	LIABILITIES	.00	562,871.06
3025	FUND BALANCE/NET ASSETS		1,216,714.96
TOTAL	FUND BALANCE	.00	1,216,714.96
TOTAL	CONTROL ACCOUNTS	8,228,785.22	7,227,628.42
TOTAL	EQUITIES	8,228,785.22	8,444,343.38
TOTAL	CITY'S HEALTH BENEFIT	9,010,363.49	9,010,363.49

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ACCOUNTING PERIOD: 7/16

FUND - 18 - STREET IMPRV/MFT

ACCOUNT - - - - -	TITLE - - - - -	DEBITS	CREDITS
1020	CASH	1,719,610.06	
	TOTAL CASH AND INVESTMENTS	1,719,610.06	.00
1232	MFT RECEIVABLE	58,780.77	
	TOTAL NON-CASH CURRENT ASSETS	58,780.77	.00
	TOTAL ASSETS	1,778,390.83	.00
3025	FUND BALANCE/NET ASSETS		1,400,799.54
	TOTAL FUND BALANCE	.00	1,400,799.54
	TOTAL CONTROL ACCOUNTS	645,000.00	1,022,591.29
	TOTAL EQUITIES	645,000.00	2,423,390.83
	TOTAL STREET IMPRV/MFT	2,423,390.83	2,423,390.83

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ACCOUNTING PERIOD: 7/16

FUND - 20 - WORKMEN'S COMP BENEFITS

ACCOUNT	TITLE	DEBITS	CREDITS
1020	CASH	621,454.64	
1021	CASH - ILLINOIS FUNDS	1,029,903.66	
1040	GOV FUND CUSTODY	2,488.12	
1120	INVESTMENTS	500,000.00	
TOTAL	CASH AND INVESTMENTS	2,153,846.42	.00
1121	MARKET ADJ		2,290.00
1207	INTEREST RECEIVABLE	2,533.68	
TOTAL	NON-CASH CURRENT ASSETS	2,533.68	2,290.00
TOTAL	ASSETS	2,156,380.10	2,290.00
1970	ACCRUED CLAIMS		277,554.99
1992	CLAIMS RESERVE		537,757.84
TOTAL	DEPOSITS/ESCROWS	.00	815,312.83
TOTAL	LIABILITIES	.00	815,312.83
3025	FUND BALANCE/NET ASSETS		1,442,647.43
TOTAL	FUND BALANCE	.00	1,442,647.43
TOTAL	CONTROL ACCOUNTS	1,047,420.04	943,549.88
TOTAL	EQUITIES	1,047,420.04	2,386,197.31
TOTAL	WORKMEN'S COMP BENEFITS	3,203,800.14	3,203,800.14

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FUND - 21 - ELECTRIC UTILITY

ACCOUNT	TITLE	DEBITS	CREDITS
1020	CASH	2,519,968.89	
1023	E-PAY CASH	3,007.85	
1035	UB-CASH DRAWER	350.00	
1040	GOV FUND CUSTODY	1,255,310.87	
1042	CITIBANK PMA LIQUID	27,036.25	
1120	INVESTMENTS	16,703,200.00	
	TOTAL CASH AND INVESTMENTS	20,508,873.86	.00
1121	MARKET ADJ		36,730.52
	TOTAL NON-CASH CURRENT ASSETS	.00	36,730.52
1122	NET PREMIUM-DISCOUNT	16,796.28	
	TOTAL CASH AND INVESTMENTS	16,796.28	.00
1140	PREPAID EXPENSE	1,124,088.91	
1155	DEPOSITS PJM	90,000.00	
1202	MISC RECEIVABLE	94,942.57	
1203	UNAPPLIED CREDIT	300.00	
1207	INTEREST RECEIVABLE	40,008.49	
1235	UNBILLED UTILITIES REC	3,552,292.38	
1250	INVENTORY	2,215,835.80	
1253	TRANSFORMERS	781,837.32	
1254	ELECTRIC METERS	33,401.71	
1525	STATE UTILITY TAX	109,242.95	
1526	STATE TAX - MARKET CUST	9,826.90	
1540	ELECTRIC ACCTS RECEIVABLE	3,890,487.14	
1545	ELECTRIC MARKET BASE A/R	371,405.41	
1730	ALLOWANCE FOR BAD DEBT		69,270.90
1735	ALLOWANCE OBSOLETE INV		13,277.75
	TOTAL NON-CASH CURRENT ASSETS	12,313,669.58	82,548.65
1801	CONSTRUCTION IN PROCESS	489,822.00	
1802	LAND	121,034.23	
1803	LEASHOLD EXCHANGE	8,014,166.61	
1804	STRUCTURES & IMPROVE	321,381.36	
1808	PLANT & IMPROVEMENTS	69,546,163.72	
1820	VEHICLES & EQUIPMENT	1,796,588.92	
1830	ACCUMULATED DEP V&E&LH		2,479,151.78
1831	ACCUMULATED DEP PLANT		27,305,138.14
	TOTAL NON-CURRENT ASSESTS	80,289,156.84	29,784,289.92
	TOTAL ASSETS	113,128,496.56	29,903,569.09
2010	ACCOUNTS PAYABLE		3,251,773.52
2012	WAGES PAYABLE		127,427.36
2013	COMP ABSENCES PAYABLE		184,570.84
2014	OTHER PAYABLE		533.29
2019	STATE EXCISE TAX PAYABLE		222,078.77
2115	UTILITY CUSTOMER DEPOSITS		85,831.85

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CITY OF BATAVIA  
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SELECTION CRITERIA: ALL  
ACCOUNTING PERIOD: 7/16

FUND - 21 - ELECTRIC UTILITY

ACCOUNT - - - -	TITLE - - - -	DEBITS	CREDITS
TOTAL CURRENT LIABILITIES		.00	3,872,215.63
2122	IMRF NET PENSION LIAB		1,535,728.00
2128	UNAMORTIZED PREMIUM		274,912.60
2129	UNAMORT LOSS ON REF	1,359,804.63	
2131	G O BONDS PAYABLE		22,570,000.00
TOTAL LONG-TERM LIABILITIES		1,359,804.63	24,380,640.60
TOTAL LIABILITIES		1,359,804.63	28,252,856.23
3010	DEFERRED REVENUE		25,000.00
TOTAL DEFERRED EXPENSE/REVENUE		.00	25,000.00
3015	CONTRIBUTED CAPITAL		285,423.90
3025	FUND BALANCE/NET ASSETS		53,731,769.79
TOTAL FUND BALANCE		.00	54,017,193.69
TOTAL CONTROL ACCOUNTS		81,198,480.79	83,488,162.97
TOTAL EQUITIES		81,198,480.79	137,530,356.66
TOTAL ELECTRIC UTILITY		195,686,781.98	195,686,781.98

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CITY OF BATAVIA  
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SELECTION CRITERIA: ALL  
 ACCOUNTING PERIOD: 7/16

FUND - 30 - WATER UTILITY

ACCOUNT	TITLE	DEBITS	CREDITS
1020	CASH	1,442,519.89	
1021	CASH - ILLINOIS FUNDS	150,249.53	
1023	E-PAY CASH	300.00	
1040	GOV FUND CUSTODY	9,630.10	
1042	CITIBANK PMA LIQUID	506,157.01	
1120	INVESTMENTS	1,193,200.00	
	TOTAL CASH AND INVESTMENTS	3,302,056.53	.00
1121	MARKET ADJ		9,506.25
	TOTAL NON-CASH CURRENT ASSETS	.00	9,506.25
1122	NET PREMIUM-DISCOUNT	8,036.70	
	TOTAL CASH AND INVESTMENTS	8,036.70	.00
1202	MISC RECEIVABLE	7,700.45	
1207	INTEREST RECEIVABLE	13,824.98	
1235	UNBILLED UTILITIES REC	295,242.38	
1625	WATER ACCTS RECEIVABLE	374,497.61	
1730	ALLOWANCE FOR BAD DEBT		11,173.45
	TOTAL NON-CASH CURRENT ASSETS	691,265.42	11,173.45
1801	CONSTRUCTION IN PROCESS	32,720.68	
1802	LAND	907,733.28	
1808	PLANT & IMPROVEMENTS	9,856,525.39	
1810	WELLS & EQUIPMENT	3,634,936.67	
1812	WATER MAINS	20,600,084.33	
1814	WATER STORAGE TOWERS	3,363,585.30	
1818	HYDRANTS & METERS	432,285.36	
1820	VEHICLES & EQUIPMENT	234,383.46	
1830	ACCUMULATED DEP V&E&LH		138,412.85
1831	ACCUMULATED DEP PLANT		13,720,974.01
	TOTAL NON-CURRENT ASSETS	39,062,254.47	13,859,386.86
	TOTAL ASSETS	43,063,613.12	13,880,066.56
1931	B-BOX DEPOSITS-WATER		2,325.00
1933	WATER METER DEPOSIT		2,025.00
	TOTAL DEPOSITS/ESCROWS	.00	4,350.00
2012	WAGES PAYABLE		57,372.41
2013	COMP ABSENCES PAYABLE		199,896.07
2115	UTILITY CUSTOMER DEPOSITS		12,565.00
	TOTAL CURRENT LIABILITIES	.00	269,833.48
2122	IMRF NET PENSION LIAB		673,458.00
2127	ACCRUED INTEREST PAYABLE		13,124.58
2128	UNAMORTIZED PREMIUM		144,642.67
2131	G O BONDS PAYABLE		3,155,000.00
2145	IEPA LOAN L17-2420		1,684,082.50

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FUND - 30 - WATER UTILITY

ACCOUNT - - - -	TITLE - - - -	DEBITS	CREDITS
2146	IEPA LOAN L17-2546		261,882.21
2147	IEPA LOAN L17-2262		1,828,183.23
2148	IEPA LOAN L17-2304		947,366.35
	TOTAL LONG-TERM LIABILITIES	.00	8,707,739.54
	TOTAL LIABILITIES	.00	8,981,923.02
3015	CONTRIBUTED CAPITAL		7,830,831.98
3025	FUND BALANCE/NET ASSETS		12,032,850.51
	TOTAL FUND BALANCE	.00	19,863,682.49
	TOTAL CONTROL ACCOUNTS	7,329,317.15	7,667,258.20
	TOTAL EQUITIES	7,329,317.15	27,530,940.69
	TOTAL WATER UTILITY	50,392,930.27	50,392,930.27

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FUND - 31 - SEWER UTILITY

ACCOUNT	TITLE	DEBITS	CREDITS
1020	CASH	2,378,780.70	
1021	CASH - ILLINOIS FUNDS	225,461.79	
TOTAL	CASH AND INVESTMENTS	2,604,242.49	.00
1202	MISC RECEIVABLE	120.72	
1207	INTEREST RECEIVABLE	2,036.81	
1235	UNBILLED UTILITIES REC	389,440.07	
1725	SEWER ACCTS RECEIVABLE	405,448.31	
1730	ALLOWANCE FOR BAD DEBT		12,073.62
TOTAL	NON-CASH CURRENT ASSETS	797,045.91	12,073.62
1801	CONSTRUCTION IN PROCESS	908,167.03	
1802	LAND	89,066.12	
1809	SEWER LS & EQUIP	4,561,529.13	
1820	VEHICLES & EQUIPMENT	250,899.50	
1824	SEWAGE PLANT	23,482,019.61	
1826	SEWER MAINS	17,015,135.37	
1830	ACCUMULATED DEP V&E&LH		155,221.06
1831	ACCUMULATED DEP PLANT		21,131,891.84
TOTAL	NON-CURRENT ASSESTS	46,306,816.76	21,287,112.90
TOTAL	ASSETS	49,708,105.16	21,299,186.52
2012	WAGES PAYABLE		50,988.43
2013	COMP ABSENCES PAYABLE		84,153.87
2115	UTILITY CUSTOMER DEPOSITS		12,368.76
TOTAL	CURRENT LIABILITIES	.00	147,511.06
2122	IMRF NET PENSION LIAB		546,230.00
2127	ACCRUED INTEREST PAYABLE		11,766.66
2128	UNAMORTIZED PREMIUM		95,828.75
2131	G O BONDS PAYABLE		3,530,000.00
2149	RECOVERY ZONE BONDS		510,762.72
TOTAL	LONG-TERM LIABILITIES	.00	4,694,588.13
TOTAL	LIABILITIES	.00	4,842,099.19
3015	CONTRIBUTED CAPITAL		14,069,329.64
3025	FUND BALANCE/NET ASSETS		9,084,527.52
TOTAL	FUND BALANCE	.00	23,153,857.16
TOTAL	CONTROL ACCOUNTS	9,562,025.13	9,974,987.42
TOTAL	EQUITIES	9,562,025.13	33,128,844.58
TOTAL	SEWER UTILITY	59,270,130.29	59,270,130.29

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ACCOUNTING PERIOD: 7/16

FUND - 33 - FLOOD/BLDG PROJECTS FUND

ACCOUNT - - - - -	TITLE - - - - -	DEBITS	CREDITS
1020	CASH	889,200.82	
TOTAL CASH AND INVESTMENTS		889,200.82	.00
TOTAL ASSETS		889,200.82	.00
3025	FUND BALANCE/NET ASSETS		415,739.01
TOTAL FUND BALANCE		.00	415,739.01
TOTAL CONTROL ACCOUNTS		877,722.02	1,351,183.83
TOTAL EQUITIES		877,722.02	1,766,922.84
TOTAL FLOOD/BLDG PROJECTS FUND		1,766,922.84	1,766,922.84

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CITY OF BATAVIA  
PRINT BALANCE SHEETS BY FUND

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SELECTION CRITERIA: ALL  
ACCOUNTING PERIOD: 7/16

FUND - 41 - FIRE STATION DEBT SERVICE

ACCOUNT - - - - -	TITLE - - - - -	DEBITS	CREDITS
1020	CASH	621,625.90	
1025	CASH WITH FISCAL AGENT	601,725.00	
TOTAL CASH AND INVESTMENTS		1,223,350.90	.00
TOTAL ASSETS		1,223,350.90	.00
2131	G O BONDS PAYABLE		500,000.00
2135	BOND INTEREST PAYABLE		101,725.00
TOTAL LONG-TERM LIABILITIES		.00	601,725.00
TOTAL LIABILITIES		.00	601,725.00
3025	FUND BALANCE/NET ASSETS		4,900.90
TOTAL FUND BALANCE		.00	4,900.90
TOTAL CONTROL ACCOUNTS		800,175.00	1,416,900.00
TOTAL EQUITIES		800,175.00	1,421,800.90
TOTAL FIRE STATION DEBT SERVICE		2,023,525.90	2,023,525.90

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CITY OF BATAVIA  
PRINT BALANCE SHEETS BY FUND

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STATMN11

SELECTION CRITERIA: ALL  
ACCOUNTING PERIOD: 7/16

FUND - 42 - DEERPATH BRIDGE REHAB

ACCOUNT - - - - -	TITLE - - - - -	DEBITS	CREDITS
1020	CASH		19,793.73
TOTAL CASH AND INVESTMENTS		.00	19,793.73
TOTAL ASSETS		.00	19,793.73
2410	DUE TO GENERAL FUND #10		15,091.60
TOTAL DUE TO'S		.00	15,091.60
TOTAL LIABILITIES		.00	15,091.60
TOTAL CONTROL ACCOUNTS		592,405.33	557,520.00
TOTAL EQUITIES		592,405.33	557,520.00
TOTAL DEERPATH BRIDGE REHAB		592,405.33	592,405.33

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CITY OF BATAVIA  
PRINT BALANCE SHEETS BY FUND

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STATMN11

SELECTION CRITERIA: ALL  
ACCOUNTING PERIOD: 7/16

FUND - 43 - STREET IMP. NON-MFT

ACCOUNT - - - - -	TITLE - - - - -	DEBITS	CREDITS
1020	CASH	452,181.91	
1043	R-CASH TRAFFIC SIGNALS	265,597.70	
1044	R-CASH DEVELOPER FEES	62,500.00	
	TOTAL CASH AND INVESTMENTS	780,279.61	.00
1209	GRANT RECEIVABLE	93,788.93	
	TOTAL NON-CASH CURRENT ASSETS	93,788.93	.00
	TOTAL ASSETS	874,068.54	.00
2036	RETAINAGE PAYABLE		5,440.94
	TOTAL CURRENT LIABILITIES	.00	5,440.94
	TOTAL LIABILITIES	.00	5,440.94
3025	FUND BALANCE/NET ASSETS		1,008,657.65
	TOTAL FUND BALANCE	.00	1,008,657.65
	TOTAL CONTROL ACCOUNTS	3,756,734.75	3,616,704.70
	TOTAL EQUITIES	3,756,734.75	4,625,362.35
	TOTAL STREET IMP. NON-MFT	4,630,803.29	4,630,803.29

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CITY OF BATAVIA  
PRINT BALANCE SHEETS BY FUND

PAGE NUMBER: 17  
STATMN11

SELECTION CRITERIA: ALL  
ACCOUNTING PERIOD: 7/16

FUND - 45 - SAFE ROUTES TO SCHOOL

ACCOUNT - - - - -	TITLE - - - - -	DEBITS	CREDITS
1020	CASH		13,586.25
TOTAL CASH AND INVESTMENTS		.00	13,586.25
1209	GRANT RECEIVABLE	9,654.63	
TOTAL NON-CASH CURRENT ASSETS		9,654.63	.00
TOTAL ASSETS		9,654.63	13,586.25
TOTAL CONTROL ACCOUNTS		93,799.62	89,868.00
TOTAL EQUITIES		93,799.62	89,868.00
TOTAL SAFE ROUTES TO SCHOOL		103,454.25	103,454.25

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CITY OF BATAVIA  
PRINT BALANCE SHEETS BY FUND

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STATMN11

SELECTION CRITERIA: ALL  
ACCOUNTING PERIOD: 7/16

FUND - 48 - CITY HALL CAPITAL IMPROVE

ACCOUNT - - - - -	TITLE - - - - -	DEBITS	CREDITS
1020	CASH	1,041,778.60	
TOTAL CASH AND INVESTMENTS		1,041,778.60	.00
1202	MISC RECEIVABLE	250.00	
1207	INTEREST RECEIVABLE	833.68	
1212	LOAN RECEIVABLE	9,250.00	
TOTAL NON-CASH CURRENT ASSETS		10,333.68	.00
TOTAL ASSETS		1,052,112.28	.00
3025	FUND BALANCE/NET ASSETS		1,002,568.49
TOTAL FUND BALANCE		.00	1,002,568.49
TOTAL CONTROL ACCOUNTS		681,457.48	731,001.27
TOTAL EQUITIES		681,457.48	1,733,569.76
TOTAL CITY HALL CAPITAL IMPROVE		1,733,569.76	1,733,569.76

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CITY OF BATAVIA  
PRINT BALANCE SHEETS BY FUND

PAGE NUMBER: 19  
STATMN11

SELECTION CRITERIA: ALL  
ACCOUNTING PERIOD: 7/16

FUND - 56 - DONOVAN BRIDGE DS

ACCOUNT	TITLE	DEBITS	CREDITS
1020	CASH	67,909.04	
1025	CASH WITH FISCAL AGENT	135,412.50	
TOTAL CASH AND INVESTMENTS		203,321.54	.00
1201	CURRENT PROPERTY TAX	149,904.63	
TOTAL NON-CASH CURRENT ASSETS		149,904.63	.00
TOTAL ASSETS		353,226.17	.00
2131	G O BONDS PAYABLE		120,000.00
2135	BOND INTEREST PAYABLE		15,412.50
TOTAL LONG-TERM LIABILITIES		.00	135,412.50
TOTAL LIABILITIES		.00	135,412.50
3010	DEFERRED REVENUE		149,904.63
TOTAL DEFERRED EXPENSE/REVENUE		.00	149,904.63
3025	FUND BALANCE/NET ASSETS		4,198.89
TOTAL FUND BALANCE		.00	4,198.89
TOTAL CONTROL ACCOUNTS		162,638.50	226,348.65
TOTAL EQUITIES		162,638.50	380,452.17
TOTAL DONOVAN BRIDGE DS		515,864.67	515,864.67

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CITY OF BATAVIA  
PRINT BALANCE SHEETS BY FUND

PAGE NUMBER: 20  
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SELECTION CRITERIA: ALL  
ACCOUNTING PERIOD: 7/16

FUND - 61 - TRUST & AGENCY

ACCOUNT	TITLE	DEBITS	CREDITS
1020	CASH	122,431.16	
1023	E-PAY CASH	204.00	
TOTAL	CASH AND INVESTMENTS	122,635.16	.00
TOTAL	ASSETS	122,635.16	.00
1901	BATAVIA PARK DIST FEES		7,965.50
1902	BATAVIA SCHOOL DIST FEES		16,572.68
1903	POLICE DEPT-DRUG FUND		9,977.45
1904	FIRE DEPT DONATIONS		18,518.25
1908	ESDA DONATIONS		2,565.15
1909	KEY BOXES		3,620.43
1913	POLICE SUCICDE/STRESS		1,550.00
1916	BIKE COMMISSION DONAITONS		2,597.13
1917	POLICE DEPT DONATIONS		2,129.43
1918	WINDMILL MAINTENANCE FUND		2,770.57
1922	DUI FINES/PENALTIES		13,063.95
1924	TOBACCO GRANT (POLICE)		1,583.69
1928	POLICE NATIONAL NIGHT OUT		2,000.00
1929	DUI AFTER 6-1-08		31,302.96
1932	POLICE/SEX OFFENDERS REST		47.14
1936	ACCESS COMMITTEE		405.83
1938	COMMUNITY DINNER-ACCESS		5,965.00
TOTAL	DEPOSITS/ESCROWS	.00	122,635.16
TOTAL	LIABILITIES	.00	122,635.16
TOTAL	TRUST & AGENCY	122,635.16	122,635.16

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CITY OF BATAVIA  
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SELECTION CRITERIA: ALL  
ACCOUNTING PERIOD: 7/16

FUND - 62 - FIRE 2% FUND

ACCOUNT - - - - -	TITLE - - - - -	DEBITS	CREDITS
1020	CASH	62,639.19	
1120	INVESTMENTS	13,025.14	
TOTAL CASH AND INVESTMENTS		75,664.33	.00
TOTAL ASSETS		75,664.33	.00
3025	FUND BALANCE/NET ASSETS		117,802.79
TOTAL FUND BALANCE		.00	117,802.79
TOTAL CONTROL ACCOUNTS		45,361.19	3,222.73
TOTAL EQUITIES		45,361.19	121,025.52
TOTAL FIRE 2% FUND		121,025.52	121,025.52

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CITY OF BATAVIA  
PRINT BALANCE SHEETS BY FUND

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SELECTION CRITERIA: ALL  
ACCOUNTING PERIOD: 7/16

FUND - 63 - SSA #55

ACCOUNT - - - - -	TITLE - - - - -	DEBITS	CREDITS
1020	CASH	4,371.86	
TOTAL CASH AND INVESTMENTS		4,371.86	.00
1214	CURRENT SSA TAX	8,800.06	
TOTAL NON-CASH CURRENT ASSETS		8,800.06	.00
TOTAL ASSETS		13,171.92	.00
3010	DEFERRED REVENUE		8,800.06
TOTAL DEFERRED EXPENSE/REVENUE		.00	8,800.06
TOTAL CONTROL ACCOUNTS		.00	4,371.86
TOTAL EQUITIES		.00	13,171.92
TOTAL SSA #55		13,171.92	13,171.92

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CITY OF BATAVIA  
PRINT BALANCE SHEETS BY FUND

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SELECTION CRITERIA: ALL  
ACCOUNTING PERIOD: 7/16

FUND - 64 - COMM DEVL ASST PROGRAM

ACCOUNT - - - - -	TITLE - - - - -	DEBITS	CREDITS
1020	CASH	295,317.40	
TOTAL CASH AND INVESTMENTS		295,317.40	.00
1202	MISC RECEIVABLE	729.78	
TOTAL NON-CASH CURRENT ASSETS		729.78	.00
TOTAL ASSETS		296,047.18	.00
3025	FUND BALANCE/NET ASSETS		295,873.66
TOTAL FUND BALANCE		.00	295,873.66
TOTAL CONTROL ACCOUNTS		.00	173.52
TOTAL EQUITIES		.00	296,047.18
TOTAL COMM DEVL ASST PROGRAM		296,047.18	296,047.18

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CITY OF BATAVIA  
PRINT BALANCE SHEETS BY FUND

PAGE NUMBER: 24  
STATMN11

SELECTION CRITERIA: ALL  
ACCOUNTING PERIOD: 7/16

FUND - 71 - PW CAPITAL DEVL

ACCOUNT - - - - -	TITLE - - - - -	DEBITS	CREDITS
1020	CASH	273,291.59	
1042	CITIBANK PMA LIQUID	2,907.10	
1120	INVESTMENTS	248,100.00	
TOTAL	CASH AND INVESTMENTS	524,298.69	.00
1207	INTEREST RECEIVABLE	560.43	
TOTAL	NON-CASH CURRENT ASSETS	560.43	.00
TOTAL	ASSETS	524,859.12	.00
3025	FUND BALANCE/NET ASSETS		549,214.74
TOTAL	FUND BALANCE	.00	549,214.74
TOTAL	CONTROL ACCOUNTS	790,565.62	766,210.00
TOTAL	EQUITIES	790,565.62	1,315,424.74
TOTAL	PW CAPITAL DEVL	1,315,424.74	1,315,424.74

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CITY OF BATAVIA  
PRINT BALANCE SHEETS BY FUND

PAGE NUMBER: 25  
STATMN11

SELECTION CRITERIA: ALL  
ACCOUNTING PERIOD: 7/16

FUND - 72 - FIRE CAPITAL DEVL

ACCOUNT	TITLE	DEBITS	CREDITS
1020	CASH	493,714.30	
1040	GOV FUND CUSTODY	350.00	
1042	CITIBANK PMA LIQUID	8,408.42	
1120	INVESTMENTS	441,600.00	
TOTAL	CASH AND INVESTMENTS	944,072.72	.00
1121	MARKET ADJ		914.00
1207	INTEREST RECEIVABLE	2,981.76	
TOTAL	NON-CASH CURRENT ASSETS	2,981.76	914.00
TOTAL	ASSETS	947,054.48	914.00
3025	FUND BALANCE/NET ASSETS		714,576.16
TOTAL	FUND BALANCE	.00	714,576.16
TOTAL	CONTROL ACCOUNTS	235,000.00	466,564.32
TOTAL	EQUITIES	235,000.00	1,181,140.48
TOTAL	FIRE CAPITAL DEVL	1,182,054.48	1,182,054.48

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CITY OF BATAVIA  
PRINT BALANCE SHEETS BY FUND

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STATMN11

SELECTION CRITERIA: ALL  
ACCOUNTING PERIOD: 7/16

FUND - 91 - GLTDAG

ACCOUNT - - - - -	TITLE - - - - -	DEBITS	CREDITS
2013	COMP ABSENCES PAYABLE		1,003,192.29
	TOTAL CURRENT LIABILITIES	.00	1,003,192.29
2122	IMRF NET PENSION LIAB		3,845,021.00
2123	FIRE NET PENSION LIAB		6,767,813.00
2124	POLICE NET PENSION LIAB		23,566,500.00
2128	UNAMORTIZED PREMIUM		325,015.57
2129	UNAMORT LOSS ON REF	393,060.89	
2131	G O BONDS PAYABLE		7,270,000.00
2133	NET OPEB OBLIGATION		453,305.00
	TOTAL LONG-TERM LIABILITIES	393,060.89	42,227,654.57
	TOTAL LIABILITIES	393,060.89	43,230,846.86
3009	DEFERRED OUTFLOW	3,234,570.00	
3011	DEFERRED INFLOW		1,536,947.00
	TOTAL DEFERRED EXPENSE/REVENUE	3,234,570.00	1,536,947.00
3025	FUND BALANCE/NET ASSETS	41,140,162.97	
	TOTAL FUND BALANCE	41,140,162.97	.00
	TOTAL EQUITIES	44,374,732.97	1,536,947.00
	TOTAL GLTDAG	44,767,793.86	44,767,793.86

SUNGARD PENTAMATION  
DATE: 08/24/2016  
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CITY OF BATAVIA  
PRINT BALANCE SHEETS BY FUND

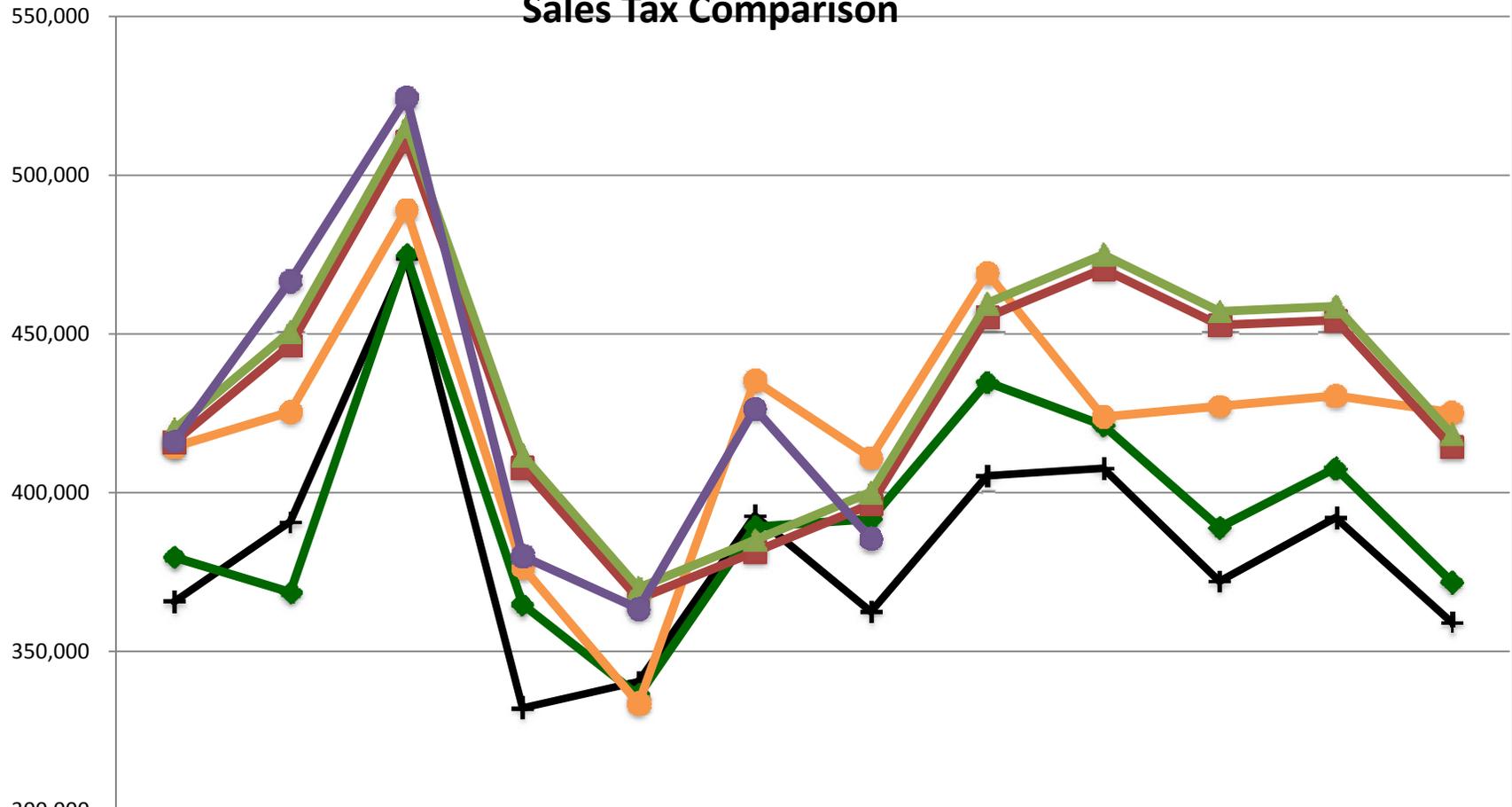
PAGE NUMBER: 27  
STATMN11

SELECTION CRITERIA: ALL  
ACCOUNTING PERIOD: 7/16

FUND - 92 - GFAAG

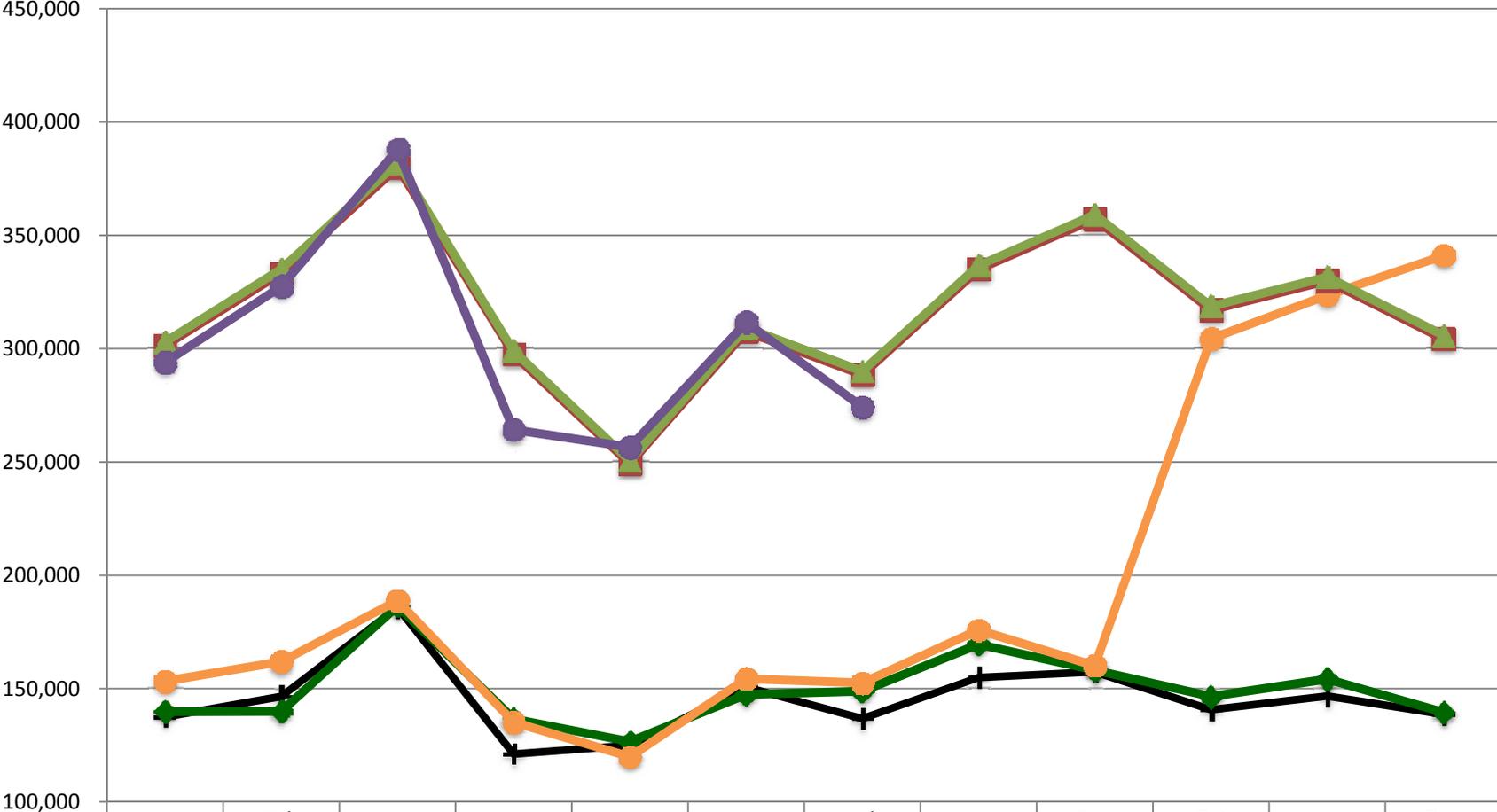
ACCOUNT - - - - -	TITLE - - - - -	DEBITS	CREDITS
1801	CONSTRUCTION IN PROCESS	3,809,262.00	
1802	LAND	18,527,563.00	
1804	STRUCTURES & IMPROVE	20,674,857.00	
1806	MACHINERY & EQUIPMENT	5,774,139.00	
1807	INFRASTRUCTURE	69,600,905.00	
1834	ACCUM DEP STRUCTURES		5,378,109.00
1836	ACCUM DEP EQUIPMENT		2,850,203.00
1837	ACCUM DEP INFRASTRUCTURE		26,593,408.00
TOTAL NON-CURRENT ASSESTS		118,386,726.00	34,821,720.00
TOTAL ASSETS		118,386,726.00	34,821,720.00
3025	FUND BALANCE/NET ASSETS		83,565,006.00
TOTAL FUND BALANCE		.00	83,565,006.00
TOTAL EQUITIES		.00	83,565,006.00
TOTAL GFAAG		118,386,726.00	118,386,726.00
TOTAL REPORT		569,534,503.18	569,534,503.18

### Sales Tax Comparison



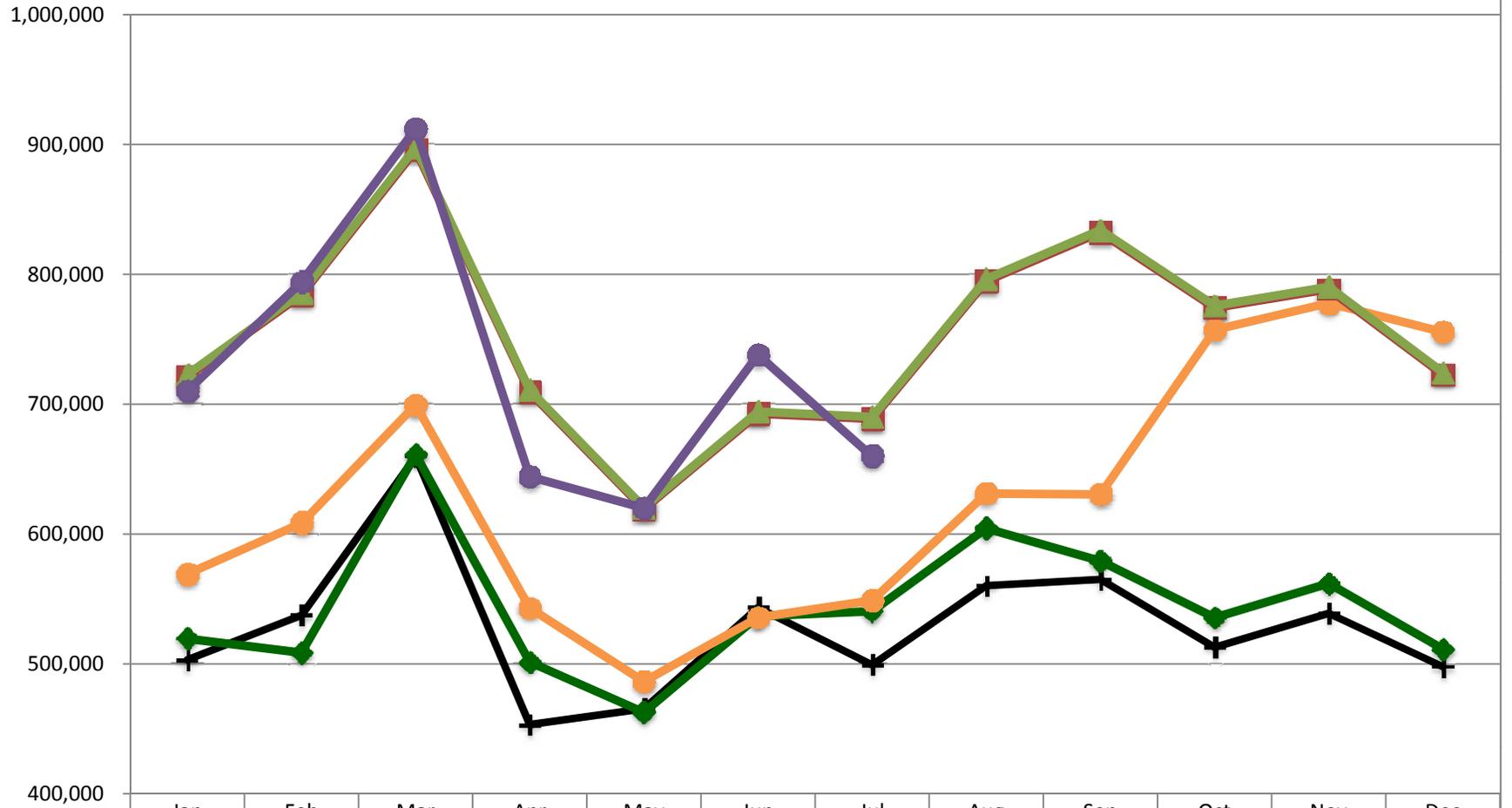
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2012	365,657	390,828	473,839	332,184	340,473	392,704	362,508	405,313	407,681	372,073	392,131	359,168
2013	379,656	368,619	474,828	364,827	336,126	389,243	391,721	434,826	421,215	389,048	407,759	371,927
2014	414,395	425,488	489,202	376,538	333,558	435,236	411,004	469,308	423,897	427,263	430,536	425,261
2015	415,988	446,440	510,628	407,900	366,523	381,377	396,362	455,331	470,481	452,771	454,330	414,545
2016	419,976	450,720	515,524	411,811	370,036	385,033	400,162	459,696	474,991	457,111	458,685	418,519
2016 Actual	416,201	466,655	524,385	379,977	363,445	426,485	385,510					

# Home Rule Sales Tax Comparison



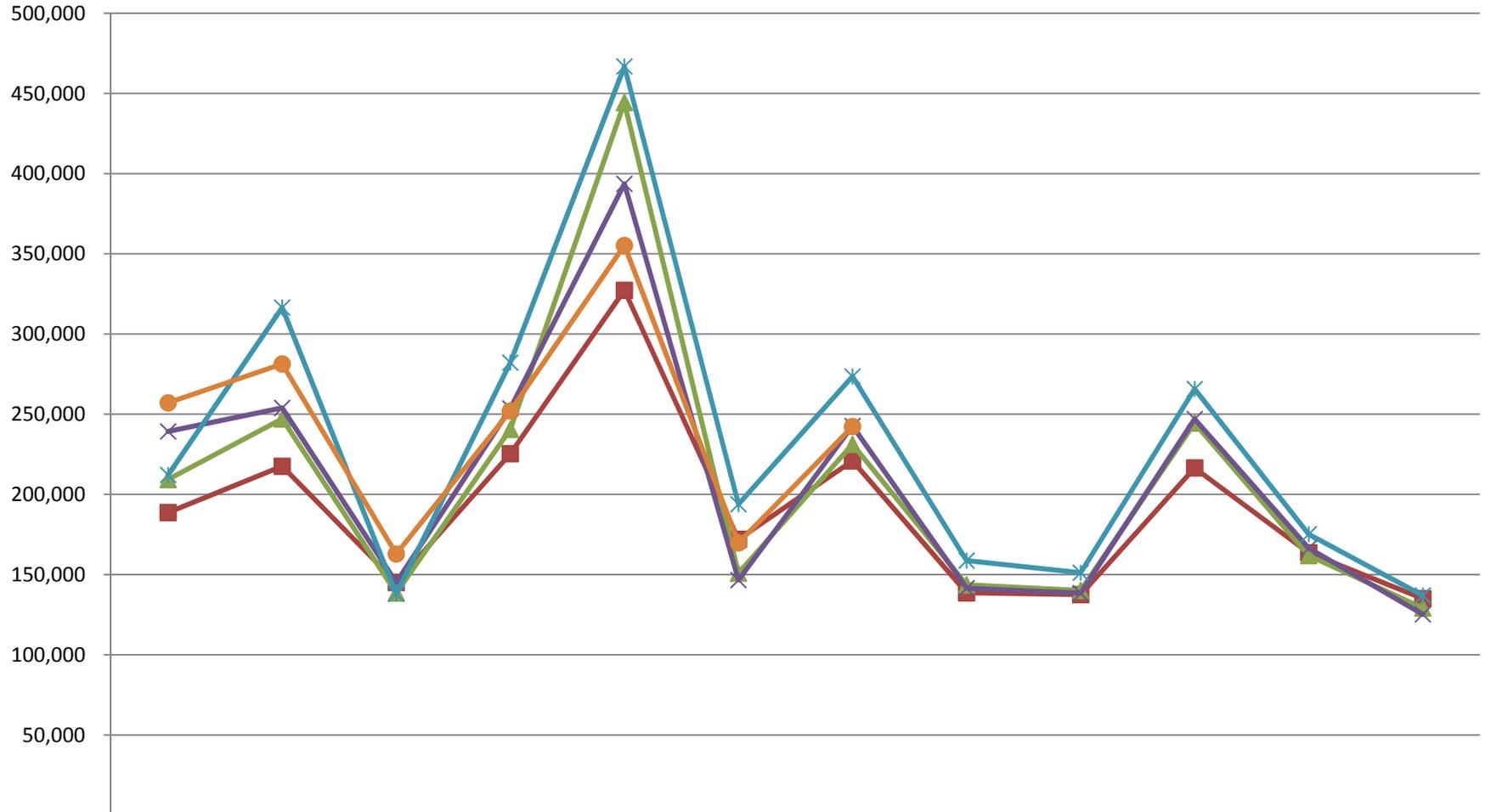
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2012	137,522	146,556	185,849	121,110	124,984	150,670	136,744	154,911	157,384	140,689	146,758	138,610
2013	139,790	139,956	186,461	136,654	126,593	147,393	148,826	169,495	158,107	146,529	154,197	139,573
2014	153,248	161,918	188,797	135,209	119,925	154,312	152,505	175,874	160,054	304,402	323,528	340,979
2015	301,364	333,372	380,078	297,470	249,211	307,579	288,522	334,950	357,282	317,049	329,917	304,313
2016	302,918	335,091	382,038	299,004	250,496	309,165	290,010	336,678	359,125	318,684	331,618	305,882
2016 Actual	293,918	327,440	387,745	264,211	256,485	311,562	274,268					

## Total Sales Tax Comparison



	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2012	503,178	537,384	659,688	453,294	465,457	543,374	499,252	560,225	565,065	512,761	538,889	497,779
2013	519,445	508,575	661,289	501,481	462,719	536,637	540,547	604,321	579,322	535,577	561,957	511,501
2014	569,236	608,358	699,425	543,109	486,448	535,689	548,867	631,205	630,535	757,173	777,858	755,524
2015	721,340	784,092	895,602	709,281	619,247	692,612	688,684	794,646	832,273	774,160	788,602	722,832
2016	722,894	785,811	897,562	710,815	620,532	694,198	690,172	796,374	834,116	775,795	790,303	724,401
2016 Actual	710,118	794,095	912,130	644,189	619,929	738,047	659,778					

## History of Income Tax Receipts by Month



	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
■ 2012	188,719	217,583	145,163	225,391	327,262	172,059	220,949	138,648	137,527	216,547	163,625	135,033
▲ 2013	209,316	247,088	138,551	240,584	444,384	150,976	231,228	143,662	140,153	244,520	161,894	129,221
✕ 2014	239,297	253,955	145,048	253,648	393,655	146,625	242,669	141,563	138,433	247,006	166,530	125,220
✱ 2015	212,164	316,550	138,094	282,198	466,872	193,884	273,559	158,751	151,202	265,743	175,220	137,034
● 2016	257,115	281,364	162,891	252,044	355,252	169,812	242,349					



SUNGARD PENTAMATION  
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CITY OF BATAVIA  
 REVENUE COMPARISON REPORT

PAGE NUMBER: 1  
 REVCOMPI

SELECTION CRITERIA: ALL  
 ACCOUNTING PERIOD: 7/16

SORTED BY: FUND, ORGANIZATION, 1ST SUBTOTAL, ACCOUNT  
 TOTALED ON: FUND, ORGANIZATION, 1ST SUBTOTAL  
 PAGE BREAKS ON: FUND, ORGANIZATION

FUND-10 GENERAL FUND  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4000 TAXES & FEES

ACCOUNT	TITLE	BUDGET	CURRENT YEAR REVENUE	BALANCE	%	BUDGET	PRIOR YEAR REVENUE	BALANCE	%
4010	PROPERTY TAXES	3,600,068.00	1,871,394.03	1,728,673.97	51.98	4,001,143.00	2,095,045.57	1,906,097.43	52.36
4011	PENSION PROP TAXES	2,613,704.00	1,358,657.98	1,255,046.02	51.98	2,211,376.00	1,157,536.12	1,053,839.88	52.34
4015	SSA PROPERTY TAXES	6,000.00	3,369.65	2,630.35	56.16	6,000.00	3,370.29	2,629.71	56.17
4110	RETAIL SALES TAX	5,222,265.00	2,962,657.74	2,259,607.26	56.73	5,039,367.00	2,925,217.87	2,114,149.13	58.05
4111	HOMERULE SALES TAX	3,820,709.00	2,115,610.15	1,705,098.85	55.37	3,707,624.00	2,157,602.70	1,550,021.30	58.19
4115	SIMPLIFIED TELCOM	844,372.00	490,888.66	353,483.34	58.14	.00	.00	.00	.00
4120	ELEC FF & WATER TA	2,199,113.00	1,240,066.82	959,046.18	56.39	3,957,317.00	2,440,333.48	1,516,983.52	61.67
4121	LIQUOR TAX	300,000.00	145,848.51	154,151.49	48.62	.00	.00	.00	.00
4122	GASOLINE TAX	380,074.00	197,790.32	182,283.68	52.04	.00	.00	.00	.00
4123	NATURAL GAS TAX	661,478.00	481,663.12	179,814.88	72.82	.00	.00	.00	.00
4135	STATE USE TAX	515,000.00	415,055.25	99,944.75	80.59	463,601.00	325,955.08	137,645.92	70.31
4210	PERS. PROP. REPL. TAX	203,000.00	119,024.83	83,975.17	58.63	194,897.00	165,337.75	29,559.25	84.83
4220	INCOME TAX	2,525,000.00	1,890,638.81	634,361.19	74.88	2,505,214.00	1,609,762.33	895,451.67	64.26
4240	ROAD & BRIDGE TAX	34,300.00	17,713.69	16,586.31	51.64	34,500.00	17,938.10	16,561.90	51.99
4245	WASTE TRANSFER FEE	595,000.00	254,870.09	340,129.91	42.84	575,000.00	130,586.32	444,413.68	22.71
4251	BUSINESS LICENSES	61,000.00	62,314.00	-1,314.00	102.15	58,050.00	59,330.00	-1,280.00	102.20
TOTAL TAXES & FEES		23,581,083.00	13,627,563.65	9,953,519.35	57.79	22,754,089.00	13,088,015.61	9,666,073.39	57.52
4271	BUILDING PERMIT	245,000.00	186,371.58	58,628.42	76.07	195,000.00	174,723.80	20,276.20	89.60
4301	ENG PLAN REVIEW	15,000.00	9,781.70	5,218.30	65.21	10,890.00	5,085.21	5,804.79	46.70
4302	ENG INSPECT FEES	18,000.00	8,585.53	9,414.47	47.70	23,522.00	4,385.10	19,136.90	18.64
4310	PLUMBING INSPECT	36,000.00	26,711.51	9,288.49	74.20	28,500.00	23,470.91	5,029.09	82.35
4316	PLANNING & ZONING	7,000.00	14,272.10	-7,272.10	203.89	15,000.00	5,072.75	9,927.25	33.82
4318	SURVEY MONUMENTATI	2,500.00	.00	2,500.00	.00	11,025.00	.00	11,025.00	.00
4320	LEAF & BRUSH COLLE	361,500.00	211,584.55	149,915.45	58.53	270,500.00	158,508.05	111,991.95	58.60
4324	PAY IN LIEU OF TAX	17,200.00	17,729.33	-529.33	103.08	16,500.00	16,990.98	-490.98	102.98
4325	ROW FRANCHISE FEES	452,000.00	351,734.35	100,265.65	77.82	448,445.00	307,547.18	140,897.82	68.58
4330	SALE OF BURIAL LOT	15,000.00	9,850.00	5,150.00	65.67	15,000.00	9,550.00	5,450.00	63.67
4331	GRAVE OPENINGS	30,000.00	28,450.00	1,550.00	94.83	36,000.00	17,100.00	18,900.00	47.50
4332	CARE OF LOTS	12,000.00	5,650.00	6,350.00	47.08	12,000.00	6,650.00	5,350.00	55.42
4335	SALE OF CITY PROPE	.00	47,111.00	-47,111.00	.00	.00	55,721.94	-55,721.94	.00
4341	ENG REIMBURSEMENTS	.00	1,625.00	-1,625.00	.00	.00	.00	.00	.00
TOTAL SERVICE CHGS		1,211,200.00	919,456.65	291,743.35	75.91	1,082,382.00	784,805.92	297,576.08	72.51
4351	FINES & FEES	180,000.00	140,428.40	39,571.60	78.02	185,000.00	108,937.80	76,062.20	58.89
4352	PARKING TICKETS	36,000.00	17,024.00	18,976.00	47.29	50,000.00	19,702.50	30,297.50	39.41
4355	POLICE REIMBURSEME	120,000.00	8,477.80	111,522.20	7.06	105,000.00	57,396.04	47,603.96	54.66
4370	B&C FIRE PROT DIST	242,000.00	121,606.95	120,393.05	50.25	242,000.00	30,488.57	211,511.43	12.60
4386	STATE MAINT. REIMB	45,000.00	.00	45,000.00	.00	43,609.00	22,348.50	21,260.50	51.25
TOTAL PUBLIC SAFETY		623,000.00	287,537.15	335,462.85	46.15	625,609.00	238,873.41	386,735.59	38.18
4392	HAZ WASTE FEE	.00	.00	.00	.00	.00	136,828.73	-136,828.73	.00
4397	REIMBURSEMENTS	85,000.00	69,826.60	15,173.40	82.15	80,000.00	30,396.68	49,603.32	38.00
4399	MISCELLANEOUS	150,000.00	78,575.86	71,424.14	52.38	130,000.00	146,173.37	-16,173.37	112.44
4402	TOWNSHIP SHARE	60,000.00	11,806.86	48,193.14	19.68	64,000.00	7,980.76	56,019.24	12.47

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FUND-10 GENERAL FUND  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4390 OTHER REVENUES

ACCOUNT	TITLE	CURRENT YEAR			PRIOR YEAR			
		BUDGET	REVENUE	BALANCE	BUDGET	REVENUE	BALANCE	
TOTAL OTHER REVENUES		295,000.00	160,209.32	134,790.68	274,000.00	321,379.54	-47,379.54	117.29
4232	STATE&FED GRANTS	1,000.00	.00	1,000.00	1,200.00	232.00	968.00	19.33
5000	INTEREST EARNINGS	35,000.00	14,245.89	20,754.11	32,000.00	33,408.41	-1,408.41	104.40
TOTAL OTHER REVENUES		36,000.00	14,245.89	21,754.11	33,200.00	33,640.41	-440.41	101.33
TOTAL GENERAL FUND		25,746,283.00	15,009,012.66	10,737,270.34	24,769,280.00	14,466,714.89	10,302,565.11	58.41

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FUND-11 T.I.F. DISTRICT  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4000 TAXES & FEES

ACCOUNT	TITLE	BUDGET	CURRENT YEAR			PRIOR YEAR			
			REVENUE	BALANCE	%	REVENUE	BALANCE	%	
4012	TIF #1 PROPERTY TA	768,000.00	407,049.83	360,950.17	53.00	732,000.00	356,875.64	375,124.36	48.75
TOTAL TAXES & FEES		768,000.00	407,049.83	360,950.17	53.00	732,000.00	356,875.64	375,124.36	48.75
4397	REIMBURSEMENTS	68,382.00	4,612.74	63,769.26	6.75	91,293.00	35,779.05	55,513.95	39.19
4415	LOAN PROCEEDS	.00	.00	.00	.00	1,345,300.00	.00	1,345,300.00	.00
TOTAL OTHER REVENUES		68,382.00	4,612.74	63,769.26	6.75	1,436,593.00	35,779.05	1,400,813.95	2.49
4232	STATE&FED GRANTS	.00	.00	.00	.00	23,998.00	.00	23,998.00	.00
5000	INTEREST EARNINGS	.00	.00	.00	.00	50.00	40.96	9.04	81.92
TOTAL OTHER REVENUES		.00	.00	.00	.00	24,048.00	40.96	24,007.04	.17
TOTAL T.I.F. DISTRICT		836,382.00	411,662.57	424,719.43	49.22	2,192,641.00	392,695.65	1,799,945.35	17.91

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FUND-12 TIF DISTRICT #3  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4000 TAXES & FEES

ACCOUNT	TITLE	BUDGET	CURRENT YEAR			PRIOR YEAR			
			REVENUE	BALANCE	%	REVENUE	BALANCE	%	
4013	TIF #3 PROPERTY TA	310,000.00	156,382.79	153,617.21	50.45	297,885.00	136,958.97	160,926.03	45.98
	TOTAL TAXES & FEES	310,000.00	156,382.79	153,617.21	50.45	297,885.00	136,958.97	160,926.03	45.98
4397	REIMBURSEMENTS	13,814.00	805.33	13,008.67	5.83	.00	143.13	-143.13	.00
	TOTAL OTHER REVENUES	13,814.00	805.33	13,008.67	5.83	.00	143.13	-143.13	.00
5000	INTEREST EARNINGS	.00	.00	.00	.00	.00	7.62	-7.62	.00
	TOTAL OTHER REVENUES	.00	.00	.00	.00	.00	7.62	-7.62	.00
	TOTAL TIF DISTRICT #3	323,814.00	157,188.12	166,625.88	48.54	297,885.00	137,109.72	160,775.28	46.03

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FUND-15 CITY'S HEALTH BENEFIT  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4390 OTHER REVENUES

ACCOUNT	TITLE	BUDGET	CURRENT YEAR			BUDGET	PRIOR YEAR		
			REVENUE	BALANCE	%		REVENUE	BALANCE	%
4397	REIMBURSEMENTS	100,000.00	294,268.33	-194,268.33	294.27	25,000.00	454,157.50	-429,157.50	1816.63
4419	FLEX CONTRIB	155,000.00	91,618.45	63,381.55	59.11	130,000.00	94,189.09	35,810.91	72.45
4420	CITY'S INS CONTRIB	3,154,629.00	1,859,130.20	1,295,498.80	58.93	2,858,918.00	1,634,834.84	1,224,083.16	57.18
4425	EMP INS CONTRIB	657,000.00	381,698.64	275,301.36	58.10	605,820.00	370,478.36	235,341.64	61.15
4430	NON-EMP INS PREM	83,120.00	45,752.90	37,367.10	55.04	172,894.00	99,326.56	73,567.44	57.45
TOTAL OTHER REVENUES		4,149,749.00	2,672,468.52	1,477,280.48	64.40	3,792,632.00	2,652,986.35	1,139,645.65	69.95
5000	INTEREST EARNINGS	5,500.00	8,019.90	-2,519.90	145.82	4,000.00	5,588.66	-1,588.66	139.72
TOTAL OTHER REVENUES		5,500.00	8,019.90	-2,519.90	145.82	4,000.00	5,588.66	-1,588.66	139.72
TOTAL CITY'S HEALTH BENEFIT		4,155,249.00	2,680,488.42	1,474,760.58	64.51	3,796,632.00	2,658,575.01	1,138,056.99	70.02

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FUND-18 STREET IMPRV/MFT  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4000 TAXES & FEES

ACCOUNT	TITLE	CURRENT YEAR			PRIOR YEAR			
		BUDGET	REVENUE	BALANCE	BUDGET	REVENUE	BALANCE	
4230	MOTOR FUEL TAX	645,000.00	377,250.20	267,749.80	655,000.00	258,595.94	396,404.06	39.48
	TOTAL TAXES & FEES	645,000.00	377,250.20	267,749.80	655,000.00	258,595.94	396,404.06	39.48
5000	INTEREST EARNINGS	.00	341.09	-341.09	750.00	439.10	310.90	58.55
	TOTAL OTHER REVENUES	.00	341.09	-341.09	750.00	439.10	310.90	58.55
5543	FROM ST IMP NON-MF	.00	.00	.00	.00	30,940.50	-30,940.50	.00
	TOTAL INTERFUND	.00	.00	.00	.00	30,940.50	-30,940.50	.00
	TOTAL STREET IMPRV/MFT	645,000.00	377,591.29	267,408.71	655,750.00	289,975.54	365,774.46	44.22

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FUND-20 WORKMEN'S COMP BENEFITS  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4390 OTHER REVENUES

ACCOUNT	TITLE	BUDGET	CURRENT YEAR			PRIOR YEAR			
			REVENUE	BALANCE	%	REVENUE	BALANCE	%	
4397	REIMBURSEMENTS	10,000.00	71,812.38	-61,812.38	718.12	5,000.00	35,984.48	-30,984.48	719.69
4420	CITY'S INS CONTRIB	428,875.00	250,177.13	178,697.87	58.33	529,920.00	309,120.00	220,800.00	58.33
TOTAL OTHER REVENUES		438,875.00	321,989.51	116,885.49	73.37	534,920.00	345,104.48	189,815.52	64.52
5000	INTEREST EARNINGS	4,500.00	3,560.37	939.63	79.12	1,000.00	4,254.29	-3,254.29	425.43
TOTAL OTHER REVENUES		4,500.00	3,560.37	939.63	79.12	1,000.00	4,254.29	-3,254.29	425.43
TOTAL WORKMEN'S COMP BENEFITS		443,375.00	325,549.88	117,825.12	73.43	535,920.00	349,358.77	186,561.23	65.19

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FUND-21 ELECTRIC UTILITY  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4390 OTHER REVENUES

ACCOUNT	TITLE	BUDGET	CURRENT YEAR REVENUE	BALANCE	%	BUDGET	PRIOR YEAR REVENUE	BALANCE	%
4399	MISCELLANEOUS	15,000.00	14,416.50	583.50	96.11	30,000.00	5,576.53	24,423.47	18.59
TOTAL OTHER REVENUES		15,000.00	14,416.50	583.50	96.11	30,000.00	5,576.53	24,423.47	18.59
5000	INTEREST EARNINGS	75,000.00	54,305.82	20,694.18	72.41	65,000.00	64,779.48	220.52	99.66
TOTAL OTHER REVENUES		75,000.00	54,305.82	20,694.18	72.41	65,000.00	64,779.48	220.52	99.66
4505	RESIDENTIAL RATE 1	13,021,852.00	6,954,478.24	6,067,373.76	53.41	12,657,661.00	6,793,735.54	5,863,925.46	53.67
4510	GENERAL SERVICE RA	1,116,845.00	675,762.33	441,082.67	60.51	925,869.00	628,303.77	297,565.23	67.86
4515	COMMERICAL RATE 13	10,312,650.00	5,671,518.27	4,641,131.73	55.00	10,304,762.00	5,716,333.20	4,588,428.80	55.47
4517	DEMAND RATE 234	7,524,850.00	4,152,130.43	3,372,719.57	55.18	7,383,037.00	4,114,521.87	3,268,515.13	55.73
4520	HEAVY INDUST RATE	6,457,130.00	3,475,213.13	2,981,916.87	53.82	6,091,395.00	3,601,523.80	2,489,871.20	59.12
4522	DEMAND RATE 236	3,738,629.00	2,170,007.51	1,568,621.49	58.04	3,611,830.00	2,088,400.26	1,523,429.74	57.82
4523	ENERGY RATE 238	3,894,000.00	2,696,164.93	1,197,835.07	69.24	3,821,791.00	2,780,319.98	1,041,471.02	72.75
4524	DEMAND RATE 338	2,365,000.00	1,515,988.25	849,011.75	64.10	2,078,000.00	1,466,865.37	611,134.63	70.59
4525	STATE UTILITY TAX	1,490,000.00	802,903.94	687,096.06	53.89	1,475,000.00	881,210.19	593,789.81	59.74
4526	MARKET BASED RATES	3,150,000.00	1,427,701.94	1,722,298.06	45.32	2,600,000.00	1,886,091.92	713,908.08	72.54
4530	ELECTRIC SALES-PEN	160,000.00	90,945.99	69,054.01	56.84	152,000.00	104,172.60	47,827.40	68.53
4535	POLE LEASE/JOINT W	50,000.00	16,765.77	33,234.23	33.53	67,700.00	.00	67,700.00	.00
4536	FIBER OPTIC REIMBU	27,000.00	13,641.00	13,359.00	50.52	23,400.00	20,591.00	2,809.00	88.00
4538	LABOR & MATERIALS	10,000.00	30,968.70	-20,968.70	309.69	15,000.00	23,552.53	-8,552.53	157.02
4539	DAMAGE CLAIMS	15,000.00	-482.39	15,482.39	3.22	20,000.00	.00	20,000.00	.00
4541	ELEC RECONNECTION	10,000.00	7,050.00	2,950.00	70.50	9,000.00	5,250.00	3,750.00	58.33
4543	DEVELOPERS-ELEC FE	100,000.00	179,701.61	-79,701.61	179.70	50,000.00	169,290.85	-119,290.85	338.58
4548	ELECTRICAL SERV/PE	4,000.00	2,673.50	1,326.50	66.84	2,000.00	1,500.00	500.00	75.00
TOTAL ELECTRIC REVENUES		53,446,956.00	29,883,133.15	23,563,822.85	55.91	51,288,445.00	30,281,662.88	21,006,782.12	59.04
TOTAL ELECTRIC UTILITY		53,536,956.00	29,951,855.47	23,585,100.53	55.95	51,383,445.00	30,352,018.89	21,031,426.11	59.07

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FUND-30 WATER UTILITY  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4390 OTHER REVENUES

ACCOUNT	TITLE	CURRENT YEAR			PRIOR YEAR			
		BUDGET	REVENUE	BALANCE	BUDGET	REVENUE	BALANCE	
4399	MISCELLANEOUS	136,000.00	63,531.81	72,468.19	125,000.00	99,540.76	25,459.24	79.63
TOTAL OTHER REVENUES		136,000.00	63,531.81	72,468.19	125,000.00	99,540.76	25,459.24	79.63
5000	INTEREST EARNINGS	12,000.00	20,273.56	-8,273.56	8,500.00	12,361.32	-3,861.32	145.43
TOTAL OTHER REVENUES		12,000.00	20,273.56	-8,273.56	8,500.00	12,361.32	-3,861.32	145.43
4610	RESIDENTIAL WATER	2,875,000.00	1,683,307.54	1,191,692.46	3,031,140.00	1,613,925.08	1,417,214.92	53.24
4615	COMMERCIAL WATER	1,210,000.00	674,899.47	535,100.53	1,227,294.00	680,256.64	547,037.36	55.43
4616	WATER PENALTIES	30,000.00	16,204.04	13,795.96	30,000.00	15,787.22	14,212.78	52.62
4625	LABOR & MATERIALS	2,500.00	-447.84	2,947.84	2,500.00	3,823.71	-1,323.71	152.95
4630	STAND-BY WATER FEE	117,654.00	111,333.78	6,320.22	107,000.00	110,520.00	-3,520.00	103.29
4634	NON-METERED WATER	500.00	300.00	200.00	500.00	300.00	200.00	60.00
4640	SALE OF WATER METE	8,000.00	5,098.62	2,901.38	5,000.00	4,872.11	127.89	97.44
4644	WATER MAIN RECAPTU	1,200.00	.00	1,200.00	1,500.00	6,900.00	-5,400.00	460.00
4645	WATER SERVICE INSP	700.00	420.00	280.00	500.00	420.00	80.00	84.00
4648	WATER CONNECTIONS	8,000.00	10,000.00	-2,000.00	2,000.00	1,600.00	400.00	80.00
4650	WATER SUPPLY&TREAT	6,000.00	3,300.00	2,700.00	5,000.00	3,600.00	1,400.00	72.00
4667	SALE OF BULK WATER	5,000.00	5,332.22	-332.22	7,500.00	4,755.65	2,744.35	63.41
TOTAL WATER FUND		4,264,554.00	2,509,747.83	1,754,806.17	4,419,934.00	2,446,760.41	1,973,173.59	55.36
TOTAL WATER UTILITY		4,412,554.00	2,593,553.20	1,819,000.80	4,553,434.00	2,558,662.49	1,994,771.51	56.19

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CITY OF BATAVIA  
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FUND-31 SEWER UTILITY  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4396 OTHER REVENUES

ACCOUNT	TITLE	BUDGET	CURRENT YEAR			PRIOR YEAR			
			REVENUE	BALANCE	%	REVENUE	BALANCE	%	
4750	IEPA LOAN PROCEEDS	2,000,000.00	.00	2,000,000.00	.00	2,200,000.00	.00	2,200,000.00	.00
5000	INTEREST EARNINGS	500.00	3,918.94	-3,418.94	783.79	2,000.00	2,305.35	-305.35	115.27
TOTAL OTHER REVENUES		2,000,500.00	3,918.94	1,996,581.06	.20	2,202,000.00	2,305.35	2,199,694.65	.10
4710	RESIDENTIAL SEWER	3,345,000.00	1,980,242.50	1,364,757.50	59.20	3,324,945.00	1,880,394.89	1,444,550.11	56.55
4715	COMMERCIAL SEWER	1,490,000.00	919,118.67	570,881.33	61.69	1,462,400.00	833,969.14	628,430.86	57.03
4721	SEWER PENALTIES	31,500.00	21,706.27	9,793.73	68.91	31,500.00	18,559.25	12,940.75	58.92
4725	TREATMENT CHARGE	50,000.00	19,060.00	30,940.00	38.12	50,000.00	21,160.00	28,840.00	42.32
4730	SEWER RECAPTURE	10,000.00	.00	10,000.00	.00	3,300.00	10,145.00	-6,845.00	307.42
4735	SANITARY INSPECTIO	775.00	420.00	355.00	54.19	500.00	420.00	80.00	84.00
4740	SEWER CONNECTIONS	15,000.00	14,145.04	854.96	94.30	10,000.00	3,645.04	6,354.96	36.45
TOTAL SEWER FUND		4,942,275.00	2,954,692.48	1,987,582.52	59.78	4,882,645.00	2,768,293.32	2,114,351.68	56.70
TOTAL SEWER UTILITY		6,942,775.00	2,958,611.42	3,984,163.58	42.61	7,084,645.00	2,770,598.67	4,314,046.33	39.11

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 TOTALED ON: FUND, ORGANIZATION, 1ST SUBTOTAL  
 PAGE BREAKS ON: FUND, ORGANIZATION

FUND-33 FLOOD/BLDG PROJECTS FUND  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4396 OTHER REVENUES

ACCOUNT	TITLE	BUDGET	CURRENT YEAR			PRIOR YEAR			
			REVENUE	BALANCE	%	REVENUE	BALANCE	%	
5000	INTEREST EARNINGS	.00	13.83	-13.83	.00	50.00	35.11	14.89	70.22
TOTAL OTHER REVENUES		.00	13.83	-13.83	.00	50.00	35.11	14.89	70.22
5510	FROM GENERAL #10	500,000.00	500,000.00	.00	100.00	80,000.00	15,000.00	65,000.00	18.75
TOTAL INTERFUND		500,000.00	500,000.00	.00	100.00	80,000.00	15,000.00	65,000.00	18.75
TOTAL FLOOD/BLDG PROJECTS FUND		500,000.00	500,013.83	-13.83	100.00	80,050.00	15,035.11	65,014.89	18.78

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FUND-41 FIRE STATION DEBT SERVICE  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-5500 INTERFUND

ACCOUNT	TITLE	BUDGET	CURRENT YEAR		PRIOR YEAR	
			REVENUE	BALANCE %	REVENUE	BALANCE %
5510	FROM GENERAL #10	708,450.00	708,450.00	.00 100.00	703,450.00	703,450.00 .00 100.00
TOTAL INTERFUND		708,450.00	708,450.00	.00 100.00	703,450.00	703,450.00 .00 100.00
TOTAL FIRE STATION DEBT SERVICE		708,450.00	708,450.00	.00 100.00	703,450.00	703,450.00 .00 100.00

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FUND-42 DEERPATH BRIDGE REHAB  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4396 OTHER REVENUES

ACCOUNT	TITLE	BUDGET	CURRENT YEAR			PRIOR YEAR			
			REVENUE	BALANCE	%	REVENUE	BALANCE	%	
4232	STATE&FED GRANTS	465,093.00	6,000.00	459,093.00	1.29	18,400.00	-3,727.20	22,127.20	20.26
TOTAL OTHER REVENUES		465,093.00	6,000.00	459,093.00	1.29	18,400.00	-3,727.20	22,127.20	20.26
5543	FROM ST IMP NON-MF	86,427.00	.00	86,427.00	.00	22,600.00	6,000.00	16,600.00	26.55
TOTAL INTERFUND		86,427.00	.00	86,427.00	.00	22,600.00	6,000.00	16,600.00	26.55
TOTAL DEERPATH BRIDGE REHAB		551,520.00	6,000.00	545,520.00	1.09	41,000.00	2,272.80	38,727.20	5.54

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FUND-43 STREET IMP. NON-MFT  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4390 OTHER REVENUES

ACCOUNT	TITLE	BUDGET	CURRENT YEAR			PRIOR YEAR			
			REVENUE	BALANCE	%	REVENUE	BALANCE	%	
4453	STREET RECAPTURE F	458,000.00	2,262.99	455,737.01	.49	68,326.00	8,035.40	60,290.60	11.76
TOTAL OTHER REVENUES		458,000.00	2,262.99	455,737.01	.49	68,326.00	8,035.40	60,290.60	11.76
4232	STATE&FED GRANTS	2,541,885.00	.00	2,541,885.00	.00	109,383.00	29,479.14	79,903.86	26.95
5000	INTEREST EARNINGS	.00	14.71	-14.71	.00	.00	156.21	-156.21	.00
TOTAL OTHER REVENUES		2,541,885.00	14.71	2,541,870.29	.00	109,383.00	29,635.35	79,747.65	27.09
TOTAL STREET IMP. NON-MFT		2,999,885.00	2,277.70	2,997,607.30	.08	177,709.00	37,670.75	140,038.25	21.20

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FUND-45 SAFE ROUTES TO SCHOOL  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4396 OTHER REVENUES

ACCOUNT	TITLE	BUDGET	CURRENT YEAR REVENUE	BALANCE	%	BUDGET	PRIOR YEAR REVENUE	BALANCE	%
4232	STATE&FED GRANTS	89,868.00	.00	89,868.00	.00	113,643.00	.00	113,643.00	.00
	TOTAL OTHER REVENUES	89,868.00	.00	89,868.00	.00	113,643.00	.00	113,643.00	.00
	TOTAL SAFE ROUTES TO SCHOOL	89,868.00	.00	89,868.00	.00	113,643.00	.00	113,643.00	.00

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 TOTALED ON: FUND,ORGANIZATION,1ST SUBTOTAL  
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FUND-48 CITY HALL CAPITAL IMPROVE  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4390 OTHER REVENUES

ACCOUNT	TITLE	BUDGET	CURRENT YEAR			PRIOR YEAR			
			REVENUE	BALANCE	%	REVENUE	BALANCE	%	
4397	REIMBURSEMENTS	3,000.00	.00	3,000.00	.00	3,000.00	.00	3,000.00	.00
TOTAL OTHER REVENUES		3,000.00	.00	3,000.00	.00	3,000.00	.00	3,000.00	.00
5000	INTEREST EARNINGS	1,500.00	1,501.27	-1.27	100.08	.00	4,751.99	-4,751.99	.00
TOTAL OTHER REVENUES		1,500.00	1,501.27	-1.27	100.08	.00	4,751.99	-4,751.99	.00
5510	FROM GENERAL #10	75,000.00	75,000.00	.00	100.00	75,000.00	.00	75,000.00	.00
TOTAL INTERFUND		75,000.00	75,000.00	.00	100.00	75,000.00	.00	75,000.00	.00
TOTAL CITY HALL CAPITAL IMPROVE		79,500.00	76,501.27	2,998.73	96.23	78,000.00	4,751.99	73,248.01	6.09

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 TOTALED ON: FUND, ORGANIZATION, 1ST SUBTOTAL  
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FUND-56 DONOVAN BRIDGE DS  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4000 TAXES & FEES

ACCOUNT	TITLE	BUDGET	CURRENT YEAR			PRIOR YEAR			
			REVENUE	BALANCE	%	REVENUE	BALANCE	%	
4014	DEBT PROPERTY TAX	148,426.00	77,922.65	70,503.35	52.50	150,826.00	79,742.51	71,083.49	52.87
	TOTAL TAXES & FEES	148,426.00	77,922.65	70,503.35	52.50	150,826.00	79,742.51	71,083.49	52.87
	TOTAL DONOVAN BRIDGE DS	148,426.00	77,922.65	70,503.35	52.50	150,826.00	79,742.51	71,083.49	52.87

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SORTED BY: FUND,ORGANIZATION,1ST SUBTOTAL,ACCOUNT  
 TOTALED ON: FUND,ORGANIZATION,1ST SUBTOTAL  
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FUND-62 FIRE 2% FUND  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4390 OTHER REVENUES

ACCOUNT	TITLE	BUDGET	CURRENT YEAR			PRIOR YEAR		
			REVENUE	BALANCE	%	REVENUE	BALANCE	%
4395	DONATIONS	.00	561.00	-561.00	.00	.00	.00	.00
4399	MISCELLANEOUS	.00	2,590.00	-2,590.00	.00	.00	.00	.00
TOTAL OTHER REVENUES		.00	3,151.00	-3,151.00	.00	.00	.00	.00
5000	INTEREST EARNINGS	.00	71.73	-71.73	.00	.00	.00	.00
TOTAL OTHER REVENUES		.00	71.73	-71.73	.00	.00	.00	.00
TOTAL FIRE 2% FUND		.00	3,222.73	-3,222.73	.00	.00	.00	.00

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 TOTALED ON: FUND, ORGANIZATION, 1ST SUBTOTAL  
 PAGE BREAKS ON: FUND, ORGANIZATION

FUND-63 SSA #55  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4000 TAXES & FEES

ACCOUNT	TITLE	BUDGET	CURRENT YEAR			PRIOR YEAR		
			REVENUE	BALANCE	%	REVENUE	BALANCE	%
4015	SSA PROPERTY TAXES	.00	4,371.86	-4,371.86	.00	.00	.00	.00
	TOTAL TAXES & FEES	.00	4,371.86	-4,371.86	.00	.00	.00	.00
	TOTAL SSA #55	.00	4,371.86	-4,371.86	.00	.00	.00	.00

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SORTED BY: FUND, ORGANIZATION, 1ST SUBTOTAL, ACCOUNT  
 TOTALED ON: FUND, ORGANIZATION, 1ST SUBTOTAL  
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FUND-64 COMM DEVL ASST PROGRAM  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4390 OTHER REVENUES

ACCOUNT	TITLE	BUDGET	CURRENT YEAR			PRIOR YEAR			
			REVENUE	BALANCE	%	REVENUE	BALANCE	%	
4416	COM DEV LOW INTR L	.00	101.63	-101.63	.00	.00	333.96	-333.96	.00
	TOTAL OTHER REVENUES	.00	101.63	-101.63	.00	.00	333.96	-333.96	.00
5000	INTEREST EARNINGS	.00	71.89	-71.89	.00	.00	79.50	-79.50	.00
	TOTAL OTHER REVENUES	.00	71.89	-71.89	.00	.00	79.50	-79.50	.00
	TOTAL COMM DEVL ASST PROGRAM	.00	173.52	-173.52	.00	.00	413.46	-413.46	.00

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 TOTALED ON: FUND,ORGANIZATION,1ST SUBTOTAL  
 PAGE BREAKS ON: FUND,ORGANIZATION

FUND-71 PW CAPITAL DEVL  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4390 OTHER REVENUES

ACCOUNT	TITLE	BUDGET	CURRENT YEAR			PRIOR YEAR			
			REVENUE	BALANCE	%	REVENUE	BALANCE	%	
4406	PW CAP FEES	9,500.00	6,200.00	3,300.00	65.26	9,500.00	6,200.00	3,300.00	65.26
	TOTAL OTHER REVENUES	9,500.00	6,200.00	3,300.00	65.26	9,500.00	6,200.00	3,300.00	65.26
5000	INTEREST EARNINGS	100.00	10.00	90.00	10.00	100.00	8.86	91.14	8.86
	TOTAL OTHER REVENUES	100.00	10.00	90.00	10.00	100.00	8.86	91.14	8.86
5510	FROM GENERAL #10	275,000.00	275,000.00	.00	100.00	270,000.00	.00	270,000.00	.00
	TOTAL INTERFUND	275,000.00	275,000.00	.00	100.00	270,000.00	.00	270,000.00	.00
	TOTAL PW CAPITAL DEVL	284,600.00	281,210.00	3,390.00	98.81	279,600.00	6,208.86	273,391.14	2.22

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 TOTALED ON: FUND, ORGANIZATION, 1ST SUBTOTAL  
 PAGE BREAKS ON: FUND, ORGANIZATION

FUND-72 FIRE CAPITAL DEVL  
 ORGANIZATION- ORGN TITLE NOT FOUND  
 1ST SUBTOTAL-4390 OTHER REVENUES

ACCOUNT	TITLE	CURRENT YEAR				PRIOR YEAR			
		BUDGET	REVENUE	BALANCE	%	BUDGET	REVENUE	BALANCE	%
4407	FIRE CAP FEES	9,500.00	6,200.00	3,300.00	65.26	9,500.00	6,200.00	3,300.00	65.26
	TOTAL OTHER REVENUES	9,500.00	6,200.00	3,300.00	65.26	9,500.00	6,200.00	3,300.00	65.26
5000	INTEREST EARNINGS	500.00	364.32	135.68	72.86	100.00	33.04	66.96	33.04
	TOTAL OTHER REVENUES	500.00	364.32	135.68	72.86	100.00	33.04	66.96	33.04
5510	FROM GENERAL #10	225,000.00	225,000.00	.00	100.00	200,000.00	.00	200,000.00	.00
	TOTAL INTERFUND	225,000.00	225,000.00	.00	100.00	200,000.00	.00	200,000.00	.00
	TOTAL FIRE CAPITAL DEVL	235,000.00	231,564.32	3,435.68	98.54	209,600.00	6,233.04	203,366.96	2.97
	TOTAL REPORT	102,639,637.00	56,357,220.91	46,282,416.09	54.91	97,103,510.00	54,831,488.15	42,272,021.85	56.47

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CITY OF BATAVIA  
 EXPENDITURE COMPARISON REPORT

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FUND-10 GENERAL FUND  
 1ST SUBTOTAL-6100 SALARY&WAGES

ACCOUNT	CURRENT YEAR				PRIOR YEAR			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6101 SALARIES&WAG	11,483,412.00	6,794,584.79	4,688,827.21	59.17	10,883,066.00	6,369,241.15	4,513,824.85	58.52
6102 OVERTIME	560,000.00	291,238.58	268,761.42	52.01	555,962.00	274,453.53	281,508.47	49.37
6103 DOUBLE TIME	89,000.00	32,170.79	56,829.21	36.15	89,000.00	68,984.53	20,015.47	77.51
6104 STAND-BY PAY	34,000.00	.00	34,000.00	.00	34,000.00	14,728.13	19,271.87	43.32
6105 OUTSIDE WORK	16,000.00	9,746.54	6,253.46	60.92	17,847.00	3,543.42	14,303.58	19.85
6107 PART TIME WA	612,108.00	355,147.88	256,960.12	58.02	578,527.00	338,939.35	239,587.65	58.59
TOTAL-6100 SALARY&WAGES	12,794,520.00	7,482,888.58	5,311,631.42	58.49	12,158,402.00	7,069,890.11	5,088,511.89	58.15
6120 GROUP INSURA	2,398,200.00	1,433,177.99	965,022.01	59.76	2,191,111.00	1,228,140.27	962,970.73	56.05
6121 IMRF-CITY %	668,428.00	364,585.38	303,842.62	54.54	646,452.00	345,594.84	300,857.16	53.46
6122 CITY FICA&ME	539,105.00	299,913.94	239,191.06	55.63	516,723.00	283,637.37	233,085.63	54.89
6123 CITY PENSION	2,623,931.00	1,364,951.58	1,258,979.42	52.02	2,221,354.00	1,163,676.28	1,057,677.72	52.39
6125 STATE UNEMPL	.00	852.00	-852.00	.00	.00	.00	.00	.00
6126 WORKERS COMP	287,875.00	167,926.99	119,948.01	58.33	353,920.00	206,453.45	147,466.55	58.33
6127 WORK COMP-PO	2,550.00	2,550.00	.00	100.00	3,000.00	2,550.00	450.00	85.00
TOTAL-6119 BENEFIT COST	6,520,089.00	3,633,957.88	2,886,131.12	55.73	5,932,560.00	3,230,052.21	2,702,507.79	54.45
6201 POLICE & FIR	15,100.00	4,255.40	10,844.60	28.18	39,150.00	8,187.91	30,962.09	20.91
6202 MEAL ALLOWAN	1,350.00	209.65	1,140.35	15.53	2,500.00	360.09	2,139.91	14.40
6203 MEDICAL EXAM	12,276.00	3,994.00	8,282.00	32.54	12,000.00	14,927.00	-2,927.00	124.39
6204 EMP RECRUITM	33,140.00	36,886.17	-3,746.17	111.30	1,500.00	2,263.20	-763.20	150.88
6205 MEMBERSHIP	37,135.00	23,131.74	14,003.26	62.29	37,642.00	22,155.45	15,486.55	58.86
6207 EMPLOYEE REC	22,900.00	12,719.34	10,180.66	55.54	25,000.00	4,702.48	20,297.52	18.81
6209 EMS TRAINING	2,100.00	1,356.53	743.47	64.60	2,400.00	405.50	1,994.50	16.90
6210 TRAINING	93,549.00	26,043.92	67,505.08	27.84	91,589.00	21,671.11	69,917.89	23.66
6211 TRAVEL-MILES	2,975.00	439.40	2,535.60	14.77	3,750.00	780.57	2,969.43	20.82
6213 FIRE PREV TR	1,400.00	225.02	1,174.98	16.07	1,330.00	1,431.62	-101.62	107.64
TOTAL-6200 EMPLOYEE DEV	221,925.00	109,261.17	112,663.83	49.23	216,861.00	76,884.93	139,976.07	35.45
6215 RESOURCE MAT	7,514.00	2,169.58	5,344.42	28.87	7,289.00	2,957.22	4,331.78	40.57
6225 POSTAGE-SHIP	74,660.00	28,119.28	46,540.72	37.66	70,050.00	34,771.99	35,278.01	49.64
6230 OFFICE SUPPL	57,805.00	21,144.76	36,660.24	36.58	53,300.00	33,508.98	19,791.02	62.87
6231 SOFTWARE	67,045.00	30,405.37	36,639.63	45.35	42,300.00	14,518.67	27,781.33	34.32
6232 COMPUTER SUP	3,000.00	1,120.73	1,879.27	37.36	3,000.00	904.91	2,095.09	30.16
6233 VEHICLE & EQ	94,900.00	42,042.61	52,857.39	44.30	84,900.00	47,854.07	37,045.93	56.37
6235 PRINTING & P	38,560.00	19,313.15	19,246.85	50.09	39,000.00	15,543.26	23,456.74	39.85
6237 COMMUNICATIO	14,500.00	7,174.25	7,325.75	49.48	12,600.00	539.92	12,060.08	4.29
6239 INVESTIGATIV	3,500.00	1,003.79	2,496.21	28.68	3,000.00	1,069.84	1,930.16	35.66
6240 MATERIALS	100,000.00	47,231.54	52,768.46	47.23	100,000.00	51,269.51	48,730.49	51.27
6241 FUEL	199,900.00	60,151.66	139,748.34	30.09	246,655.00	88,044.69	158,610.31	35.70
6242 PATROL SUPPL	5,200.00	1,864.53	3,335.47	35.86	4,900.00	468.34	4,431.66	9.56
6243 SALT & DE-IC	285,000.00	87,260.60	197,739.40	30.62	330,000.00	63,459.27	266,540.73	19.23
6245 ADS/NOTICES	8,800.00	4,948.10	3,851.90	56.23	7,150.00	2,306.00	4,844.00	32.25
6246 COMMUNITY RE	1,000.00	1,637.15	-637.15	163.72	1,325.00	721.44	603.56	54.45
6247 AMMUNITION/S	15,470.00	13,345.31	2,124.69	86.27	19,310.00	14,163.45	5,146.55	73.35

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FUND-10 GENERAL FUND  
 1ST SUBTOTAL-6214 COMMODITIES

ACCOUNT	CURRENT YEAR				PRIOR YEAR				
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%	
6250	PHONE	73,714.00	38,175.21	35,538.79	51.79	75,824.00	36,128.90	39,695.10	47.65
6251	PUBLIC EDUCA	2,500.00	276.69	2,223.31	11.07	3,900.00	40.35	3,859.65	1.03
6253	HAZ-MAT SUPP	2,500.00	.00	2,500.00	.00	2,500.00	982.46	1,517.54	39.30
6255	CLOTHING & U	102,641.00	55,208.15	47,432.85	53.79	100,028.00	39,220.96	60,807.04	39.21
6256	RESCUE MATER	10,000.00	3,009.70	6,990.30	30.10	10,000.00	4,498.93	5,501.07	44.99
6257	MEDICAL SUPP	6,600.00	1,823.47	4,776.53	27.63	6,600.00	916.90	5,683.10	13.89
6259	MEALS & REFR	6,000.00	2,823.25	3,176.75	47.05	6,400.00	2,908.20	3,491.80	45.44
6260	UTILITIES	186,700.00	83,523.02	103,176.98	44.74	180,300.00	86,136.36	94,163.64	47.77
6261	SAFETY SUPPL	3,000.00	1,389.61	1,610.39	46.32	2,500.00	1,728.32	771.68	69.13
6264	GENERAL SUPP	55,800.00	23,915.31	31,884.69	42.86	52,500.00	25,699.33	26,800.67	48.95
6276	OTHER EQUIPM	44,911.00	17,063.77	27,847.23	37.99	52,208.00	25,266.67	26,941.33	48.40
6286	LANDFILL FEE	9,000.00	3,081.50	5,918.50	34.24	9,000.00	3,922.80	5,077.20	43.59
6288	RECORDING FE	2,500.00	918.70	1,581.30	36.75	1,700.00	932.01	767.99	54.82
6293	ORNAMENTAL S	25,000.00	22,107.26	2,892.74	88.43	17,000.00	5,982.44	11,017.56	35.19
TOTAL-6214	COMMODITIES	1,507,720.00	622,248.05	885,471.95	41.27	1,545,239.00	606,466.19	938,772.81	39.25
6310	R&M-VEHICLE	162,800.00	39,816.54	122,983.46	24.46	157,800.00	62,443.04	95,356.96	39.57
6315	R&M-BUILDING	179,200.00	66,357.05	112,842.95	37.03	195,400.00	73,267.60	122,132.40	37.50
6320	RENTAL FEES	4,850.00	1,380.65	3,469.35	28.47	8,500.00	2,622.85	5,877.15	30.86
6325	R&M OFFICE E	41,075.00	26,163.03	14,911.97	63.70	30,900.00	17,027.10	13,872.90	55.10
6340	R&M FIELD EQ	38,750.00	16,252.49	22,497.51	41.94	43,800.00	14,717.64	29,082.36	33.60
TOTAL-6300	REPAIR&MAINT	426,675.00	149,969.76	276,705.24	35.15	436,400.00	170,078.23	266,321.77	38.97
6354	PLUMBING INS	35,000.00	18,895.00	16,105.00	53.99	28,000.00	18,835.00	9,165.00	67.27
6355	PROFESSIONAL	1,820,399.00	818,785.93	1,001,613.07	44.98	1,767,189.00	770,804.92	996,384.08	43.62
6356	MOSQUITO ABA	55,000.00	33,975.40	21,024.60	61.77	55,000.00	30,919.03	24,080.97	56.22
6357	FORESTRY	183,000.00	80,896.69	102,103.31	44.21	175,000.00	87,500.57	87,499.43	50.00
6358	LEGAL FEES	159,900.00	75,453.06	84,446.94	47.19	175,000.00	62,814.13	112,185.87	35.89
6359	BATV- 60% RO	180,800.00	81,604.85	99,195.15	45.14	179,378.00	76,811.48	102,566.52	42.82
6363	RIDE IN KANE	120,000.00	39,207.75	80,792.25	32.67	128,000.00	25,471.24	102,528.76	19.90
6375	SOFTWARE SUP	156,270.00	99,416.29	56,853.71	63.62	147,850.00	95,515.18	52,334.82	64.60
TOTAL-6350	PROFESSIONAL	2,710,369.00	1,248,234.97	1,462,134.03	46.05	2,655,417.00	1,168,671.55	1,486,745.45	44.01
6405	TECHNOLOGY E	188,225.00	51,285.10	136,939.90	27.25	77,200.00	28,673.39	48,526.61	37.14
6450	VEHICLES	128,100.00	117,938.41	10,161.59	92.07	92,511.00	83,144.75	9,366.25	89.88
TOTAL-6400	CAPITAL	316,325.00	169,223.51	147,101.49	53.50	169,711.00	111,818.14	57,892.86	65.89
6505	GENERAL LIAB	188,285.00	169,517.23	18,767.77	90.03	264,382.00	164,424.03	99,957.97	62.19
TOTAL-6500	INSURANCE	188,285.00	169,517.23	18,767.77	90.03	264,382.00	164,424.03	99,957.97	62.19
6625	BAD DEBT EXP	7,200.00	3,142.90	4,057.10	43.65	7,500.00	3,578.07	3,921.93	47.71
6628	UTILITY ADMI	-940,000.00	-548,333.38	-391,666.62	58.33	-1,186,182.00	-516,939.50	-669,242.50	43.58
6653	RECRUTING/RE	1,264,167.00	29,509.62	1,234,657.38	2.33	1,264,167.00	87,347.87	1,176,819.13	6.91
TOTAL-6700	OTHER EXPENS	331,367.00	-515,680.86	847,047.86	155.62	85,485.00	-426,013.56	511,498.56	498.35

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FUND-10 GENERAL FUND  
 1ST SUBTOTAL-7000 INTERFUND

ACCOUNT	----- CURRENT YEAR -----				----- PRIOR YEAR -----			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
7033 TO DRAINAGE	500,000.00	500,000.00	.00	100.00	80,000.00	15,000.00	65,000.00	18.75
7041 TO FIRE DEBT	708,450.00	708,450.00	.00	100.00	703,450.00	703,450.00	.00	100.00
7048 TO CTY HALL	75,000.00	75,000.00	.00	100.00	75,000.00	.00	75,000.00	.00
7071 TO PW CAPITA	275,000.00	275,000.00	.00	100.00	270,000.00	.00	270,000.00	.00
7072 TO FIRE CAPI	225,000.00	225,000.00	.00	100.00	200,000.00	.00	200,000.00	.00
TOTAL-7000 INTERFUND	1,783,450.00	1,783,450.00	.00	100.00	1,328,450.00	718,450.00	610,000.00	54.08
TOTAL-10 GENERAL FUND	26,800,725.00	14,853,070.29	11,947,654.71	55.42	24,792,907.00	12,890,721.83	11,902,185.17	51.99

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FUND-11 T.I.F. DISTRICT  
 1ST SUBTOTAL-6214 COMMODITIES

ACCOUNT	CURRENT YEAR				PRIOR YEAR			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6260 UTILITIES	14,000.00	4,152.66	9,847.34	29.66	14,000.00	6,252.92	7,747.08	44.66
TOTAL-6214 COMMODITIES	14,000.00	4,152.66	9,847.34	29.66	14,000.00	6,252.92	7,747.08	44.66
6355 PROFESSIONAL	200,450.00	81,433.99	119,016.01	40.63	210,500.00	117,269.90	93,230.10	55.71
TOTAL-6350 PROFESSIONAL	200,450.00	81,433.99	119,016.01	40.63	210,500.00	117,269.90	93,230.10	55.71
6420 GRANTS & RED	300,000.00	54,491.19	245,508.81	18.16	300,000.00	.00	300,000.00	.00
6472 BUILDING IMP	.00	.00	.00	.00	10,000.00	.00	10,000.00	.00
6474 INFRASTRUCTU	.00	110,344.41	-110,344.41	.00	2,248,935.00	127,766.36	2,121,168.64	5.68
TOTAL-6400 CAPITAL	300,000.00	164,835.60	135,164.40	54.95	2,558,935.00	127,766.36	2,431,168.64	4.99
6605 OTHER EXPENS	280,000.00	.00	280,000.00	.00	.00	.00	.00	.00
TOTAL-6700 OTHER EXPENS	280,000.00	.00	280,000.00	.00	.00	.00	.00	.00
TOTAL-11 T.I.F. DISTR	794,450.00	250,422.25	544,027.75	31.52	2,783,435.00	251,289.18	2,532,145.82	9.03

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FUND-12 TIF DISTRICT #3  
 1ST SUBTOTAL-6350 PROFESSIONAL SERVICES

ACCOUNT	----- CURRENT YEAR -----				----- PRIOR YEAR -----			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6355 PROFESSIONAL	.00	.00	.00	.00	.00	2,000.00	-2,000.00	.00
TOTAL-6350 PROFESSIONAL	.00	.00	.00	.00	.00	2,000.00	-2,000.00	.00
6420 GRANTS & RED	.00	.00	.00	.00	.00	38,500.00	-38,500.00	.00
6474 INFRASTRUCTU	100,000.00	.00	100,000.00	.00	595,000.00	.00	595,000.00	.00
TOTAL-6400 CAPITAL	100,000.00	.00	100,000.00	.00	595,000.00	38,500.00	556,500.00	6.47
6605 OTHER EXPENS	220,000.00	.00	220,000.00	.00	.00	.00	.00	.00
TOTAL-6700 OTHER EXPENS	220,000.00	.00	220,000.00	.00	.00	.00	.00	.00
TOTAL-12 TIF DISTRICT	320,000.00	.00	320,000.00	.00	595,000.00	40,500.00	554,500.00	6.81

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FUND-15 CITY'S HEALTH BENEFIT  
 1ST SUBTOTAL-6119 BENEFIT COSTS

ACCOUNT	CURRENT YEAR				PRIOR YEAR				
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%	
6128	LIFE INS PRE	53,000.00	31,914.35	21,085.65	60.22	50,000.00	30,137.86	19,862.14	60.28
6130	STOP LOSS PR	385,000.00	223,383.06	161,616.94	58.02	276,500.00	135,070.90	141,429.10	48.85
6131	CLAIMS PAID	3,750,000.00	3,208,672.99	541,327.01	85.56	3,300,000.00	2,627,075.14	672,924.86	79.61
6132	WELL VISION	32,500.00	16,335.89	16,164.11	50.26	30,250.00	16,205.70	14,044.30	53.57
6133	FLEX PLAN SE	155,000.00	129,728.58	25,271.42	83.70	130,000.00	119,308.96	10,691.04	91.78
6134	PPO PREMIUM	34,500.00	18,868.40	15,631.60	54.69	34,500.00	18,632.00	15,868.00	54.01
6135	HEALTH CARE	35,000.00	1,019.72	33,980.28	2.91	35,000.00	2,012.15	32,987.85	5.75
6136	WELLNESS INI	1,800.00	212.45	1,587.55	11.80	5,000.00	.00	5,000.00	.00
TOTAL-6119	BENEFIT COST	4,446,800.00	3,630,135.44	816,664.56	81.63	3,861,250.00	2,948,442.71	912,807.29	76.36
6355	PROFESSIONAL	100,340.00	51,509.70	48,830.30	51.34	.00	.00	.00	.00
TOTAL-6350	PROFESSIONAL	100,340.00	51,509.70	48,830.30	51.34	.00	.00	.00	.00
TOTAL-15	CITY'S HEALT	4,547,140.00	3,681,645.14	865,494.86	80.97	3,861,250.00	2,948,442.71	912,807.29	76.36

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FUND-18 STREET IMPRV/MFT  
 1ST SUBTOTAL-6400 CAPITAL

ACCOUNT	----- CURRENT YEAR -----				----- PRIOR YEAR -----			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6471 STREET MAINT	.00	.00	.00	.00	1,693,094.00	.00	1,693,094.00	.00
TOTAL-6400 CAPITAL	.00	.00	.00	.00	1,693,094.00	.00	1,693,094.00	.00
TOTAL-18 STREET IMPRV	.00	.00	.00	.00	1,693,094.00	.00	1,693,094.00	.00

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FUND-20 WORKMEN'S COMP BENEFITS  
 1ST SUBTOTAL-6119 BENEFIT COSTS

ACCOUNT	----- CURRENT YEAR -----				----- PRIOR YEAR -----			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6130 STOP LOSS PR	80,000.00	68,625.56	11,374.44	85.78	80,000.00	60,472.30	19,527.70	75.59
6146 CLAIMS PAID	500,000.00	334,412.48	165,587.52	66.88	500,000.00	181,762.64	318,237.36	36.35
TOTAL-6119 BENEFIT COST	580,000.00	403,038.04	176,961.96	69.49	580,000.00	242,234.94	337,765.06	41.76
6203 MEDICAL EXAM	25,000.00	17,382.00	7,618.00	69.53	28,400.00	.00	28,400.00	.00
TOTAL-6200 EMPLOYEE DEV	25,000.00	17,382.00	7,618.00	69.53	28,400.00	.00	28,400.00	.00
6355 PROFESSIONAL	13,000.00	9,000.00	4,000.00	69.23	.00	.00	.00	.00
TOTAL-6350 PROFESSIONAL	13,000.00	9,000.00	4,000.00	69.23	.00	.00	.00	.00
TOTAL-20 WORKMEN'S CO	618,000.00	429,420.04	188,579.96	69.49	608,400.00	242,234.94	366,165.06	39.82

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FUND-21 ELECTRIC UTILITY  
 1ST SUBTOTAL-6100 SALARY&WAGES

ACCOUNT	CURRENT YEAR				PRIOR YEAR			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6101 SALARIES&WAG	1,966,144.00	1,097,197.37	868,946.63	55.80	1,826,810.00	1,039,035.08	787,774.92	56.88
6102 OVERTIME	51,100.00	27,269.79	23,830.21	53.37	51,000.00	23,541.00	27,459.00	46.16
6103 DOUBLE TIME	37,000.00	33,546.97	3,453.03	90.67	37,500.00	12,788.23	24,711.77	34.10
6104 STAND-BY PAY	53,100.00	.00	53,100.00	.00	53,200.00	27,054.82	26,145.18	50.85
6107 PART TIME WA	85,000.00	49,637.60	35,362.40	58.40	98,000.00	52,529.88	45,470.12	53.60
TOTAL-6100 SALARY&WAGES	2,192,344.00	1,207,651.73	984,692.27	55.08	2,066,510.00	1,154,949.01	911,560.99	55.89
6120 GROUP INSURA	400,326.00	219,674.27	180,651.73	54.87	343,979.00	208,403.21	135,575.79	60.59
6121 IMRF-CITY %	276,694.00	144,359.76	132,334.24	52.17	259,056.00	137,925.33	121,130.67	53.24
6122 CITY FICA&ME	167,714.00	87,363.91	80,350.09	52.09	158,088.00	83,834.74	74,253.26	53.03
6126 WORKERS COMP	115,000.00	67,083.38	47,916.62	58.33	144,000.00	84,000.00	60,000.00	58.33
TOTAL-6119 BENEFIT COST	959,734.00	518,481.32	441,252.68	54.02	905,123.00	514,163.28	390,959.72	56.81
6205 MEMBERSHIP	15,000.00	12,317.55	2,682.45	82.12	31,500.00	29,888.55	1,611.45	94.88
6210 TRAINING	8,000.00	1,703.75	6,296.25	21.30	13,500.00	918.00	12,582.00	6.80
TOTAL-6200 EMPLOYEE DEV	23,000.00	14,021.30	8,978.70	60.96	45,000.00	30,806.55	14,193.45	68.46
6225 POSTAGE-SHIP	3,000.00	2,393.85	606.15	79.80	2,000.00	536.26	1,463.74	26.81
6230 OFFICE SUPPL	1,500.00	706.71	793.29	47.11	1,700.00	809.16	890.84	47.60
6233 VEHICLE & EQ	8,000.00	7,512.09	487.91	93.90	8,000.00	2,267.62	5,732.38	28.35
6237 COMMUNICATIO	3,000.00	.00	3,000.00	.00	53,000.00	84.60	52,915.40	.16
6240 MATERIALS	6,500.00	2,130.75	4,369.25	32.78	6,000.00	3,608.34	2,391.66	60.14
6241 FUEL	22,200.00	8,734.23	13,465.77	39.34	28,850.00	9,728.60	19,121.40	33.72
6245 ADS/NOTICES	.00	.00	.00	.00	.00	83.40	-83.40	.00
6250 PHONE	27,000.00	16,005.85	10,994.15	59.28	26,500.00	14,868.26	11,631.74	56.11
6255 CLOTHING & U	11,500.00	4,255.59	7,244.41	37.01	14,700.00	4,749.79	9,950.21	32.31
6259 MEALS & REFR	800.00	335.24	464.76	41.91	800.00	267.46	532.54	33.43
6260 UTILITIES	52,500.00	21,530.15	30,969.85	41.01	45,000.00	25,610.35	19,389.65	56.91
6261 SAFETY SUPPL	6,500.00	945.03	5,554.97	14.54	5,000.00	4,295.30	704.70	85.91
6264 GENERAL SUPP	123,500.00	66,115.31	57,384.69	53.53	139,000.00	64,802.77	74,197.23	46.62
6276 OTHER EQUIPM	10,000.00	.00	10,000.00	.00	20,000.00	.00	20,000.00	.00
6286 LANDFILL FEE	8,000.00	2,461.78	5,538.22	30.77	6,500.00	3,922.79	2,577.21	60.35
TOTAL-6214 COMMODITIES	284,000.00	133,126.58	150,873.42	46.88	357,050.00	135,634.70	221,415.30	37.99
6310 R&M-VEHICLE	8,000.00	5,901.11	2,098.89	73.76	10,500.00	5,097.28	5,402.72	48.55
6315 R&M-BUILDING	40,000.00	15,591.46	24,408.54	38.98	30,000.00	13,152.05	16,847.95	43.84
6322 R&M DISTRIBU	234,000.00	127,339.79	106,660.21	54.42	135,000.00	26,738.83	108,261.17	19.81
6340 R&M FIELD EQ	30,000.00	4,009.03	25,990.97	13.36	30,000.00	13,421.93	16,578.07	44.74
TOTAL-6300 REPAIR&MAINT	312,000.00	152,841.39	159,158.61	48.99	205,500.00	58,410.09	147,089.91	28.42
6355 PROFESSIONAL	301,612.00	159,444.17	142,167.83	52.86	292,650.00	60,183.25	232,466.75	20.56
6357 FORESTRY	150,000.00	108,558.02	41,441.98	72.37	135,000.00	88,689.06	46,310.94	65.70
6380 JULIE LOCATI	3,000.00	2,109.78	890.22	70.33	2,000.00	2,001.41	-1.41	100.07
TOTAL-6350 PROFESSIONAL	454,612.00	270,111.97	184,500.03	59.42	429,650.00	150,873.72	278,776.28	35.12

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FUND-21 ELECTRIC UTILITY  
 1ST SUBTOTAL-6400 CAPITAL

ACCOUNT	CURRENT YEAR				PRIOR YEAR			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6410 CAPITAL SUPP	274,000.00	92,414.73	181,585.27	33.73	450,000.00	38,874.65	411,125.35	8.64
6411 ELEC POLES	150,500.00	12,637.49	137,862.51	8.40	215,000.00	5,307.02	209,692.98	2.47
6412 ELEC METERS	100,000.00	47,715.31	52,284.69	47.72	60,000.00	60,576.77	-576.77	100.96
6416 ELEC WIRE	334,500.00	77,766.93	256,733.07	23.25	295,000.00	11,261.86	283,738.14	3.82
6435 CAP CONTRACT	1,306,000.00	16,669.82	1,289,330.18	1.28	1,445,000.00	44,176.31	1,400,823.69	3.06
6450 VEHICLES	235,000.00	198,123.00	36,877.00	84.31	245,000.00	.00	245,000.00	.00
6452 TRANSFORMERS	454,000.00	29,200.37	424,799.63	6.43	165,000.00	17,796.02	147,203.98	10.79
6455 PRIVATE USE	110,000.00	.00	110,000.00	.00	.00	.00	.00	.00
TOTAL-6400 CAPITAL	2,964,000.00	474,527.65	2,489,472.35	16.01	2,875,000.00	177,992.63	2,697,007.37	6.19
6505 GENERAL LIAB	75,000.00	56,383.69	18,616.31	75.18	96,186.00	60,755.21	35,430.79	63.16
TOTAL-6500 INSURANCE	75,000.00	56,383.69	18,616.31	75.18	96,186.00	60,755.21	35,430.79	63.16
6601 PRINCIPAL	710,000.00	.00	710,000.00	.00	680,000.00	.00	680,000.00	.00
6602 INTEREST EXP	994,336.00	357,427.78	636,908.22	35.95	1,021,806.00	510,902.51	510,903.49	50.00
TOTAL-6600 DEBT SERVICE	1,704,336.00	357,427.78	1,346,908.22	20.97	1,701,806.00	510,902.51	1,190,903.49	30.02
6625 BAD DEBT EXP	60,000.00	29,785.70	30,214.30	49.64	60,000.00	37,494.19	22,505.81	62.49
6629 W&S METERING	-275,000.00	-160,416.62	-114,583.38	58.33	-275,570.00	-160,749.12	-114,820.88	58.33
6630 GF ADMINISTR	.00	.00	.00	.00	300,000.00	.00	300,000.00	.00
6708 PP-CAPACITY	25,444,162.00	15,436,747.07	10,007,414.93	60.67	25,347,825.00	14,511,383.34	10,836,441.66	57.25
6710 PP-ENERGY	13,750,000.00	6,009,265.38	7,740,734.62	43.70	13,842,807.00	7,715,916.50	6,126,890.50	55.74
6711 PJM TRANSMIS	3,185,000.00	2,447,423.47	737,576.53	76.84	2,450,000.00	1,681,762.29	768,237.71	68.64
6712 ANCILLARY SE	300,000.00	60,049.14	239,950.86	20.02	125,000.00	78,000.00	47,000.00	62.40
6714 PJM SUB ACCO	.00	-134,266.12	134,266.12	.00	.00	.00	.00	.00
6720 STATE EXCISE	1,490,000.00	788,363.36	701,636.64	52.91	1,475,000.00	856,917.23	618,082.77	58.10
TOTAL-6700 OTHER EXPENS	43,954,162.00	24,476,951.38	19,477,210.62	55.69	43,325,062.00	24,720,724.43	18,604,337.57	57.06
TOTAL-21 ELECTRIC UTI	52,923,188.00	27,661,524.79	25,261,663.21	52.27	52,006,887.00	27,515,212.13	24,491,674.87	52.91

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FUND-30 WATER UTILITY  
 1ST SUBTOTAL-6100 SALARY&WAGES

ACCOUNT	CURRENT YEAR				PRIOR YEAR			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6101 SALARIES&WAG	764,216.00	492,805.71	271,410.29	64.49	720,542.00	443,110.58	277,431.42	61.50
6102 OVERTIME	46,000.00	20,151.48	25,848.52	43.81	37,300.00	34,412.66	2,887.34	92.26
6103 DOUBLE TIME	30,000.00	18,104.29	11,895.71	60.35	29,000.00	18,811.67	10,188.33	64.87
6104 STAND-BY PAY	38,800.00	.00	38,800.00	.00	37,800.00	23,989.05	13,810.95	63.46
6107 PART TIME WA	4,500.00	.00	4,500.00	.00	8,200.00	2,940.00	5,260.00	35.85
TOTAL-6100 SALARY&WAGES	883,516.00	531,061.48	352,454.52	60.11	832,842.00	523,263.96	309,578.04	62.83
6120 GROUP INSURA	196,092.00	113,176.68	82,915.32	57.72	172,609.00	107,051.42	65,557.58	62.02
6121 IMRF-CITY %	115,415.00	65,726.50	49,688.50	56.95	108,523.00	64,117.65	44,405.35	59.08
6122 CITY FICA&ME	67,589.00	38,375.54	29,213.46	56.78	63,712.00	37,544.02	26,167.98	58.93
6126 WORKERS COMP	16,000.00	9,333.38	6,666.62	58.33	20,000.00	11,666.55	8,333.45	58.33
TOTAL-6119 BENEFIT COST	395,096.00	226,612.10	168,483.90	57.36	364,844.00	220,379.64	144,464.36	60.40
6202 MEAL ALLOWAN	500.00	278.83	221.17	55.77	300.00	314.87	-14.87	104.96
6205 MEMBERSHIP	2,400.00	2,035.00	365.00	84.79	2,300.00	2,006.00	294.00	87.22
6210 TRAINING	4,000.00	1,619.75	2,380.25	40.49	4,500.00	3,158.76	1,341.24	70.19
6211 TRAVEL-MILES	1,000.00	46.00	954.00	4.60	1,300.00	697.15	602.85	53.63
TOTAL-6200 EMPLOYEE DEV	7,900.00	3,979.58	3,920.42	50.37	8,400.00	6,176.78	2,223.22	73.53
6215 RESOURCE MAT	600.00	271.00	329.00	45.17	1,000.00	.00	1,000.00	.00
6225 POSTAGE-SHIP	2,000.00	2,957.95	-957.95	147.90	2,000.00	823.82	1,176.18	41.19
6230 OFFICE SUPPL	1,500.00	756.40	743.60	50.43	1,500.00	637.00	863.00	42.47
6233 VEHICLE & EQ	6,500.00	10,427.04	-3,927.04	160.42	6,800.00	5,418.23	1,381.77	79.68
6240 MATERIALS	15,000.00	5,833.49	9,166.51	38.89	12,500.00	8,996.24	3,503.76	71.97
6241 FUEL	14,000.00	4,862.58	9,137.42	34.73	17,450.00	8,536.50	8,913.50	48.92
6249 PRODUCTION E	500,000.00	230,175.01	269,824.99	46.04	495,000.00	233,052.44	261,947.56	47.08
6250 PHONE	9,000.00	6,633.88	2,366.12	73.71	8,000.00	5,154.20	2,845.80	64.43
6255 CLOTHING & U	6,000.00	2,068.94	3,931.06	34.48	5,800.00	2,082.17	3,717.83	35.90
6258 CHEMICALS	145,000.00	82,218.66	62,781.34	56.70	135,000.00	72,429.01	62,570.99	53.65
6259 MEALS & REFR	1,000.00	366.17	633.83	36.62	900.00	276.85	623.15	30.76
6260 UTILITIES	16,000.00	7,258.07	8,741.93	45.36	15,500.00	6,468.18	9,031.82	41.73
6264 GENERAL SUPP	24,000.00	15,291.52	8,708.48	63.71	23,000.00	9,567.87	13,432.13	41.60
6268 WATER/SEWER	100,000.00	30,803.65	69,196.35	30.80	100,000.00	15,725.17	84,274.83	15.73
6272 FIRE HYDRANT	6,000.00	2,367.00	3,633.00	39.45	5,500.00	2,728.28	2,771.72	49.61
6276 OTHER EQUIPM	8,000.00	6,673.24	1,326.76	83.42	15,000.00	4,157.94	10,842.06	27.72
6281 PIPING & FIT	55,000.00	15,570.73	39,429.27	28.31	50,000.00	38,590.71	11,409.29	77.18
6286 LANDFILL FEE	5,000.00	2,461.79	2,538.21	49.24	5,000.00	3,922.78	1,077.22	78.46
TOTAL-6214 COMMODITIES	914,600.00	426,997.12	487,602.88	46.69	899,950.00	418,567.39	481,382.61	46.51
6310 R&M-VEHICLE	4,500.00	4,926.18	-426.18	109.47	3,800.00	3,160.64	639.36	83.17
6315 R&M-BUILDING	50,000.00	13,093.21	36,906.79	26.19	40,000.00	16,202.96	23,797.04	40.51
6340 R&M FIELD EQ	173,500.00	26,916.91	146,583.09	15.51	250,742.00	103,922.87	146,819.13	41.45
TOTAL-6300 REPAIR&MAINT	228,000.00	44,936.30	183,063.70	19.71	294,542.00	123,286.47	171,255.53	41.86
6355 PROFESSIONAL	215,680.00	33,836.94	181,843.06	15.69	145,490.00	38,192.03	107,297.97	26.25

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FUND-30 WATER UTILITY  
 1ST SUBTOTAL-6350 PROFESSIONAL SERVICES

ACCOUNT	CURRENT YEAR				PRIOR YEAR			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6380 JULIE LOCATI	3,000.00	2,109.78	890.22	70.33	2,000.00	2,001.41	-1.41	100.07
TOTAL-6350 PROFESSIONAL	218,680.00	35,946.72	182,733.28	16.44	147,490.00	40,193.44	107,296.56	27.25
6435 CAP CONTRACT	695,000.00	273,733.39	421,266.61	39.39	1,095,000.00	1,750.00	1,093,250.00	.16
6440 CAP ENGINEER	75,000.00	30,053.27	44,946.73	40.07	115,000.00	21,194.46	93,805.54	18.43
6450 VEHICLES	85,000.00	.00	85,000.00	.00	35,000.00	28,960.00	6,040.00	82.74
TOTAL-6400 CAPITAL	855,000.00	303,786.66	551,213.34	35.53	1,245,000.00	51,904.46	1,193,095.54	4.17
6505 GENERAL LIAB	34,000.00	25,392.48	8,607.52	74.68	43,910.00	30,377.60	13,532.40	69.18
TOTAL-6500 INSURANCE	34,000.00	25,392.48	8,607.52	74.68	43,910.00	30,377.60	13,532.40	69.18
6601 PRINCIPAL	742,521.00	207,464.36	535,056.64	27.94	722,277.00	202,373.40	519,903.60	28.02
6602 INTEREST EXP	194,192.00	98,392.95	95,799.05	50.67	210,739.00	106,633.66	104,105.34	50.60
6603 BOND FEES	.00	.00	.00	.00	175.00	.00	175.00	.00
TOTAL-6600 DEBT SERVICE	936,713.00	305,857.31	630,855.69	32.65	933,191.00	309,007.06	624,183.94	33.11
6625 BAD DEBT EXP	8,000.00	5,117.40	2,882.60	63.97	8,000.00	5,576.27	2,423.73	69.70
6629 W&S METERING	137,500.00	80,208.31	57,291.69	58.33	137,785.00	80,374.56	57,410.44	58.33
6630 GF ADMINISTR	455,000.00	265,416.69	189,583.31	58.33	439,398.00	256,315.50	183,082.50	58.33
TOTAL-6700 OTHER EXPENS	600,500.00	350,742.40	249,757.60	58.41	585,183.00	342,266.33	242,916.67	58.49
TOTAL-30 WATER UTILIT	5,074,005.00	2,255,312.15	2,818,692.85	44.45	5,355,352.00	2,065,423.13	3,289,928.87	38.57

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FUND-31 SEWER UTILITY  
 1ST SUBTOTAL-6100 SALARY&WAGES

ACCOUNT	CURRENT YEAR				PRIOR YEAR			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6101 SALARIES&WAG	626,497.00	386,646.77	239,850.23	61.72	598,924.00	370,301.88	228,622.12	61.83
6102 OVERTIME	28,364.00	19,554.23	8,809.77	68.94	27,810.00	18,564.47	9,245.53	66.75
6103 DOUBLE TIME	18,524.00	13,920.98	4,603.02	75.15	17,700.00	13,539.45	4,160.55	76.49
6104 STAND-BY PAY	39,015.00	.00	39,015.00	.00	38,250.00	23,739.80	14,510.20	62.06
6107 PART TIME WA	28,656.00	12,891.75	15,764.25	44.99	28,094.00	14,704.96	13,389.04	52.34
TOTAL-6100 SALARY&WAGES	741,056.00	433,013.73	308,042.27	58.43	710,778.00	440,850.56	269,927.44	62.02
6120 GROUP INSURA	160,011.00	93,101.26	66,909.74	58.18	146,219.00	91,042.54	55,176.46	62.26
6121 IMRF-CITY %	93,538.00	52,943.53	40,594.47	56.60	89,841.00	53,032.55	36,808.45	59.03
6122 CITY FICA&ME	56,691.00	31,871.81	24,819.19	56.22	54,375.00	31,987.58	22,387.42	58.83
6126 WORKERS COMP	10,000.00	5,833.38	4,166.62	58.33	12,000.00	7,000.00	5,000.00	58.33
TOTAL-6119 BENEFIT COST	320,240.00	183,749.98	136,490.02	57.38	302,435.00	183,062.67	119,372.33	60.53
6205 MEMBERSHIP	250.00	100.00	150.00	40.00	250.00	100.00	150.00	40.00
6210 TRAINING	3,000.00	801.87	2,198.13	26.73	2,800.00	918.00	1,882.00	32.79
6211 TRAVEL-MILES	1,300.00	.00	1,300.00	.00	1,300.00	.00	1,300.00	.00
TOTAL-6200 EMPLOYEE DEV	4,550.00	901.87	3,648.13	19.82	4,350.00	1,018.00	3,332.00	23.40
6215 RESOURCE MAT	200.00	.00	200.00	.00	200.00	.00	200.00	.00
6230 OFFICE SUPPL	700.00	156.56	543.44	22.37	700.00	250.14	449.86	35.73
6238 LAB SUPPLIES	7,000.00	2,230.52	4,769.48	31.86	7,000.00	3,622.97	3,377.03	51.76
6240 MATERIALS	5,500.00	.00	5,500.00	.00	6,500.00	.00	6,500.00	.00
6241 FUEL	10,500.00	3,088.25	7,411.75	29.41	10,500.00	4,608.47	5,891.53	43.89
6248 REGULATORY F	15,500.00	15,500.00	.00	100.00	15,500.00	15,500.00	.00	100.00
6250 PHONE	59,550.00	38,236.13	21,313.87	64.21	59,550.00	36,470.86	23,079.14	61.24
6255 CLOTHING & U	3,600.00	2,380.19	1,219.81	66.12	3,500.00	1,938.53	1,561.47	55.39
6258 CHEMICALS	28,000.00	12,167.94	15,832.06	43.46	28,000.00	11,340.00	16,660.00	40.50
6260 UTILITIES	535,000.00	269,112.62	265,887.38	50.30	531,706.00	257,490.14	274,215.86	48.43
6261 SAFETY SUPPL	1,500.00	2,133.69	-633.69	142.25	1,500.00	278.89	1,221.11	18.59
6264 GENERAL SUPP	5,000.00	3,342.70	1,657.30	66.85	4,500.00	4,452.34	47.66	98.94
6268 WATER/SEWER	100,000.00	30,803.66	69,196.34	30.80	100,000.00	12,089.16	87,910.84	12.09
6276 OTHER EQUIPM	37,000.00	803.38	36,196.62	2.17	5,000.00	.00	5,000.00	.00
6286 LANDFILL FEE	120,350.00	58,662.78	61,687.22	48.74	120,350.00	64,383.82	55,966.18	53.50
TOTAL-6214 COMMODITIES	929,400.00	438,618.42	490,781.58	47.19	894,506.00	412,425.32	482,080.68	46.11
6310 R&M-VEHICLE	5,500.00	1,591.38	3,908.62	28.93	4,500.00	7,521.80	-3,021.80	167.15
6315 R&M-BUILDING	5,000.00	2,916.54	2,083.46	58.33	5,000.00	1,772.77	3,227.23	35.46
6340 R&M FIELD EQ	130,000.00	78,155.91	51,844.09	60.12	129,500.00	29,197.98	100,302.02	22.55
TOTAL-6300 REPAIR&MAINT	140,500.00	82,663.83	57,836.17	58.84	139,000.00	38,492.55	100,507.45	27.69
6355 PROFESSIONAL	55,000.00	12,905.24	42,094.76	23.46	55,000.00	44,493.16	10,506.84	80.90
6380 JULIE LOCATI	3,000.00	2,109.77	890.23	70.33	2,000.00	2,001.41	-1.41	100.07
TOTAL-6350 PROFESSIONAL	58,000.00	15,015.01	42,984.99	25.89	57,000.00	46,494.57	10,505.43	81.57
6435 CAP CONTRACT	1,115,260.00	1,000.00	1,114,260.00	.09	1,900,600.00	18,547.50	1,882,052.50	.98

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FUND-31 SEWER UTILITY  
 1ST SUBTOTAL-6400 CAPITAL

ACCOUNT	CURRENT YEAR				PRIOR YEAR			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6440 CAP ENGINEER	1,580,000.00	818,673.59	761,326.41	51.81	1,555,000.00	243,946.25	1,311,053.75	15.69
6445 CAP EQUIPMEN	75,000.00	.00	75,000.00	.00	.00	.00	.00	.00
6450 VEHICLES	.00	.00	.00	.00	35,000.00	30,694.00	4,306.00	87.70
6473 SANITARY SEW	515,035.00	134,964.03	380,070.97	26.20	710,121.00	181,279.40	528,841.60	25.53
TOTAL-6400 CAPITAL	3,285,295.00	954,637.62	2,330,657.38	29.06	4,200,721.00	474,467.15	3,726,253.85	11.29
6505 GENERAL LIAB	34,000.00	25,392.48	8,607.52	74.68	43,910.00	30,377.60	13,532.40	69.18
TOTAL-6500 INSURANCE	34,000.00	25,392.48	8,607.52	74.68	43,910.00	30,377.60	13,532.40	69.18
6601 PRINCIPAL	779,355.00	.00	779,355.00	.00	766,518.00	.00	766,518.00	.00
6602 INTEREST EXP	92,480.00	42,301.25	50,178.75	45.74	108,816.00	49,959.35	58,856.65	45.91
TOTAL-6600 DEBT SERVICE	871,835.00	42,301.25	829,533.75	4.85	875,334.00	49,959.35	825,374.65	5.71
6625 BAD DEBT EXP	9,000.00	6,229.94	2,770.06	69.22	8,400.00	5,778.06	2,621.94	68.79
6629 W&S METERING	137,500.00	80,208.31	57,291.69	58.33	137,785.00	80,374.56	57,410.44	58.33
6630 GF ADMINISTR	485,000.00	282,916.69	202,083.31	58.33	446,784.00	260,624.00	186,160.00	58.33
TOTAL-6700 OTHER EXPENS	631,500.00	369,354.94	262,145.06	58.49	592,969.00	346,776.62	246,192.38	58.48
TOTAL-31 SEWER UTILIT	7,016,376.00	2,545,649.13	4,470,726.87	36.28	7,821,003.00	2,023,924.39	5,797,078.61	25.88

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FUND-33 FLOOD/BLDG PROJECTS FUND  
 1ST SUBTOTAL-6214 COMMODITIES

ACCOUNT	CURRENT YEAR				PRIOR YEAR			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6248 REGULATORY F	1,250.00	250.00	1,000.00	20.00	1,250.00	1,250.00	.00	100.00
TOTAL-6214 COMMODITIES	1,250.00	250.00	1,000.00	20.00	1,250.00	1,250.00	.00	100.00
6355 PROFESSIONAL	160,000.00	.00	160,000.00	.00	93,975.00	35,035.65	58,939.35	37.28
6370 DRAINAGE MAI	89,920.00	20,947.38	68,972.62	23.30	74,655.00	9,581.07	65,073.93	12.83
TOTAL-6350 PROFESSIONAL	249,920.00	20,947.38	228,972.62	8.38	168,630.00	44,616.72	124,013.28	26.46
6470 DRAINAGE CAP	600,000.00	5,354.64	594,645.36	.89	154,835.00	45,064.50	109,770.50	29.10
TOTAL-6400 CAPITAL	600,000.00	5,354.64	594,645.36	.89	154,835.00	45,064.50	109,770.50	29.10
TOTAL-33 FLOOD/BLDG P	851,170.00	26,552.02	824,617.98	3.12	324,715.00	90,931.22	233,783.78	28.00

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FUND-41 FIRE STATION DEBT SERVICE  
 1ST SUBTOTAL-6600 DEBT SERVICE

ACCOUNT	----- CURRENT YEAR -----				----- PRIOR YEAR -----			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6601 PRINCIPAL	525,000.00	.00	525,000.00	.00	500,000.00	.00	500,000.00	.00
6602 INTEREST EXP	183,450.00	91,725.00	91,725.00	50.00	203,450.00	101,725.00	101,725.00	50.00
TOTAL-6600 DEBT SERVICE	708,450.00	91,725.00	616,725.00	12.95	703,450.00	101,725.00	601,725.00	14.46
TOTAL-41 FIRE STATION	708,450.00	91,725.00	616,725.00	12.95	703,450.00	101,725.00	601,725.00	14.46

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FUND-42 DEERPATH BRIDGE REHAB  
 1ST SUBTOTAL-6400 CAPITAL

ACCOUNT	----- CURRENT YEAR -----				----- PRIOR YEAR -----			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6440 CAP ENGINEER	.00	.00	.00	.00	90,000.00	2,841.00	87,159.00	3.16
6465 LAND ACQUISI	.00	.00	.00	.00	23,000.00	12,500.00	10,500.00	54.35
6474 INFRASTRUCTU	551,520.00	40,885.33	510,634.67	7.41	.00	.00	.00	.00
TOTAL-6400 CAPITAL	551,520.00	40,885.33	510,634.67	7.41	113,000.00	15,341.00	97,659.00	13.58
TOTAL-42 DEERPATH BRI	551,520.00	40,885.33	510,634.67	7.41	113,000.00	15,341.00	97,659.00	13.58

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FUND-43 STREET IMP. NON-MFT  
 1ST SUBTOTAL-6400 CAPITAL

ACCOUNT	----- CURRENT YEAR -----				----- PRIOR YEAR -----			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6435 CAP CONTRACT	15,000.00	2,395.20	12,604.80	15.97	15,000.00	15,828.45	-828.45	105.52
6465 LAND ACQUISI	448,000.00	.00	448,000.00	.00	.00	.00	.00	.00
6471 STREET MAINT	2,125,000.00	127,528.70	1,997,471.30	6.00	125,000.00	115,484.76	9,515.24	92.39
6474 INFRASTRUCTU	940,000.00	12,383.85	927,616.15	1.32	678,610.00	103,727.82	574,882.18	15.29
TOTAL-6400 CAPITAL	3,528,000.00	142,307.75	3,385,692.25	4.03	818,610.00	235,041.03	583,568.97	28.71
7018 TO STREET IM	.00	.00	.00	.00	18,000.00	30,940.50	-12,940.50	171.89
7042 TO DEERPATH	86,427.00	.00	86,427.00	.00	4,600.00	6,000.00	-1,400.00	130.43
TOTAL-7000 INTERFUND	86,427.00	.00	86,427.00	.00	22,600.00	36,940.50	-14,340.50	163.45
TOTAL-43 STREET IMP.	3,614,427.00	142,307.75	3,472,119.25	3.94	841,210.00	271,981.53	569,228.47	32.33

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FUND-45 SAFE ROUTES TO SCHOOL  
 1ST SUBTOTAL-6400 CAPITAL

ACCOUNT	----- CURRENT YEAR -----				----- PRIOR YEAR -----			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6435 CAP CONTRACT	.00	.00	.00	.00	.00	16,775.90	-16,775.90	.00
6474 INFRASTRUCTU	89,868.00	3,931.62	85,936.38	4.37	113,643.00	16,769.91	96,873.09	14.76
TOTAL-6400 CAPITAL	89,868.00	3,931.62	85,936.38	4.37	113,643.00	33,545.81	80,097.19	29.52
TOTAL-45 SAFE ROUTES	89,868.00	3,931.62	85,936.38	4.37	113,643.00	33,545.81	80,097.19	29.52

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FUND-48 CITY HALL CAPITAL IMPROVE  
 1ST SUBTOTAL-6400 CAPITAL

ACCOUNT	CURRENT YEAR				PRIOR YEAR			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6472 BUILDING IMP	654,500.00	26,957.48	627,542.52	4.12	601,300.00	38,830.00	562,470.00	6.46
TOTAL-6400 CAPITAL	654,500.00	26,957.48	627,542.52	4.12	601,300.00	38,830.00	562,470.00	6.46
TOTAL-48 CITY HALL CA	654,500.00	26,957.48	627,542.52	4.12	601,300.00	38,830.00	562,470.00	6.46

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FUND-56 DONOVAN BRIDGE DS  
 1ST SUBTOTAL-6600 DEBT SERVICE

ACCOUNT	----- CURRENT YEAR -----				----- PRIOR YEAR -----			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6601 PRINCIPAL	120,000.00	.00	120,000.00	.00	120,000.00	.00	120,000.00	.00
6602 INTEREST EXP	28,426.00	14,212.50	14,213.50	50.00	30,826.00	15,412.50	15,413.50	50.00
TOTAL-6600 DEBT SERVICE	148,426.00	14,212.50	134,213.50	9.58	150,826.00	15,412.50	135,413.50	10.22
TOTAL-56 DONOVAN BRID	148,426.00	14,212.50	134,213.50	9.58	150,826.00	15,412.50	135,413.50	10.22

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FUND-62 FIRE 2% FUND  
 1ST SUBTOTAL-6200 EMPLOYEE DEVELOPMENT

ACCOUNT	----- CURRENT YEAR -----				----- PRIOR YEAR -----			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6207 EMPLOYEE REC	.00	5,720.86	-5,720.86	.00	.00	.00	.00	.00
6210 TRAINING	.00	754.00	-754.00	.00	.00	.00	.00	.00
TOTAL-6200 EMPLOYEE DEV	.00	6,474.86	-6,474.86	.00	.00	.00	.00	.00
6246 COMMUNITY RE	.00	286.11	-286.11	.00	.00	.00	.00	.00
6255 CLOTHING & U	.00	882.96	-882.96	.00	.00	.00	.00	.00
6259 MEALS & REFR	.00	1,538.30	-1,538.30	.00	.00	.00	.00	.00
6264 GENERAL SUPP	.00	23,914.96	-23,914.96	.00	.00	.00	.00	.00
TOTAL-6214 COMMODITIES	.00	26,622.33	-26,622.33	.00	.00	.00	.00	.00
6505 GENERAL LIAB	.00	12,264.00	-12,264.00	.00	.00	.00	.00	.00
TOTAL-6500 INSURANCE	.00	12,264.00	-12,264.00	.00	.00	.00	.00	.00
TOTAL-62 FIRE 2% FUND	.00	45,361.19	-45,361.19	.00	.00	.00	.00	.00

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FUND-71 PW CAPITAL DEVL  
 1ST SUBTOTAL-6400 CAPITAL

ACCOUNT	CURRENT YEAR				PRIOR YEAR			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6450 VEHICLES	485,000.00	305,565.62	179,434.38	63.00	432,451.00	40,575.20	391,875.80	9.38
TOTAL-6400 CAPITAL	485,000.00	305,565.62	179,434.38	63.00	432,451.00	40,575.20	391,875.80	9.38
TOTAL-71 PW CAPITAL D	485,000.00	305,565.62	179,434.38	63.00	432,451.00	40,575.20	391,875.80	9.38

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FUND-72 FIRE CAPITAL DEVL  
 1ST SUBTOTAL-6400 CAPITAL

ACCOUNT	----- CURRENT YEAR -----				----- PRIOR YEAR -----			
	BUDGET	EXPENDITURES	BALANCE	%	BUDGET	EXPENDITURES	BALANCE	%
6450 VEHICLES	39,000.00	.00	39,000.00	.00	497,000.00	486,974.92	10,025.08	97.98
TOTAL-6400 CAPITAL	39,000.00	.00	39,000.00	.00	497,000.00	486,974.92	10,025.08	97.98
TOTAL-72 FIRE CAPITAL	39,000.00	.00	39,000.00	.00	497,000.00	486,974.92	10,025.08	97.98
TOTAL REPORT	105,236,245.00	52,374,542.30	52,861,702.70	49.77	103,294,923.00	49,073,065.49	54,221,857.51	47.51

**Open Investments**  
**City of Batavia**  
7/31/2016

<i>CUSIP</i>	<i>Invest Number</i>	<i>Security Description</i>	<i>Purchase Date</i>	<i>Yield Matur</i>	<i>Ending Amor Val/Cost</i>
Petty Cash	AR-0015	Cash	01/01/13	0.0000	550.00
<b>Cash Total</b>				<b>0.0000</b>	<b>550.00</b>
	14-0026	Certificate of Deposit 0.707 09/19/16	09/19/14	0.7070	246,500.00
	14-0032	Certificate of Deposit 0.80 12/07/16	12/08/14	0.8000	246,000.00
	15-0015	Certificate of Deposit 0.653 05/01/17	04/30/15	0.6530	246,700.00
	15-0016	Certificate of Deposit 0.40 11/09/16	05/05/15	0.4000	500,000.00
	15-0020	Certificate of Deposit 1.061 06/28/18	06/28/15	1.0610	242,000.00
	15-0036	Certificate of Deposit 0.557 09/20/16	09/21/15	0.5570	248,600.00
	15-0039	Certificate of Deposit 0.541 10/25/16	10/26/15	0.5410	248,600.00
	15-0041	Certificate of Deposit 0.46 10/26/16	10/26/15	0.4600	1,000,000.00
	15-0003	Certificate of Deposit 0.55 08/22/16	02/20/15	0.5500	250,000.00
<b>Certificate of Deposit Total</b>				<b>0.5759</b>	<b>3,228,400.00</b>
3133ECMM3	16-0006	Federal Farm Credit Bank 0.60 04/25/17	03/17/16	0.7109	499,390.00
<b>Federal Farm Credit Bank Total</b>				<b>0.7109</b>	<b>499,390.00</b>
313379FW4	15-0042	FHLB 1.00 06/09/17	12/07/15	0.8499	200,448.00
313379FW4	16-0001	FHLB 1.00 06/09/17	03/10/16	0.7988	501,245.00
3130A7EQ4	16-0003	FHLB 0.75 03/23/20	03/23/16	2.1925	250,000.00
3130A7JH9	16-0010	FHLB 1.125 09/07/18	04/08/16	1.1250	300,000.00
<b>FHLB Total</b>				<b>1.1635</b>	<b>1,251,693.00</b>
3134G74N8	15-0033	FHLMC 0.70 08/18/17	03/31/16	0.7000	500,000.00
EJ4493353	13-0014	FHLMC 1.00 11/28/17	09/13/13	1.6638	243,281.25
<b>FHLMC Total</b>				<b>1.0154</b>	<b>743,281.25</b>
Private Bank	AR-0009	Money Market	03/18/03	0.1000	750,115.68
Harris-Concentraton	AR-0012	Money Market	05/02/11	0.0000	-335,433.58
<b>Money Market Total</b>				<b>0.1809</b>	<b>414,682.10</b>
070372MY0	16-0019	Municipal Bond 3.00 01/01/20	06/30/16	1.1975	270,720.75
<b>Municipal Bond Total</b>				<b>1.1975</b>	<b>270,720.75</b>
Harris Custody - Gov Fund	AR-0014	NOW-Other MM Funds	05/27/11	0.0000	5,330.47
371-893-9 PMA Harris	AR-0016	NOW-Other MM Funds	09/03/13	0.0000	27,322.12
E-Pay Building Permits	AR-0018	NOW-Other MM Funds	11/30/15	0.0000	23,731.84
<b>Mutual Fund - Money Market Total</b>				<b>0.0000</b>	<b>56,384.43</b>
Illinois Funds	AR-0001	State Pool	03/26/02	0.0150	7,567,812.37
<b>State Pool Total</b>				<b>0.0150</b>	<b>7,567,812.37</b>
<b>10 - General Total</b>				<b>0.3551</b>	<b>14,032,913.90</b>
Private Bank	AR-0009	Money Market	03/18/03	0.1000	0.00
Harris-Concentraton	AR-0012	Money Market	05/02/11	0.0000	432,367.63
<b>Money Market Total</b>				<b>0.0001</b>	<b>432,367.63</b>
Illinois Funds	AR-0001	State Pool	03/26/02	0.0150	0.00
<b>State Pool Total</b>				<b>0.0150</b>	<b>0.00</b>
<b>11 - TIF District #1 Total</b>				<b>0.0001</b>	<b>432,367.63</b>
Private Bank	AR-0009	Money Market	03/18/03	0.1000	0.00
Harris-Concentraton	AR-0012	Money Market	05/02/11	0.0000	168,029.45
<b>Money Market Total</b>				<b>0.0002</b>	<b>168,029.45</b>
Illinois Funds	AR-0001	State Pool	03/26/02	0.0150	0.00
<b>State Pool Total</b>				<b>0.0150</b>	<b>0.00</b>
<b>12 - TIF District #3 Total</b>				<b>0.0003</b>	<b>168,029.45</b>
	15-0003	Certificate of Deposit 0.55 08/22/16	02/20/15	0.5500	250,000.00
<b>Certificate of Deposit Total</b>				<b>0.5500</b>	<b>250,000.00</b>
Private Bank	AR-0009	Money Market	03/18/03	0.1000	251,339.81
Harris-Concentraton	AR-0012	Money Market	05/02/11	0.0000	42,834.87
<b>Money Market Total</b>				<b>0.0854</b>	<b>294,174.68</b>
837681EC5	15-0014	Municipal Bond 2.00 12/15/16	05/15/15	0.7000	219,392.45

<b>Municipal Bond Total</b>					<b>0.7000</b>	<b>219,392.45</b>
Harris Custody - Gov Fund	AR-0014	NOW-Other MM Funds		05/27/11	0.0000	6,041.71
371-893-9 PMA Harris	AR-0016	NOW-Other MM Funds		09/03/13	0.0000	6,737.58
<b>Mutual Fund - Money Market Total</b>					<b>0.0000</b>	<b>12,779.29</b>
Illinois Funds	AR-0001	State Pool		03/26/02	0.0150	0.00
<b>State Pool Total</b>					<b>0.0150</b>	<b>0.00</b>
<b>15 - Health Insurance Total</b>					<b>0.4094</b>	<b>776,346.42</b>
Private Bank	AR-0009	Money Market		03/18/03	0.1000	0.00
Harris-Concentraton	AR-0012	Money Market		05/02/11	0.0000	0.00
Motor Fuel Tax 209-010-8	AR-0017	Money Market		06/01/15	0.0000	1,719,610.06
<b>Money Market Total</b>					<b>0.0000</b>	<b>1,719,610.06</b>
371-893-9 PMA Harris	AR-0016	NOW-Other MM Funds		09/03/13	0.0000	0.00
<b>Mutual Fund - Money Market Total</b>					<b>0.0000</b>	<b>0.00</b>
Illinois Funds	AR-0001	State Pool		03/26/02	0.0150	0.00
<b>State Pool Total</b>					<b>0.0150</b>	<b>0.00</b>
<b>18 - Street Improvement/MFT Total</b>					<b>0.0000</b>	<b>1,719,610.06</b>
3130A3HF4	16-0018	FHLB 1.125 12/08/17		07/21/16	0.7107	502,840.00
<b>FHLB Total</b>					<b>0.7107</b>	<b>502,840.00</b>
Private Bank	AR-0009	Money Market		03/18/03	0.1000	251,339.81
Harris-Concentraton	AR-0012	Money Market		05/02/11	0.0000	370,114.83
<b>Money Market Total</b>					<b>0.0404</b>	<b>621,454.64</b>
Harris Custody - Gov Fund	AR-0014	NOW-Other MM Funds		05/27/11	0.0000	2,488.12
<b>Mutual Fund - Money Market Total</b>					<b>0.0000</b>	<b>2,488.12</b>
Illinois Funds	AR-0001	State Pool		03/26/02	0.0150	1,029,903.66
<b>State Pool Total</b>					<b>0.0150</b>	<b>1,029,903.66</b>
<b>20 - Workers Compensation Total</b>					<b>0.1866</b>	<b>2,156,686.42</b>
02587DSV1	13-0011	DTC Certificates of Deposit 1.15 09/06/16		09/06/13	1.1043	248,333.38
<b>Agency Bond Total</b>					<b>1.1043</b>	<b>248,333.38</b>
3133EA4G0	12-0015	Agency Note 0.70 04/11/17		10/19/12	0.7091	1,699,320.00
<b>Agency Note Total</b>					<b>0.7091</b>	<b>1,699,320.00</b>
Petty Cash	AR-0015	Cash		01/01/13	0.0000	350.00
<b>Cash Total</b>					<b>0.0000</b>	<b>350.00</b>
	15-0043	Certificate of Deposit 0.73 12/07/16		12/08/15	0.7300	248,100.00
	16-0008	Certificate of Deposit 0.30 09/28/16		04/01/16	0.3000	1,000,000.00
	16-0013	Certificate of Deposit 0.893 12/11/17		06/17/16	0.8930	246,700.00
	16-0014	Certificate of Deposit 0.751 06/28/17		06/28/16	0.7510	248,100.00
	16-0015	Certificate of Deposit 0.841 12/22/17		06/30/16	0.8410	246,900.00
	15-0022	Certificate of Deposit 0.612 08/01/16		08/01/15	0.6120	248,400.00
	15-0025	Certificate of Deposit 0.45 04/03/17		10/01/15	0.4500	1,000,000.00
	15-0016	Certificate of Deposit 0.40 11/09/16		05/05/15	0.4000	500,000.00
	15-0034	Certificate of Deposit 0.798 09/05/17		06/30/16	0.7980	246,000.00
	15-0038	Certificate of Deposit 0.80 10/16/17		10/21/15	0.8000	246,000.00
	15-0026	Certificate of Deposit 0.65 10/03/17		10/01/15	0.6500	1,000,000.00
	15-0040	Certificate of Deposit 0.46 10/26/16		10/26/15	0.4600	2,000,000.00
	14-0029	Certificate of Deposit 0.64 10/23/16		10/23/14	0.6400	2,000,000.00
	15-0024	Certificate of Deposit 0.30 10/03/16		10/01/15	0.3000	1,000,000.00
<b>Certificate of Deposit Total</b>					<b>0.5318</b>	<b>10,230,200.00</b>
3133EFKL0	15-0031	Federal Farm Credit Bank 0.84 01/22/18		10/22/15	0.8400	500,000.00
3133ECPK4	15-0032	Federal Farm Credit Bank 1.30 05/15/19		10/30/15	1.3000	600,000.00
<b>Federal Farm Credit Bank Total</b>					<b>1.0909</b>	<b>1,100,000.00</b>
313379FW4	15-0042	FHLB 1.00 06/09/17		12/07/15	0.8499	50,112.00
3134G9ZZ3	16-0017	FHLB 0.75 12/30/19		06/30/16	1.3497	500,000.00
3130A6K89	15-0044	FHLB 1.05 10/05/18		10/05/15	1.0500	500,000.00
3130A7FL4	16-0002	FHLB 1.00 03/23/18		03/23/16	1.0000	500,000.00
<b>FHLB Total</b>					<b>1.1241</b>	<b>1,550,112.00</b>
3134G7S69	16-0007	FHLMC 0.75 10/27/17		03/21/16	0.8761	573,850.00
3134G74N8	15-0033	FHLMC 0.70 08/18/17		03/31/16	0.7000	300,000.00
<b>FHLMC Total</b>					<b>0.8156</b>	<b>873,850.00</b>
Private Bank	AR-0009	Money Market		03/18/03	0.1000	195,736.62

Harris-Concentraton	AR-0012	Money Market		05/02/11	0.0000	2,321,275.85
<b>Money Market Total</b>					<b>0.0078</b>	<b>2,517,012.47</b>
Harris Custody - Gov Fund	AR-0014	NOW-Other MM Funds		05/27/11	0.0000	1,755,420.25
371-893-9 PMA Harris	AR-0016	NOW-Other MM Funds		09/03/13	0.0000	27,028.25
E-Pay Building Permits	AR-0018	NOW-Other MM Funds		11/30/15	0.0000	3,008.78
<b>Mutual Fund - Money Market Total</b>					<b>0.0000</b>	<b>1,785,457.28</b>
Illinois Funds	AR-0001	State Pool		03/26/02	0.0150	0.00
<b>State Pool Total</b>					<b>0.0150</b>	<b>0.00</b>
912828J92	16-0005	Treasury Note 0.50 03/31/17		03/17/16	0.7000	498,967.16
<b>Treasury Note Total</b>					<b>0.7000</b>	<b>498,967.16</b>
<b>21 - Electric Total</b>					<b>0.5355</b>	<b>20,503,602.29</b>
Private Bank	AR-0009	Money Market		03/18/03	0.1000	0.00
<b>Money Market Total</b>					<b>0.1000</b>	<b>0.00</b>
<b>26 - Electric Revenue Bonds Total</b>					<b>0.1000</b>	<b>0.00</b>
	16-0016	Certificate of Deposit 0.651 06/30/17		06/30/16	0.6510	248,300.00
	16-0020	Certificate of Deposit 1.017 07/30/18		07/29/16	1.0170	244,900.00
	15-0003	Certificate of Deposit 0.55 08/22/16		02/20/15	0.5500	250,000.00
<b>Certificate of Deposit Total</b>					<b>0.7376</b>	<b>743,200.00</b>
3130A6K71	15-0030	FHLB 0.73 10/05/17		10/05/15	0.7300	250,000.00
3130A7JH9	16-0010	FHLB 1.125 09/07/18		04/08/16	1.1250	200,000.00
3130A7EQ4	16-0003	FHLB 0.75 03/23/20		03/23/16	2.1925	250,000.00
<b>FHLB Total</b>					<b>1.3652</b>	<b>700,000.00</b>
Private Bank	AR-0009	Money Market		03/18/03	0.1000	506,198.92
Harris-Concentraton	AR-0012	Money Market		05/02/11	0.0000	1,183,224.12
<b>Money Market Total</b>					<b>0.0300</b>	<b>1,689,423.04</b>
Harris Custody - Gov Fund	AR-0014	NOW-Other MM Funds		05/27/11	0.0000	9,630.10
371-893-9 PMA Harris	AR-0016	NOW-Other MM Funds		09/03/13	0.0000	11,154.01
E-Pay Building Permits	AR-0018	NOW-Other MM Funds		11/30/15	0.0000	300.16
<b>Mutual Fund - Money Market Total</b>					<b>0.0000</b>	<b>21,084.27</b>
Illinois Funds	AR-0001	State Pool		03/26/02	0.0150	150,249.53
<b>State Pool Total</b>					<b>0.0150</b>	<b>150,249.53</b>
<b>30 - Water Total</b>					<b>0.4753</b>	<b>3,303,956.84</b>
	15-0003	Certificate of Deposit 0.55 08/22/16		02/20/15	0.5500	250,000.00
<b>Certificate of Deposit Total</b>					<b>0.5500</b>	<b>250,000.00</b>
Private Bank	AR-0009	Money Market		03/18/03	0.1000	251,339.81
Harris-Concentraton	AR-0012	Money Market		05/02/11	0.0000	1,875,282.26
<b>Money Market Total</b>					<b>0.0118</b>	<b>2,126,622.07</b>
Illinois Funds	AR-0001	State Pool		03/26/02	0.0150	225,461.79
<b>State Pool Total</b>					<b>0.0150</b>	<b>225,461.79</b>
<b>31 - Wastewater Total</b>					<b>0.0646</b>	<b>2,602,083.86</b>
Harris-Concentraton	AR-0012	Money Market		05/02/11	0.0000	889,666.74
<b>Money Market Total</b>					<b>0.0000</b>	<b>889,666.74</b>
Illinois Funds	AR-0001	State Pool		03/26/02	0.0150	0.00
<b>State Pool Total</b>					<b>0.0150</b>	<b>0.00</b>
<b>33 - Drainage Projects Total</b>					<b>0.0000</b>	<b>889,666.74</b>
Private Bank	AR-0009	Money Market		03/18/03	0.1000	0.00
Harris-Concentraton	AR-0012	Money Market		05/02/11	0.0000	621,625.90
<b>Money Market Total</b>					<b>0.0001</b>	<b>621,625.90</b>
Illinois Funds	AR-0001	State Pool		03/26/02	0.0150	0.00
<b>State Pool Total</b>					<b>0.0150</b>	<b>0.00</b>
<b>41 - Fire Station Debt Service Total</b>					<b>0.0001</b>	<b>621,625.90</b>
Harris-Concentraton	AR-0012	Money Market		05/02/11	0.0000	-19,793.73
<b>Money Market Total</b>					<b>0.0000</b>	<b>-19,793.73</b>
<b>42 - Deerpath Bridge Rehab Total</b>					<b>0.0000</b>	<b>-19,793.73</b>
Harris-Concentraton	AR-0012	Money Market		05/02/11	0.0000	780,279.61
<b>Money Market Total</b>					<b>0.0000</b>	<b>780,279.61</b>
Illinois Funds	AR-0001	State Pool		03/26/02	0.0150	0.00
<b>State Pool Total</b>					<b>0.0150</b>	<b>0.00</b>
<b>43 - Street Imp/Non-MFT Total</b>					<b>0.0000</b>	<b>780,279.61</b>

Harris-Concentraton	AR-0012	Money Market	05/02/11	0.0000	-13,586.25
<b>Money Market Total</b>				<b>0.0000</b>	<b>-13,586.25</b>
<b>45 - Safe Routes to School Projects Total</b>				<b>0.0000</b>	<b>-13,586.25</b>
Private Bank	AR-0009	Money Market	03/18/03	0.1000	0.00
<b>Money Market Total</b>				<b>0.1000</b>	<b>0.00</b>
<b>46 - Fire Station Renovations Total</b>				<b>0.1000</b>	<b>0.00</b>
Private Bank	AR-0009	Money Market	03/18/03	0.1000	506,292.69
Harris-Concentraton	AR-0012	Money Market	05/02/11	0.0000	535,485.91
<b>Money Market Total</b>				<b>0.0486</b>	<b>1,041,778.60</b>
Illinois Funds	AR-0001	State Pool	03/26/02	0.0150	0.00
<b>State Pool Total</b>				<b>0.0150</b>	<b>0.00</b>
<b>48 - City Hall Capital Improvements Total</b>				<b>0.0486</b>	<b>1,041,778.60</b>
Harris-Concentraton	AR-0012	Money Market	05/02/11	0.0000	0.00
<b>Money Market Total</b>				<b>0.0000</b>	<b>0.00</b>
Illinois Funds	AR-0001	State Pool	03/26/02	0.0150	0.00
<b>State Pool Total</b>				<b>0.0150</b>	<b>0.00</b>
<b>51 - Building Renovation DS Total</b>				<b>0.0150</b>	<b>0.00</b>
Harris-Concentraton	AR-0012	Money Market	05/02/11	0.0000	0.00
<b>Money Market Total</b>				<b>0.0000</b>	<b>0.00</b>
Illinois Funds	AR-0001	State Pool	03/26/02	0.0150	0.00
<b>State Pool Total</b>				<b>0.0150</b>	<b>0.00</b>
<b>53 - Fire &amp; PW &amp; Water D.S. Total</b>				<b>0.0150</b>	<b>0.00</b>
Harris-Concentraton	AR-0012	Money Market	05/02/11	0.0000	0.00
<b>Money Market Total</b>				<b>0.0000</b>	<b>0.00</b>
Illinois Funds	AR-0001	State Pool	03/26/02	0.0150	0.00
<b>State Pool Total</b>				<b>0.0150</b>	<b>0.00</b>
<b>54 - TIF Debt Service Total</b>				<b>0.0150</b>	<b>0.00</b>
Harris-Concentraton	AR-0012	Money Market	05/02/11	0.0000	0.00
<b>Money Market Total</b>				<b>0.0000</b>	<b>0.00</b>
Illinois Funds	AR-0001	State Pool	03/26/02	0.0150	0.00
<b>State Pool Total</b>				<b>0.0150</b>	<b>0.00</b>
<b>55 - Flood Control DS Total</b>				<b>0.0150</b>	<b>0.00</b>
Harris-Concentraton	AR-0012	Money Market	05/02/11	0.0000	67,909.04
<b>Money Market Total</b>				<b>0.0000</b>	<b>67,909.04</b>
Illinois Funds	AR-0001	State Pool	03/26/02	0.0150	0.00
<b>State Pool Total</b>				<b>0.0150</b>	<b>0.00</b>
<b>56 - Bridge Bonds DS Total</b>				<b>0.0001</b>	<b>67,909.04</b>
Private Bank	AR-0009	Money Market	03/18/03	0.1000	0.00
Harris-Concentraton	AR-0012	Money Market	05/02/11	0.0000	0.00
<b>Money Market Total</b>				<b>0.1000</b>	<b>0.00</b>
Illinois Funds	AR-0001	State Pool	03/26/02	0.0150	0.00
<b>State Pool Total</b>				<b>0.0150</b>	<b>0.00</b>
<b>59 - Donovan Bridge Project Total</b>				<b>0.1150</b>	<b>0.00</b>
Harris-Concentraton	AR-0012	Money Market	05/02/11	0.0000	0.00
<b>Money Market Total</b>				<b>0.0000</b>	<b>0.00</b>
Illinois Funds	AR-0001	State Pool	03/26/02	0.0150	0.00
<b>State Pool Total</b>				<b>0.0150</b>	<b>0.00</b>
<b>60 - Perpetual Care Total</b>				<b>0.0150</b>	<b>0.00</b>
Harris-Concentraton	AR-0012	Money Market	05/02/11	0.0000	122,431.16
<b>Money Market Total</b>				<b>0.0000</b>	<b>122,431.16</b>
E-Pay Building Permits	AR-0018	NOW-Other MM Funds	11/30/15	0.0000	204.11
<b>Mutual Fund - Money Market Total</b>				<b>0.0000</b>	<b>204.11</b>
Illinois Funds	AR-0001	State Pool	03/26/02	0.0150	0.00
<b>State Pool Total</b>				<b>0.0150</b>	<b>0.00</b>
<b>61 - Trust &amp; Agency Total</b>				<b>0.0000</b>	<b>122,635.27</b>
<b>Certificate of Deposit Total</b>	16-0012	Certificate of Deposit 0.40 09/06/17	03/06/16	0.4000	13,024.97
				<b>0.4000</b>	<b>13,024.97</b>
2% Fund Checking	AR-0019	Money Market	01/01/16	0.0000	25,973.60
2% Fund Savings	AR-0020	Money Market	01/01/16	0.0000	36,665.59

<b>Money Market Total</b>					<b>0.0000</b>	<b>62,639.19</b>	
<b>62 - 2% Fund Total</b>					<b>0.0690</b>	<b>75,664.16</b>	
Harris-Concentraton	AR-0012	Money Market		05/02/11	0.0000	4,371.86	
<b>Money Market Total</b>					<b>0.0000</b>	<b>4,371.86</b>	
<b>63 - SSA #57 Total</b>					<b>0.0000</b>	<b>4,371.86</b>	
Harris-Concentraton	AR-0012	Money Market		05/02/11	0.0000	8,745.54	
Harris CDAP	AR-0013	Money Market		06/01/11	0.0000	290,944.63	
<b>Money Market Total</b>					<b>0.0000</b>	<b>299,690.17</b>	
<b>64 - CDAP Total</b>					<b>0.0000</b>	<b>299,690.17</b>	
	15-0035	Certificate of Deposit	0.76	09/16/16	09/16/15	0.7600	248,100.00
<b>Certificate of Deposit Total</b>					<b>0.7600</b>	<b>248,100.00</b>	
Harris-Concentraton	AR-0012	Money Market		05/02/11	0.0000	273,291.59	
<b>Money Market Total</b>					<b>0.0000</b>	<b>273,291.59</b>	
371-893-9 PMA Harris	AR-0016	NOW-Other MM Funds		09/03/13	0.0000	2,907.10	
<b>Mutual Fund - Money Market Total</b>					<b>0.0000</b>	<b>2,907.10</b>	
Illinois Funds	AR-0001	State Pool		03/26/02	0.0150	0.00	
<b>State Pool Total</b>					<b>0.0150</b>	<b>0.00</b>	
<b>71 - Public Works Capital Dev. Total</b>					<b>0.3628</b>	<b>524,298.69</b>	
	14-0027	Certificate of Deposit	1.149	09/19/17	09/19/14	1.1490	241,600.00
<b>Certificate of Deposit Total</b>					<b>1.1490</b>	<b>241,600.00</b>	
3134G74N8	15-0033	FHLMC	0.70	08/18/17	03/31/16	0.7000	200,000.00
<b>FHLMC Total</b>					<b>0.7000</b>	<b>200,000.00</b>	
Harris-Concentraton	AR-0012	Money Market		05/02/11	0.0000	493,714.30	
<b>Money Market Total</b>					<b>0.0000</b>	<b>493,714.30</b>	
Harris Custody - Gov Fund	AR-0014	NOW-Other MM Funds		05/27/11	0.0000	350.00	
371-893-9 PMA Harris	AR-0016	NOW-Other MM Funds		09/03/13	0.0000	8,408.42	
<b>Mutual Fund - Money Market Total</b>					<b>0.0000</b>	<b>8,758.42</b>	
Illinois Funds	AR-0001	State Pool		03/26/02	0.0150	0.00	
<b>State Pool Total</b>					<b>0.0150</b>	<b>0.00</b>	
<b>72 - Fire Dept. Capital Dev. Total</b>					<b>0.4462</b>	<b>944,072.72</b>	
02587DSV1	13-0011	DTC Certificates of Deposit	1.15	09/06/16	09/06/13	1.1043	248,333.38
<b>Agency Bond Total</b>					<b>1.1043</b>	<b>248,333.38</b>	
3133EA4G0	12-0015	Agency Note	0.70	04/11/17	10/19/12	0.7091	1,699,320.00
<b>Agency Note Total</b>					<b>0.7091</b>	<b>1,699,320.00</b>	
Petty Cash	AR-0015	Cash		01/01/13	0.0000	900.00	
<b>Cash Total</b>					<b>0.0000</b>	<b>900.00</b>	
	15-0034	Certificate of Deposit	0.798	09/05/17	06/30/16	0.7980	246,000.00
	15-0038	Certificate of Deposit	0.80	10/16/17	10/21/15	0.8000	246,000.00
	15-0040	Certificate of Deposit	0.46	10/26/16	10/26/15	0.4600	2,000,000.00
	15-0043	Certificate of Deposit	0.73	12/07/16	12/08/15	0.7300	248,100.00
	16-0014	Certificate of Deposit	0.751	06/28/17	06/28/16	0.7510	248,100.00
	15-0003	Certificate of Deposit	0.55	08/22/16	02/20/15	0.5500	1,000,000.00
	15-0026	Certificate of Deposit	0.65	10/03/17	10/01/15	0.6500	1,000,000.00
	16-0012	Certificate of Deposit	0.40	09/06/17	03/06/16	0.4000	13,024.97
	15-0041	Certificate of Deposit	0.46	10/26/16	10/26/15	0.4600	1,000,000.00
	15-0039	Certificate of Deposit	0.541	10/25/16	10/26/15	0.5410	248,600.00
	15-0022	Certificate of Deposit	0.612	08/01/16	08/01/15	0.6120	248,400.00
	14-0029	Certificate of Deposit	0.64	10/23/16	10/23/14	0.6400	2,000,000.00
	15-0015	Certificate of Deposit	0.653	05/01/17	04/30/15	0.6530	246,700.00
	15-0020	Certificate of Deposit	1.061	06/28/18	06/28/15	1.0610	242,000.00
	14-0032	Certificate of Deposit	0.80	12/07/16	12/08/14	0.8000	246,000.00
	14-0027	Certificate of Deposit	1.149	09/19/17	09/19/14	1.1490	241,600.00
	15-0036	Certificate of Deposit	0.557	09/20/16	09/21/15	0.5570	248,600.00
	16-0008	Certificate of Deposit	0.30	09/28/16	04/01/16	0.3000	1,000,000.00
	16-0016	Certificate of Deposit	0.651	06/30/17	06/30/16	0.6510	248,300.00
	15-0035	Certificate of Deposit	0.76	09/16/16	09/16/15	0.7600	248,100.00
	16-0020	Certificate of Deposit	1.017	07/30/18	07/29/16	1.0170	244,900.00
	16-0013	Certificate of Deposit	0.893	12/11/17	06/17/16	0.8930	246,700.00
	15-0024	Certificate of Deposit	0.30	10/03/16	10/01/15	0.3000	1,000,000.00

	14-0026	Certificate of Deposit	0.707	09/19/16	09/19/14	0.7070	246,500.00
	15-0016	Certificate of Deposit	0.40	11/09/16	05/05/15	0.4000	1,000,000.00
	15-0025	Certificate of Deposit	0.45	04/03/17	10/01/15	0.4500	1,000,000.00
	16-0015	Certificate of Deposit	0.841	12/22/17	06/30/16	0.8410	246,900.00
<b>Certificate of Deposit Total</b>						<b>0.5653</b>	<b>15,204,524.97</b>
3133EFKL0	15-0031	Federal Farm Credit Bank	0.84	01/22/18	10/22/15	0.8400	500,000.00
3133ECMM3	16-0006	Federal Farm Credit Bank	0.60	04/25/17	03/17/16	0.7109	499,390.00
3133ECPK4	15-0032	Federal Farm Credit Bank	1.30	05/15/19	10/30/15	1.3000	600,000.00
<b>Federal Farm Credit Bank Total</b>						<b>0.9723</b>	<b>1,599,390.00</b>
3130A7EQ4	16-0003	FHLB	0.75	03/23/20	03/23/16	2.1925	500,000.00
3130A7FL4	16-0002	FHLB	1.00	03/23/18	03/23/16	1.0000	500,000.00
313379FW4	15-0042	FHLB	1.00	06/09/17	12/07/15	0.8499	250,560.00
3130A7JH9	16-0010	FHLB	1.125	09/07/18	04/08/16	1.1250	500,000.00
3130A3HF4	16-0018	FHLB	1.125	12/08/17	07/21/16	0.7107	502,840.00
3130A6K71	15-0030	FHLB	0.73	10/05/17	10/05/15	0.7300	250,000.00
3130A6K89	15-0044	FHLB	1.05	10/05/18	10/05/15	1.0500	500,000.00
313379FW4	16-0001	FHLB	1.00	06/09/17	03/10/16	0.7988	501,245.00
3134G9ZZ3	16-0017	FHLB	0.75	12/30/19	06/30/16	1.3497	500,000.00
<b>FHLB Total</b>						<b>1.1266</b>	<b>4,004,645.00</b>
3134G7S69	16-0007	FHLMC	0.75	10/27/17	03/21/16	0.8761	573,850.00
EJ4493353	13-0014	FHLMC	1.00	11/28/17	09/13/13	1.6638	243,281.25
3134G74N8	15-0033	FHLMC	0.70	08/18/17	03/31/16	0.7000	1,000,000.00
<b>FHLMC Total</b>						<b>0.8846</b>	<b>1,817,131.25</b>
Private Bank	AR-0009	Money Market			03/18/03	0.1000	2,712,363.34
Motor Fuel Tax 209-010-8	AR-0017	Money Market			06/01/15	0.0000	1,719,610.06
Harris-Concentraton	AR-0012	Money Market			05/02/11	0.0000	9,821,837.10
Harris CDAP	AR-0013	Money Market			06/01/11	0.0000	290,944.63
2% Fund Checking	AR-0019	Money Market			01/01/16	0.0000	25,973.60
2% Fund Savings	AR-0020	Money Market			01/01/16	0.0000	36,665.59
<b>Money Market Total</b>						<b>0.0186</b>	<b>14,607,394.32</b>
837681EC5	15-0014	Municipal Bond	2.00	12/15/16	05/15/15	0.7000	219,392.45
070372MY0	16-0019	Municipal Bond	3.00	01/01/20	06/30/16	1.1975	270,720.75
<b>Municipal Bond Total</b>						<b>0.9748</b>	<b>490,113.20</b>
Harris Custody - Gov Fund	AR-0014	NOW-Other MM Funds			05/27/11	0.0000	1,779,260.65
E-Pay Building Permits	AR-0018	NOW-Other MM Funds			11/30/15	0.0000	27,244.89
371-893-9 PMA Harris	AR-0016	NOW-Other MM Funds			09/03/13	0.0000	83,557.48
<b>Mutual Fund - Money Market Total</b>						<b>0.0000</b>	<b>1,890,063.02</b>
Illinois Funds	AR-0001	State Pool			03/26/02	0.0150	8,973,427.35
<b>State Pool Total</b>						<b>0.0150</b>	<b>8,973,427.35</b>
912828J92	16-0005	Treasury Note	0.50	03/31/17	03/17/16	0.7000	498,967.16
<b>Treasury Note Total</b>						<b>0.7000</b>	<b>498,967.16</b>
<b>Combined Port Total</b>						<b>0.3748</b>	<b>51,034,209.65</b>

# Portfolio Composition

## City of Batavia

Unamortized Book Value

Receipts in Period

07/31/16 - 07/31/16

Run Date: 08/24/16

Run Time: 10:43:48

Page 1 of 2

Security	07/31/16
Description	07/31/16

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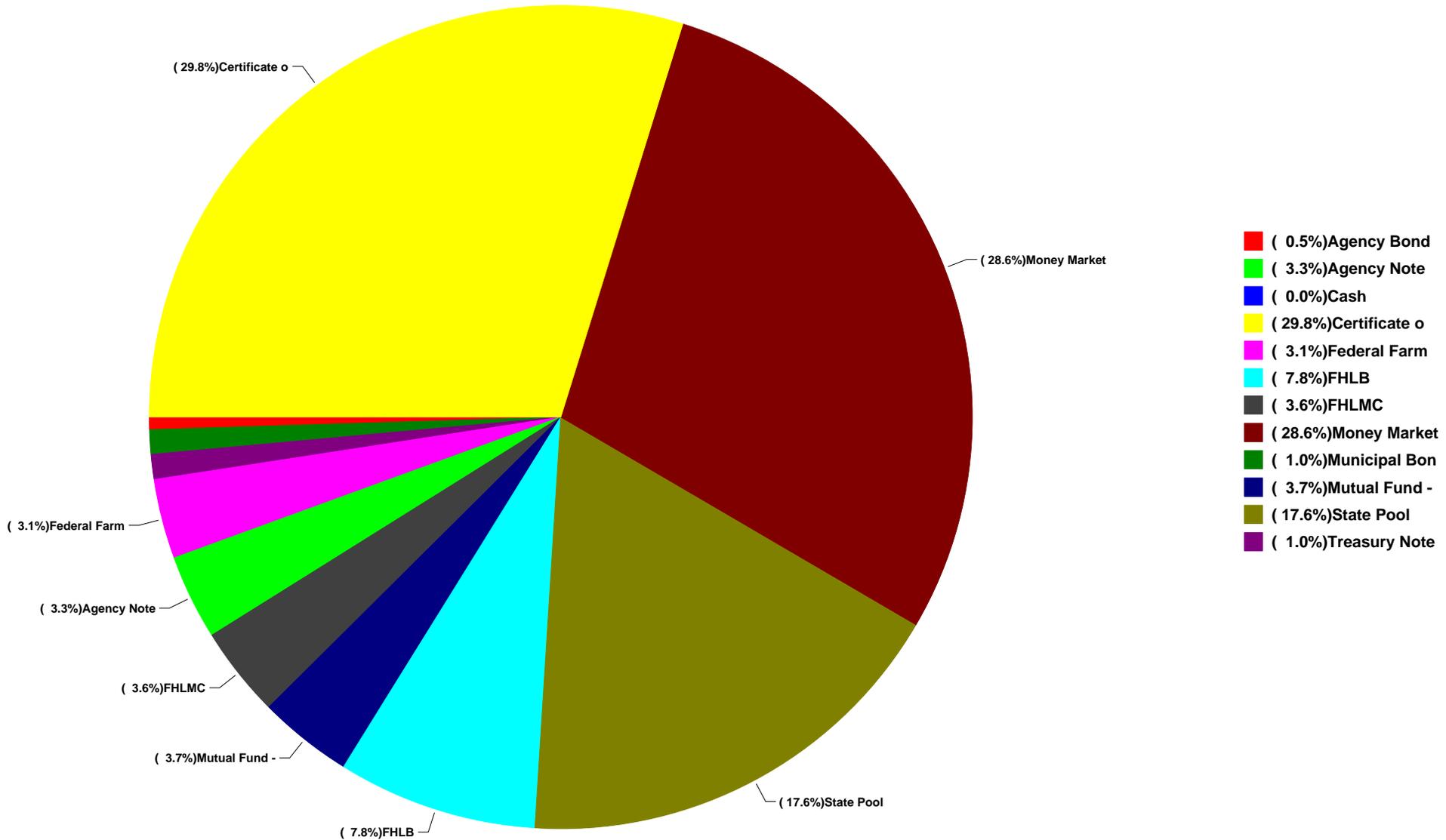
Combined Port	
Agency Bond	248,333.38
Agency Note	1,699,320.00
Cash	900.00
Certificate o	15,204,524.97
Federal Farm	1,599,390.00
FHLB	4,004,645.00
FHLMC	1,817,131.25
Money Market	14,607,394.32
Municipal Bon	490,113.20
Mutual Fund -	1,890,063.02
State Pool	8,973,427.35
Treasury Note	<u>498,967.16</u>
<b>Port Total</b>	<b><u>51,034,209.65</u></b>

Combined Port	
Agency Bond	0.4866
Agency Note	3.3298
Cash	0.0018
Certificate o	29.7928
Federal Farm	3.1340
FHLB	7.8470
FHLMC	3.5606
Money Market	28.6228
Municipal Bon	0.9604
Mutual Fund -	3.7035
State Pool	17.5832
Treasury Note	<u>0.9777</u>
<b>Port Total</b>	<b><u>100.0000</u></b>

**Portfolio Composition**  
**City of Batavia**  
Unamortized Book Value  
Receipts in Period  
07/31/16 - 07/31/16

By Security

**Portfolio Total: \$51,034,210**



# Institution Policy Compliance

## City of Batavia

Effective Interest - Actual Life

Receipts in Period

7/31/2016

	<i>CUSIP</i>	<i>Invest Number</i>	<i>Security Description</i>	<i>Purchase Date</i>	<i>Par Val/Shares SafeKept</i>	
2 - Harris Bank Batavia	Harris-Concentrator	AR-0012	Money Market	05/02/11	9821837.1	
	Harris CDAP	AR-0013	Money Market	06/01/11	290944.63	
	Motor Fuel Tax 209-010-8	AR-0017	Money Market	06/01/15	1719610.06	
	<b>Money Market Total</b>				<b>11832391.79</b>	
	Harris Custody - Gov Fund	AR-0014	NOW-Other MM Funds	05/27/11	1779260.65	
	<b>Mutual Fund - Money Market Total</b>				<b>1779260.65</b>	
	<b>2 - Harris Bank Batavia Total</b>				<b>13611652.44</b>	
	<b>Compliance Check</b>				<b>27.54/40.00</b>	
3 - Illinois Funds	E-Pay Building Permits	AR-0018	NOW-Other MM Funds	11/30/15	27244.89	
	<b>Mutual Fund - Money Market Total</b>				<b>27244.89</b>	
	Illinois Funds	AR-0001	State Pool	03/26/02	8973427.35	
	<b>State Pool Total</b>				<b>8973427.35</b>	
	<b>3 - Illinois Funds Total</b>				<b>9000672.24</b>	
	<b>Compliance Check</b>				<b>18.21/40.00</b>	
5 - Private Bank		14-0029	Certificate of Deposit 0.64 10/23/16	10/23/14	2000000	
		15-0003	Certificate of Deposit 0.55 08/22/16	02/20/15	1000000	
		15-0016	Certificate of Deposit 0.40 11/09/16	05/05/15	1000000	
		15-0024	Certificate of Deposit 0.30 10/03/16	10/01/15	1000000	
		15-0025	Certificate of Deposit 0.45 04/03/17	10/01/15	1000000	
		15-0026	Certificate of Deposit 0.65 10/03/17	10/01/15	1000000	
		15-0040	Certificate of Deposit 0.46 10/26/16	10/26/15	2000000	
		15-0041	Certificate of Deposit 0.46 10/26/16	10/26/15	1000000	
		16-0008	Certificate of Deposit 0.30 09/28/16	04/01/16	1000000	
		<b>Certificate of Deposit Total</b>				<b>11000000</b>
		Private Bank	AR-0009	Money Market	03/18/03	2712363.34
	<b>Money Market Total</b>				<b>2712363.34</b>	
	<b>5 - Private Bank Total</b>				<b>13712363.34</b>	
	<b>Compliance Check</b>				<b>27.75/40.00</b>	
6 - Fifth Third	3133EA4G0	12-0015	Agency Note 0.70 04/11/17	10/19/12	0	

	<b>Agency Note Total</b>					<b>0</b>
	3130A6K71	15-0030	FHLB 0.73	10/05/17	10/05/15	0
	313379FW4	15-0042	FHLB 1.00	06/09/17	12/07/15	0
	3130A6K89	15-0044	FHLB 1.05	10/05/18	10/05/15	0
	313379FW4	16-0001	FHLB 1.00	06/09/17	03/10/16	0
	3130A7FL4	16-0002	FHLB 1.00	03/23/18	03/23/16	0
	3130A7EQ4	16-0003	FHLB 0.75	03/23/20	03/23/16	0
	3130A7JH9	16-0010	FHLB 1.125	09/07/18	04/08/16	0
	3134G9ZZ3	16-0017	FHLB 0.75	12/30/19	06/30/16	0
	3130A3HF4	16-0018	FHLB 1.125	12/08/17	07/21/16	0
	<b>FHLB Total</b>					<b>0</b>
	EJ4493353	13-0014	FHLMC 1.00	11/28/17	09/13/13	0
	3134G74N8	15-0033	FHLMC 0.70	08/18/17	03/31/16	0
	3134G7S69	16-0007	FHLMC 0.75	10/27/17	03/21/16	0
	<b>FHLMC Total</b>					<b>0</b>
	837681EC5	15-0014	Municipal Bond 2.00	12/15/16	05/15/15	0
	070372MY0	16-0019	Municipal Bond 3.00	01/01/20	06/30/16	0
	<b>Municipal Bond Total</b>					<b>0</b>
	912828J92	16-0005	Treasury Note 0.50	03/31/17	03/17/16	0
	<b>Treasury Note Total</b>					<b>0</b>
	<b>6 - Fifth Third Total</b>					<b>0</b>
	<b>Compliance Check</b>					<b>0.00/100.00</b>
9 - PMA-Harris	02587DSV1	13-0011	DTC Certificates of Deposit 1.15	09/06/16	09/06/13	248000
	<b>Agency Bond Total</b>					<b>248000</b>
		14-0026	Certificate of Deposit 0.707	09/19/16	09/19/14	246500
		14-0027	Certificate of Deposit 1.149	09/19/17	09/19/14	241600
		14-0032	Certificate of Deposit 0.80	12/07/16	12/08/14	246000
		15-0015	Certificate of Deposit 0.653	05/01/17	04/30/15	246700
		15-0020	Certificate of Deposit 1.061	06/28/18	06/28/15	242000
		15-0022	Certificate of Deposit 0.612	08/01/16	08/01/15	248400
		15-0034	Certificate of Deposit 0.798	09/05/17	06/30/16	246000
		15-0035	Certificate of Deposit 0.76	09/16/16	09/16/15	248100
		15-0036	Certificate of Deposit 0.557	09/20/16	09/21/15	248600
		15-0038	Certificate of Deposit 0.80	10/16/17	10/21/15	246000
		15-0039	Certificate of Deposit 0.541	10/25/16	10/26/15	248600
		15-0043	Certificate of Deposit 0.73	12/07/16	12/08/15	248100
		16-0013	Certificate of Deposit 0.893	12/11/17	06/17/16	246700
		16-0014	Certificate of Deposit 0.751	06/28/17	06/28/16	248100

		16-0015	Certificate of Deposit	0.841	12/22/17	06/30/16	246900
		16-0016	Certificate of Deposit	0.651	06/30/17	06/30/16	248300
		16-0020	Certificate of Deposit	1.017	07/30/18	07/29/16	244900
	<b>Certificate of Deposit Total</b>						<b>4191500</b>
	371-893-9 PMA Harris	AR-0016	NOW-Other MM Funds			09/03/13	83557.48
	<b>Mutual Fund - Money Market Total</b>						<b>83557.48</b>
	<b>9 - PMA-Harris Total</b>						<b>4523057.48</b>
	<b>Compliance Check</b>						<b>9.15/100.00</b>
10 - City of Batavia	Petty Cash	AR-0015	Cash			01/01/13	900
	<b>Cash Total</b>						<b>900</b>
	<b>10 - City of Batavia Total</b>						<b>900</b>
	<b>Compliance Check</b>						<b>0.00/100.00</b>
11 - Custody - Harris Bank	3133EA4G0	12-0015	Agency Note	0.70	04/11/17	10/19/12	1700000
	<b>Agency Note Total</b>						<b>1700000</b>
	3130A6K71	15-0030	FHLB	0.73	10/05/17	10/05/15	250000
	313379FW4	15-0042	FHLB	1.00	06/09/17	12/07/15	250000
	3130A6K89	15-0044	FHLB	1.05	10/05/18	10/05/15	500000
	313379FW4	16-0001	FHLB	1.00	06/09/17	03/10/16	500000
	3130A7FL4	16-0002	FHLB	1.00	03/23/18	03/23/16	500000
	3130A7EQ4	16-0003	FHLB	0.75	03/23/20	03/23/16	500000
	3130A7JH9	16-0010	FHLB	1.125	09/07/18	04/08/16	500000
	3134G9ZZ3	16-0017	FHLB	0.75	12/30/19	06/30/16	500000
	3130A3HF4	16-0018	FHLB	1.125	12/08/17	07/21/16	500000
	<b>FHLB Total</b>						<b>4000000</b>
	EJ4493353	13-0014	FHLMC	1.00	11/28/17	09/13/13	250000
	3134G74N8	15-0033	FHLMC	0.70	08/18/17	03/31/16	1000000
	3134G7S69	16-0007	FHLMC	0.75	10/27/17	03/21/16	575000
	<b>FHLMC Total</b>						<b>1825000</b>
	837681EC5	15-0014	Municipal Bond	2.00	12/15/16	05/15/15	215000
	070372MY0	16-0019	Municipal Bond	3.00	01/01/20	06/30/16	255000
	<b>Municipal Bond Total</b>						<b>470000</b>
	912828J92	16-0005	Treasury Note	0.50	03/31/17	03/17/16	500000
	<b>Treasury Note Total</b>						<b>500000</b>
	<b>11 - Custody - Harris Bank Total</b>						<b>8495000</b>
	<b>Compliance Check</b>						<b>17.19/100.00</b>
62 - State First Bank		16-0012	Certificate of Deposit	0.40	09/06/17	03/06/16	13024.97
	<b>Certificate of Deposit Total</b>						<b>13024.97</b>
	2% Fund Checking	AR-0019	Money Market			01/01/16	25973.6

2% Fund Savings

AR-0020 Money Market

01/01/16 36665.59

**Money Market Total**

**62639.19**

**62 - State First Bank Total**

**75664.16**

**Compliance Check**

**0.15/100.00**

# CITY OF BATAVIA

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**DATE:** August 22, 2016  
**TO:** Committee of the Whole - Utilities  
**FROM:** Robert Rogde  
**SUBJECT:** Resolution 16-74-R Authorizing Execution of an Agreement with Hitachi HVB, Inc. for 345kV Breaker Maintenance for \$50,000

The easement agreement that the City of Batavia has with Department of Energy for facilities to be located at Fermi Lab requires the City to perform certain maintenance activities on the 345kV transmission system at Fermi Lab. There is a window this fall to perform maintenance on the 345kV Power Circuit Breaker on the east end of the "PI Line". There will be a window to perform maintenance on the 345kV Power Circuit Breaker on the east end of the Kautz Road Line in 2017. These transmission lines are taken out of service at minimum amount of times and the City needs to perform the maintenance during these windows. These maintenance windows only occur when the experiment schedules allow. The maintenance on the breakers must be performed while the lines are de-energized.

Staff has budgeted \$ 110,000 in 2016 for the maintenance of the 345 kV Breakers. Staff has secured a quote, from the manufacturer of the breakers for labor, materials, and expenses to perform the maintenance of the first breaker for \$ 46,718.30. Staff is requesting approval for \$ 50,000, which is the quoted amount plus a seven percent contingency for the work in 2016. The remaining balance of the \$ 110,000 will be included in the 2017 budget for the 2017 work.

The City has just performed some maintenance on the 138kV system and the costs quoted by the manufacturer are reasonable. The 345kV breakers are very specialized equipment and the best course of action is to have the manufacturer perform the maintenance. This is the reason Staff is recommending to waive formal bidding and to contract with Hitachi HVB for the maintenance.

Attached are the documents supporting the memo and Resolution.

Staff is recommends to waive formal bidding and approval of Resolution 16-74-R Authorizing Execution of an Agreement with Hitachi HVB, Inc. for 345kV Breaker Maintenance

**CITY OF BATAVIA, ILLINOIS  
RESOLUTION 16-74-R**

**AUTHORIZING EXECUTION OF AN AGREEMENT FOR 345kV BREAKER  
MAINTENANCE WITH HITACHI HVB, INC. FOR \$ 50,000**

**WHEREAS**, the City of Batavia owns and operates an electric utility; and

**WHEREAS**, the City of Batavia has entered in to an easement agreement, with the United States Department of Energy, to place facilities on the property occupied by Fermi Lab ; and

**WHEREAS**, the terms of that agreement require the City of Batavia to perform maintenance on the 345kV breaker on the east ends of the “PI Line”; and

**WHEREAS**, it is in the best interests of the City of Batavia to accept the proposal from Hitachi HVB, Inc., the breaker manufacturer, to perform maintenance on the 345kV breaker on the east end of the “PI Line”; and

**NOW, THEREFORE, BE IT RESOLVED**, by the Mayor and City Council of the City of Batavia, Kane and DuPage Counties, Illinois, as follows:

**SECTION 1:** That the Mayor and City Clerk are hereby authorized to enter into a contract with Hitachi HVB, Inc. for an amount not to exceed \$ 50,000.00, which is the quoted amount plus a 7% contingency, attached hereto as Exhibit 1.

**PRESENTED** to and **PASSED** by the City Council of the City of Batavia, Illinois, this 6<sup>th</sup> day of September, 2016.

**APPROVED** by me as Mayor of said City of Batavia, Illinois, this 6<sup>th</sup> day of September, 2016.

---

Jeffery D. Schielke, Mayor

Ward	Aldermen	Ayes	Nays	Absent	Abstain	Aldermen	Ayes	Nays	Absent	Abstain
1	O'Brien					Fischer				
2	Callahan					Wolff				
3	Hohmann					Chanzit				
4	Mueller					Stark				
5	Botterman					Theelin Atac				
6	Cerone					Russotto				
7	McFadden					Brown				
Mayor Schielke										
VOTE:		Ayes	Nays	Absent		Abstentions				
Total holding office: Mayor and 14 aldermen										

ATTEST:

\_\_\_\_\_  
Christine Simkins, Deputy City Clerk

# Exhibit 1

## AGREEMENT

THIS AGREEMENT, made this 6th day of September, 2016 by and between the CITY OF BATAVIA, (hereinafter referred to as the “City”), and Hitachi HVB, Inc., (hereinafter referred to as the “Company”), with regard to certain services in connection with the 345kV Breaker Maintenance (PI Line Breaker) Project (hereinafter referred to as the “Project”).

**NOW THEREFORE, the City and the Company, in consideration of the mutual covenants hereinafter set forth, agree to as follows:**

1. The Company agrees to perform services in connection with the Project as hereinafter stated. The Company shall at all times observe and comply with all laws, ordinances, and regulations of the federal, state, and local governments, which may in any manner affect the preparation of proposals or the performance of the Agreement.
2. The Company has made a proposal to the City, dated 8/23/2016, attached hereto Exhibit 1 and expressly made a part hereof.
3. This contract will constitute the entire agreement and understanding by and between the parties hereto, and it shall not be considered modified, altered, changed, or amended in any respect unless done so in writing with signatures by both the City and the Company.
4. The terms and conditions of this agreement will be the sole terms and conditions followed for this Agreement, unless otherwise approved in writing by the City Attorney and attached as an exhibit to this agreement. Any and all terms and conditions contained in Company’s Proposal will be superseded by the terms and conditions of this agreement.
5. The Company shall obtain, at its own expense, all permits and licenses which may be required to complete the Agreement, and/or required by federal, state, and local regulations and laws.
6. The City does not discriminate on the basis of handicapped status in the admission of, access to, or treatment of employment in its programs and activities.
7. Illinois Prevailing Wages: To the extent the proposed contract calls for the construction of a “public work,” within the meaning of the Illinois Prevailing Wage Act, 820 ILCS 130/.01 *et seq.* (“the Act”), Contractor shall not pay less than the prevailing rates of wages to all laborers, workmen, and mechanics performing work under this contract, and shall comply with the requirements of the Illinois Wages of Employees on Public Works Act 820 ILCS 130/1-12, to the extent they are applicable, including, without limitation, the submission of certified monthly payroll reports as required by 820 ILCS 130/5. The contractor is advised that failure to timely submit such reports shall be cause for the withholding of payments otherwise due the contractor until compliance with the reporting requirements is achieved. The current Illinois Department of Labor Prevailing Wage Rates for the County of Kane are available at their website <http://www.state.il.us/agency/idol/>. Prevailing wage rates are subject to revision monthly. Copies of the current prevailing wage rates are also available at the Kane County Purchasing Department, 719 Batavia Avenue, Geneva, Illinois.

8/22/2016

Re: 345 kV Breaker Maintenance – Hitachi HVB, Inc.

Any bond furnished under this contract shall include such provisions as will guarantee the faithful performance of such prevailing wage clause as provided by the contract.

The Contractor and each of his Sub-Contractors shall pay each of his employees engaged in work on the project under this Contract in full (less deductions made mandatory by law) not less often than once each week.

If a contractor or subcontractor deems the work is not subject to the Act, the contractor or subcontractor shall then submit to the City, a letter indicating receipt of this notice and their determination that the Act does not apply. If the contractor or subcontractor believes the work is not subject to the Prevailing Wage Act, and it is later determined by the Illinois Department of Labor or a court of competent jurisdiction that prevailing wages should have been paid, the contractor shall indemnify and hold the City harmless therein for all costs and penalties incurred by the City related to the violation, including reasonable attorneys fees incurred by the City to defend such an action.

8. Any payment made to the Company shall be strictly on the basis of quantum meruit. The Company shall submit to the City a detailed breakdown of hourly rates billed to date with each pay request. The detailed breakdown shall be based on the hourly rate breakdown contained in the approved proposal. The City will pay the Company for the performance of the Agreement as follows:
  - a. Monthly payments based on actual work satisfactorily completed, less 10% retainage until final completion of the work
  - b. The total Agreement payment will not exceed \$ 50,000.
  - c. Additions or deductions to the approved total amount for services must be authorized in writing by the City. Any out of scope work must be authorized in writing by the City. Any work performed without written approval from the City shall be solely at the expense of the Company.
  - d. Final payment to the Company will be made once the project has been completed, all paperwork completed and turned into the City of Batavia and/or State of Illinois, and approved with the State of Illinois and/or the City of Batavia.
9. The Company shall perform those phases of the Project to which this Agreement applies, and shall give consultation and advice to the City during the performance of the services.
10. The Company shall secure and maintain in force throughout the duration of this Agreement, Comprehensive General Liability including Products Liability/Completed Operations insurance naming the City as an additional insured written on an occurrence basis with a minimum coverage of \$1,000,000 per occurrence and \$2,000,000 policy limit, including Broad Form Contractual Liability insurance, in an amount not less than \$1,000,000 per occurrence and \$2,000,000 policy limit subject to the terms and conditions of the policy.

The Company shall secure and maintain in force throughout the duration of this Agreement, Automobile Liability insurance in an amount not less than \$1,000,000

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combined single limit. Said insurance is to be extended to cover hired and non-owned vehicles.

The Company shall secure and maintain in force throughout the duration of this Agreement, Umbrella or Excess Liability coverage of \$2,000,000.

The Company shall secure and maintain in force throughout the duration of this Agreement, Workers' Compensation insurance, as required by statute, by an insurance company licensed to write worker's compensation in the State of Illinois. Employer's Liability, in an amount not less than \$500,000 each accident, \$500,000 disease-policy limit and \$500,000 disease-each employee.

The insurance provided by Company shall be primary, and not contributory to any insurance purchased by the City. All insurance policies required by this contract shall be underwritten by insurance companies with a minimum A.M. Best rating of A. The certificate of insurance shall provide that it will not be canceled, reduced, or materially changed without providing the City thirty (30) days advance notice, via certified mail.

The Company shall not commence work under this contract until they have obtained all insurance required and such insurance has been approved by the City, nor shall the Company allow any subcontractors (hereafter Subs) to commence work on their subcontract until the same insurance has been obtained by the Sub. The Company and their Subs shall maintain all insurance for not less than one (1) year after completion of this contract.

If the Company is providing architectural, engineering, or surveying services, Company shall also file a certificate of insurance for professional liability, errors and omissions coverage subject to final acceptance by the City of said coverage.

In the event the City requires contractors or subcontractors working on City projects to acquire and provide proof of insurance covering public liability, death, and property damage naming the City as an insured, the City shall require said contractors or subcontractors to name the Company as an additional insured.

11. The Company shall provide the services as required herein in accordance with the Project Schedule.
12. The Company shall attend conferences and visit the site of the work as may be outlined in the Request for Proposal at any reasonable time when requested to do so by the City.
13. The Company represents and warrants that they are technically qualified and entirely conversant with the requirements of this Project; and that they have sufficient properly trained, organized, and experienced personnel and/or subcontractors to perform the services enumerated herein.
14. The City and the Company each binds themselves and their partners, successors, executors, administrators, and assigns to the other party of this Agreement and to the partners, successors, executors, administrators, and assigns of such other party, in respect to all covenants of this Agreement; except as above, and as noted in the attachments, neither the City nor the Company shall assign, subcontract, or transfer their interest in this

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Agreement without the written consent of the other. Nothing herein will be construed as creating any personal liability on the part of any officer or agent of any public body, which may be a party hereto, nor will it be construed as giving any rights or benefits hereunder to anyone other than the City and Company.

The Company may subcontract portions of the work upon written approval from the City. These Subs shall conform, in all respects, to the applicable provisions specified and shall further be subject to approval by the City. The Company shall identify all proposed Subs who will furnish services under the terms of this proposal. The work to be done by the Subs must be outlined in detail in the proposal submitted by the Company. None of the services to be furnished by the Company may be subcontracted, assigned, or transferred to any other party or parties without the written consent of the City. The consent to subcontract, assign, or otherwise transfer any portion of the services to be furnished by the Company will not be construed to relieve the Company of any responsibility for the fulfillment of this Agreement. Any request for payment to the company, for work that was subcontracted, must be supported with a waiver of lien and contractor's affidavit indicating the subcontractor has been paid and waives any lien on the project or funds for the project.

15. The Company shall indemnify, defend, and hold harmless the City, its officers, agents and employees, from and against any and all claims, losses or liability, or any portion thereof, arising from injury or death to persons or damage to property occasioned by the negligent act, omission, or failure of the Company, its officers, agents and employees, in performing the work required by this Agreement
16. The City agrees to review each and every phase of the Project as in the aforementioned proposal in a timely manner. Upon approval of each phase, the Company shall then proceed to the next phase.
17. All drawings, specifications, reports, and any other project documents prepared by the Company in connection with any or all of the services to be furnished hereunder shall be delivered to the City for the expressed use of the City. The Company does have the right to retain original documents, but shall cause to be delivered to the City such quality of documents so as to assure total reproducibility of the documents delivered. All information, worksheets, reports, design calculations, plans, and specifications shall be the sole property of the City unless otherwise specified in the negotiated agreement. The Company agrees that the basic survey notes and sketches, charts, computations, and other data prepared or obtained by the Company pursuant to the Agreement will be made available, upon request, to the City without cost and without restriction or limitations as to their use. All field notes, test records, and reports shall be available to the City upon request.
18. The City reserves the right by written amendment to make changes in requirements, amount of work, or engineering time schedule adjustments. The Company and the City shall negotiate appropriate adjustments acceptable to both parties to accommodate any changes.
19. The City may, at any time by written order, require the Company to stop all or part of the services required by this Agreement. Upon receipt of such an order the Company shall immediately comply with its terms and take all steps to minimize the occurrence of costs

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allocable to the services covered by the order. The City will pay for costs associated with suspension provided, they are deemed reasonable by the City.

20. The City reserves the right to terminate the whole or any part of this Agreement, upon ten (10) calendar day's written notice to the Company. The City further reserves the right to cancel the whole or part of the Agreement, if the Company fails to perform any of the provisions in the Agreement or fails to make delivery within the time stated. The Company will not be liable to perform if situations arise by reason of acts of God or public enemy, acts of City, fires, or floods.
  - a. Should any of the key personnel identified in the Proposal become unavailable to work on the project, and no permanent substitute personnel reasonably satisfactory to the City is provided by the Company within thirty (30) days, and/or no temporary replacement personnel is provided by the Company immediately following the commencement of the subject Key Personnel's unavailability, the City may, at its election, declare such contract terminated and at an end, reserve the right to maintain and action to recover damages arising due to breach of contract
  - b. The City reserves the right to terminate in whole or any part of this contract, upon written notice to the Company, in the event of default by the Company. Default is defined as failure of the Company to perform any of the provisions of this contract or failure to make sufficient progress so as to endanger performance of this contract in accordance with its terms. In the event of default and termination, the City may procure, upon such terms and in such manner as the City may deem appropriate, supplies or services similar to those so terminated.

The Company shall be liable to the City for all excess costs for such similar supplies or service unless evidence is submitted to the City that in the sole opinion of the City clearly proves that failure to perform the contract was due to causes beyond the control and without the fault or negligence of the Company.
  - c. Upon termination, the Company shall cause to be delivered to the City all surveys, reports, permits, agreements, calculations, drawings, specifications, partially and completed estimates, and data, as well as products of computer aided drafting, design, and writing that have been paid for by the City. Cost of termination incurred by the Company before the termination date will be reimbursed by the City only, if prior to the effective termination date, the City receives from the Company a list of actions necessary to accomplish termination and the City agrees in writing that those actions be taken. Upon receipt of the termination notice, the Company shall stop all work until said Agreement is reached.
21. The City agrees to notify the Company at least twenty-four (24) hours in advance of the need for personnel or services.
22. Nothing contained in this Agreement, nor the performance of the parties hereunder, is intended to benefit, nor shall inure to the benefit of, any third party, including the City's Contractors, if any.

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23. An notice relating to claims for damages or relating to allegations of default shall be in writing and shall be made by certified or registered mail, postage prepaid, return receipt requested, or reliable overnight courier, to the parties as follows:

*If to Company:* Hitachi HVB, Inc.  
Attention: Kevin Case  
7250 McGinnis Ferry Rd  
Suwanee, GA 30024

*If to the City:* City of Batavia  
Attention: City Clerk  
100 North Island Avenue  
Batavia, IL 60510

*with copies to:* City of Batavia  
Attention: Bob Rogde  
200 N. Raddant Road  
Batavia, IL 60510

*and:* City of Batavia  
Attention: City Attorney  
100 North Island Avenue  
Batavia, IL 60510

24. This Agreement contains the entire agreement between the parties. No other writing, discussion or any other communication about possible terms is to be construed as forming part of the agreement between the parties. Any terms and conditions submitted by the Company as part of its proposal are specifically disavowed and such terms and conditions shall not supersede this Agreement.
25. This Agreement shall be binding upon the partners, heirs, successors, executors, administrators, and assigns of all the parties hereto.
26. This Agreement shall be construed in accordance with the laws of the State of Illinois. Venue for any litigation arising from this Agreement shall be limited to the Courts of the Sixteenth Judicial Circuit, Kane County, Illinois.

**IN WITNESS WHEREOF, the parties have caused this Agreement to be executed on the date first above written.**

**CITY OF BATAVIA**, an Illinois  
Municipality,

**Company,**

By: \_\_\_\_\_  
Mayor

By: \_\_\_\_\_  
President

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Re: 345 kV Breaker Maintenance – Hitachi HVB, Inc.

Attest:

Attest:

By: \_\_\_\_\_  
City Clerk

By: \_\_\_\_\_  
Secretary

# Hitachi HVB, Inc.

## Product Services

# QUOTATION

<b>Company:</b>	City of Batavia	<b>Quote#:</b>	QS2016078D		
<b>Substation:</b>		<b>Serial #:</b>	HHI362A2020-201		
<b>Address:</b>	200 North Raddant Road	<b>SO #:</b>			
	Batavia, IL 60510	<b>KV Rating:</b>	362		
<b>Contact:</b>	Bob Rogde	<b>KA Rating:</b>			
<b>Phone:</b>	630-454-2357	<b>Amps:</b>			

**Work Details:** 12 year maintenance without internal maintenance.

Item No.	Description	Quantity	Unit	Material/ Equipment	Labor	Other	Extended Total
				\$	\$	\$	\$
<b>Labor + T&amp;L</b>							
1	Field Support Engineer - I	4.00	Days		\$ 1,600.00		\$ 6,400.00
2	Airfare Field Support Engineer I	0.00	Ea			\$ 1,200.00	\$ -
3	Per Diem	6.00	Days			\$ 350.00	\$ 2,100.00
4	Travel Time	2.00	Days			\$ 1,280.00	\$ 2,560.00
5	Car Rental - Hitachi HVB, Inc. Truck	6.00	Days	\$ 150.00			\$ 900.00

<b>Overtime Charges</b>							
6	Weekdays After 8 Hours	0.00	Hrs.		\$ 300.00		\$ -
7	Saturday	0.00	Hrs.		\$ 300.00		\$ -
8	Sunday	0.00	Hrs.		\$ 400.00		\$ -
9	Standby Charge	0.00	Hrs.		\$ 240.00		\$ -

<b>Labor + T&amp;L</b>							
10	Field Support Engineer - II	4.00	Days		\$ 1,280.00		\$ 5,120.00
11	Airfare Field Support Engineer II	0.00	Ea			\$ 1,200.00	\$ -
12	Hotel/Per Diem - Support Engineer II	6.00	Days			\$ 250.00	\$ 1,500.00
13	Travel Time	2.00	Days			\$ 1,280.00	\$ 2,560.00
14	Car Rental - Hitachi HVB, Inc. Truck	0.00	Days	\$ 150.00			\$ -

<b>Overtime Charges</b>							
15	Weekdays After 8 Hours	0.00	Hrs.		\$ 240.00		\$ -
16	Saturday	0.00	Hrs.		\$ 240.00		\$ -
17	Sunday	0.00	Hrs.		\$ 320.00		\$ -
18	Standby Charge	0.00	Hrs.		\$ 160.00		\$ -

<b>Equipment Rental</b>							
19	Breaker Timing Analyzer	1.00	Week	\$ 1,200.00			\$ 1,200.00
20	Ductor	1.00	Week	\$ 500.00			\$ 500.00
21	Megger	1.00	Week	\$ 500.00			\$ 500.00
22	EZCT 2000	0.00	Week	\$ 1,200.00			\$ -
23	SF6 Moisture Meter	1.00	Week	\$ 500.00			\$ 500.00
24	SF6 Purity Analyzer	1.00	Week	\$ 500.00			\$ 500.00
25	Hydraulic Pump w/ 5micron filtration	1.00	Week	\$ 400.00			\$ 400.00
26	Crane & Operator	0.00	Week	\$ 6,000.00			\$ -
27	Manlift	1.00	Week	\$ 1,600.00			\$ 1,600.00

<b>Freight</b>							
28	Freight - Test Equipment	0.00	Lot	\$ 2,000.00		\$ -	\$ -

**Total: \$ 26,340.00**

Thank you for allowing Hitachi HVB, Inc. the privilege of quoting this RFQ. If you have any questions or concerns about this quotation, please contact the Product Services Dept at your convenience.

Best Regards,

<b>Payment Terms:</b>	Net 30	<b>Product Service Manager:</b>	Kevin Case
<b>Quote valid for 90 days from estimate date.</b>		<b>Phone #:</b>	770-495-1755 Ext. 101
		<b>Reviewed By:</b>	Mike Jackson

- Hitachi HVB, Inc. must have a Purchase Order and a signed copy of this estimate faxed back to our office before we will commit to any schedule or make any travel arrangements. If job is cancelled while our tech is enroute, these costs will be charged to customer.
- The prices for travel and living are estimates only. Actual prices may vary.
- The time durations for labor are estimates only. Actual working times may vary depending on many circumstances including customer preparedness and inclement weather. Hitachi HVB, Inc. accepts no liability for such delays.
- All other costs incurred during the work is subject to 15% surcharge.



## Spare Parts Quote

**Customer Contact:** C. BATAVIA  
Bob Rogde 630-454-2357  
rrogde@cityofbatavia.net

Page Number: 1 of 1  
Quote Date: 06/15/2016  
Quote Number: 14014 S1  
Revision Number: 0

**Hitachi Contact:** JACKSON, MICHAEL  
770 495-1755 Ext 116  
mikej@hvbi.com

Minimum Order:	\$350.00 (\$50 Minimum with Credit Card)
Projected Lead Time:	07/15/2016
Freight Terms:	Freight Pre-pay and Add
Purchase Order Terms:	Default (A/R & A/P)
Validity Expiration:	7/15/2016

Line	Description	Item Number	UM	Qty	Price	Extended Price
1.000	TRIP COIL 362KV HHI	23-6025-00	EA	6	1,418.62	8,511.75
2.000	CLOSE COIL 362KV HHI	23-6026-00	EA	3	2,043.75	6,131.25
3.000	GAUGE,GAS DENSITY WIKA13410016	14-2019-00	EA	3	771.15	2,313.45
4.000	OIL, AEROSHELL FLUID 41 HHI362	05-0262-00	GA	20	91.39	1,827.80
5.000	362 HHI LINE FILTER	23-5153-00	EA	3	65.62	196.88
6.000	362 HHI FILTER	23-5154-00	EA	3	11.25	33.75
7.000	362 HHI SNAP RING	23-5155-00	EA	3	1.88	5.63
8.000	DESICCANT, 2KG BAG	05-0501-00	EA	3	86.85	260.55
9.000	DESICCANT, 5 KG BAG	05-0502-00	EA	3	209.81	629.44
10.000	O-RING 2V20677B 1AP515	01-1146-00	EA	3	72.60	217.80
11.000	SHIPPING AND HANDLING	S&H	EA	1	250.00	250.00

**Quote Total**  
**US\$ 20,378.30**

If you have any questions concerning this invoice, please contact Accounts Receivable at 770-495-1755 x104 or e-mail AR@hvbi.com.

A minimum of 30% Restocking Charge will apply for cancelled orders.  
We accept Visa and MasterCard.

**TERMS AND CONDITIONS FOR SALE and LEASE OF Products and Services**

**NOTICE:** Sale or Lease of any Products or Services is expressly conditioned on Buyer's assent to these Terms and Conditions. Any additional or different terms proposed by Buyer are expressly objected to and will not be binding upon Seller unless agreed to in writing by an authorized representative of Seller; provided however, that no pre-printed facility entry form shall modify these Terms and Conditions even if signed by Seller's authorized representative. Any oral or written representation, warranty, course of dealing or trade usage not contained in these Terms and Conditions or the Contract shall not be binding on either party. Any order or direction to perform work or any assent to Seller's performance of work shall constitute Buyer's assent to these Terms and Conditions. Unless otherwise specified in the quotation or the Contract, any quotation by Seller shall expire 30 days from its date and may be modified or withdrawn by Seller before receipt of Buyer's acceptance.

**1. Definitions.** Unless Seller otherwise agrees:

- 1.1 "Buyer" means the entity to which Seller is providing Products or Services under the Contract.
- 1.2 "Contract" means the documents that comprise the agreement between Buyer and Seller for the sale or lease of Products or Services, including these Terms and Conditions and any other documents incorporated therein by reference, such as, the final quotation, the agreed scope(s) of work, and Seller's order acknowledgement.
- 1.3 "Leased Equipment" means all Products Seller has agreed to lease to Buyer under the Contract, as well as all equipment of Seller which will be located at the Site during all or some portion of the term of the Contract without Seller's personnel present, such as remote diagnostic equipment.
- 1.4 "Product(s)" means all equipment, parts, materials, supplies, and other goods Seller has agreed to supply to Buyer under the Contract, including Leased Equipment and Refurbished Parts.
- 1.5 "Refurbished Parts" means used Products that have been repaired and/or reconditioned by Seller for resale.
- 1.6 "Seller" means Hitachi HVB, Inc., as the entity providing Products or performing Services under the Contract.
- 1.7 "Services" means all services Seller has agreed to perform for Buyer under the Contract.
- 1.8 "Site" means the premises where Products are delivered or Services are performed, not including Seller's premises from which it performs remote Services.
- 1.9 "Terms and Conditions" means these Terms and Conditions for Sale and Lease of Products and Services.

**2. Payment.** Except as otherwise agreed to by Seller in writing, and upon approved credit, the following payment terms apply:

- 2.1 Buyer shall pay Seller all invoiced amounts in United States ("U.S.") dollars, without right of set-off, within 30 days from date of invoice. Seller shall be entitled to payment of all charges associated with Seller's performance of Services as Services are performed. For each Contract for Products with a price of U.S. \$500,000.00 or more, partial payments of the Contract price shall be made as invoiced starting upon order placement, such that 80% of the Contract price shall be received before scheduled shipment. If Buyer fails to fulfill any condition of its payment obligations Seller may suspend performance and delivery. Any costs incurred by Seller in accordance with such suspension (including storage costs) shall be payable by Buyer upon submission of Seller's invoices. Buyer shall pay a monthly late payment charge computed at the rate of 1.5% or the maximum interest rate permitted by applicable law, whichever is less, on any past-due amount for each calendar month (or fraction thereof) that the payment is overdue and all costs of Seller's collection efforts including reasonable attorneys' fees.
- 2.2 Unless otherwise agreed in the Contract, in any transaction in which Buyer and Seller are domiciled in separate countries, Buyer shall establish an irrevocable and unconditional letter of credit payable at sight draft allowing for pro-rata payments for partial deliveries, storage, export shipment, price adjustments, cancellation or termination, and all other payments due from Buyer under the Contract and certification of the charges and grounds for such payment. The letter of credit shall be confirmed by a bank that is acceptable to Seller and shall be payable at the counters of the advising bank. Buyer shall pay all banking charges. Seller will not begin performance until the letter of credit becomes operative. Buyer will increase the amounts and/or extend the validity period(s) and make appropriate modifications to any letter of credit within 5 business days of Seller's notification that such increase or extension is necessary to provide for payments to become due.
- 2.3 If at any time Seller reasonably determines that Buyer's financial condition does not justify the continuation of Seller's performance, Seller may require full or partial payment in advance or shall be entitled to suspend or terminate the Contract pursuant to Article 13.

**3. Taxes and Duties.** Unless otherwise specified in the Contract, Seller shall be responsible for and must pay directly, all corporate and individual taxes measured by net income or profit imposed by any governmental authority on Seller, its employees or subcontractors due to the execution of any agreement or the performance of or payment for work hereunder ("Seller Taxes"). Buyer shall be responsible for and must pay directly when due and payable all taxes, duties, fees, or other charges of any nature (including, but not limited to, ad valorem, consumption, excise, franchise, gross receipts, import, license, property, sales, stamp, storage, transfer, turnover, use, or value-added taxes, and any and all items of withholding, deficiency, penalty, addition to tax, interest, or assessment related thereto), other than Seller Taxes, imposed by any governmental authority on Seller or its employees or subcontractors due to the execution of any agreement or the performance of or payment for work hereunder ("Buyer Taxes").

All payments due and payable by Buyer to Seller hereunder shall be made in the full amount of the Contract price, free and clear of all deductions and withholding for Buyer Taxes. If Buyer deducts or withholds Buyer Taxes, Buyer shall pay additional amounts to Seller to cause the amounts Seller actually receives, net of deducted or withheld Buyer Taxes, to equal the full Contract price. Buyer shall provide to Seller within 1 month accurate official receipts from the appropriate governmental authority for deducted or withheld taxes.

**4. Delivery; Title Transfer; Risk of Loss; Storage.**

- 4.1 Seller shall deliver Products to Buyer EXW Seller's facility (Incoterms 2000) unless otherwise expressly agreed by parties in a non-pre-printed writing. Partial deliveries will be permitted. If Products delivered do not correspond in quantity, type or price to those itemized in the invoice for the shipment, Buyer will so notify Seller within 10 days after receipt. Seller may deliver any or all Products in advance of the delivery schedule.
- 4.2 Title to Products shall pass to Buyer upon delivery as set forth in Section 4.1. Title to Services shall pass to Buyer as performed. Title to Leased Equipment shall remain at all times with Seller.
- 4.3 Risk of loss of the Products shall pass from Seller to Buyer upon delivery in accordance with the Incoterms 2000 delivery term set forth in Section 4.1
- 4.4 If any Products cannot be shipped to or received by Buyer when ready due to any cause not attributable to Seller, Seller will notify Buyer and then ship Products to a storage facility, including a facility within the place of manufacture, or to an agreed freight forwarder. If Seller places Products in storage or if Products are detained at any port under this Section 4.4, the following conditions shall apply: (i) title and all risk of loss or damage shall immediately pass to Buyer if they had not already passed; (ii) any amounts otherwise payable to Seller upon delivery or shipment shall be payable upon presentation of Seller's invoices; (iii) all expenses and charges incurred by Seller, such as for preparation for and placement into storage, handling, inspection, preservation, insurance, storage, demurrage, removal and any taxes shall be payable by Buyer upon submission of Seller's invoices; and (iv) when conditions permit and upon payment of all amounts due hereunder, Seller shall resume delivery of Products to the originally agreed upon point of delivery.
- 4.5 Title to Refurbished Parts to be used in repair or recondition of Buyer's equipment shall pass as set forth in Section 4.2. Buyer shall at all times bear the risk of loss for Buyer's equipment provided to Seller for repair or recondition by Seller during the term of the Contract, whether at the Site, Seller's facility or in transit to or from Seller's facility. If Services for repair and/or recondition to Buyer's equipment are to be performed at Seller's facility, Buyer shall be responsible for transporting such equipment to and from Seller's facility. Buyer shall reimburse Seller at Seller's then current storage rate if such equipment remains at Seller's facility beyond 10 days after notification such Services have been completed.

**5. Excusable Delays.** Seller shall not be liable nor in breach or default of its obligations under the Contract to the extent performance of such obligations is delayed or prevented, directly or indirectly, due to causes beyond its reasonable control, including, but not limited to, acts of God, fire, terrorism, war (declared or undeclared), epidemics, material shortages, insurrection, acts (or omissions) of Buyer or Buyer's suppliers or agents, any act (or omission) by any governmental authority, strikes, labor disputes, transportation shortages, or vendor non-performance. The delivery or performance date shall be extended for a period equal to the time lost by reason of delay, plus such additional time as may be reasonably necessary to overcome the effect of the delay. If Seller is delayed by any acts (or omissions) of Buyer, or by the prerequisite work of Buyer's other contractors or suppliers, Seller shall be entitled to an equitable price and performance adjustment.

**6. Compliance with Laws, Codes and Standards.**

- 6.1 Seller represents that Products will be produced and Services will be performed in compliance with applicable fair labor standards laws, occupational safety and health laws, and laws related to nonsegregation and equal employment opportunity.
- 6.2 The Contract price will be equitably adjusted to reflect additional costs incurred by Seller resulting from a change in industry specifications, codes or standards, or changes in applicable laws and regulations.
- 6.3 All transactions hereunder shall at all times be subject to and conditioned upon compliance with all applicable trade control laws and regulations. Buyer hereby agrees that it shall not transship, re-export, divert or direct Products other than in and to the ultimate country of destination specified on Buyer's order or declared as the country of ultimate destination on Seller's invoice, except as permitted by applicable laws and regulations.
- 6.4 Notwithstanding any other provisions herein, Buyer shall timely obtain any required authorization, such as an export license, import license, foreign exchange permit, work permit or any other governmental authorization, even though Seller may apply for such. Buyer and Seller shall provide each other reasonable assistance in obtaining required authorizations. Seller shall not be liable if any authorization is delayed, denied, revoked, restricted, or not renewed and Buyer shall not be relieved hereby of its obligations to pay Seller for Products and Services.

**7. Warranty.**

<p>7.1 Seller warrants to Buyer that: (i) Products shall be shipped free from defects in material, workmanship and title; and (ii) Services shall be performed in a competent, diligent manner in accordance with any mutually agreed specifications. Unless Seller expressly agrees otherwise in writing, any items not manufactured by Seller (including incidental materials and consumables used in the performance of Services) shall carry only the warranty that the original manufacturers provide, and Seller gives no warranty on behalf of the manufacturers of such items. Furthermore, used Products other than Refurbished Parts and Products installed during performance of Services shall be sold "as is."</p> <p>7.2 Unless otherwise stated in the Contract, the warranty period for Products shall be 1 year from first use or 18 months from delivery, whichever occurs first, except that used Products installed during performance of Services, other than Refurbished Parts, are warranted for 30 days from the date of Service. Unless otherwise stated in the Contract, the warranty period for Services shall be 1 year from completion, and repair Services shall have a warranty period of 30 days from completion.</p> <p>7.3 If Products or Services do not meet the above warranties, Buyer shall promptly notify Seller in writing within the applicable warranty period. Seller shall thereupon: (i) at Seller's option, repair or replace the defective Products; or (ii) re-perform the defective Services. No Products shall be returned to Seller without having secured approval and terms for return from Seller's authorized representative. If in Seller's reasonable judgment Products cannot be repaired or replaced or Services cannot be re-performed, Seller shall refund or credit monies paid by Buyer for that portion of Products or Services that do not meet the above warranties. Any repair, replacement or reperformance by Seller hereunder shall not extend the applicable warranty period. The parties shall mutually agree on the specifications of any test to determine the presence of a defect.</p> <p>7.4 Seller shall not be responsible for removal or replacement of systems, structures or other parts of Buyer's facility. Buyer shall bear the costs of de-installation, re-installation and transportation of Products to Seller and back to Buyer. All decontamination work necessary for the correction of defects shall be performed by Buyer at Buyer's expense.</p> <p>7.5 These warranties and remedies are conditioned upon the proper storage, installation, operation, and maintenance of Products and conformance with the operation instruction manuals provided by Seller or its suppliers or subcontractors. Seller does not warrant Products or any repaired or replacement parts against normal wear and tear or damage caused by misuse, accident, or use against the advice of Seller. Any modification or repair of any of Products or Services not</p> <p>7.6 This Article 7 sets forth the exclusive remedies for all claims based on failure of, or defect in, Products or Services, whether the failure or defect arises before or during the applicable warranty period and whether a claim, however instituted, is based on contract, indemnity, warranty, tort/extracontractual liability (including negligence), strict liability or otherwise. The foregoing warranties are exclusive and are in lieu of all other warranties and guarantees whether written, oral, implied or statutory. NO IMPLIED WARRANTY OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE SHALL APPLY.</p>
<p><b>8. Limitation of Liability.</b></p> <p>8.1 The total liability of Seller, on all claims of any kind (excluding claims for death or bodily injury), whether in contract, warranty, indemnity, tort/extracontractual liability (including negligence), strict liability, or otherwise, arising out of the performance or breach of the Contract or use of any Products or Services shall not exceed the price of the specific Products or Services giving rise to the claim. All Seller's liability under the Contract shall terminate upon the expiration of the applicable warranty period, provided that Buyer may enforce a claim of such liability accruing during the applicable warranty period by an action timely commenced in accordance with the applicable statute of limitations or statute of repose, but in no event greater than one year after the expiration of such warranty period.</p> <p>8.2 In no event, whether as a result of breach of contract, warranty, tort/extracontractual liability (including negligence), strict liability, indemnity, or otherwise, shall Seller be liable for loss of profit or revenues, loss of use of Products or Services or any associated equipment, interruption of business, cost of capital, cost of cover, downtime costs, claims of Buyer's customers for such damages, or for any special, consequential, incidental, indirect, punitive or exemplary damages.</p> <p>8.3 If Buyer cannot obtain for Seller from any reseller, end-customer or subsequent purchaser(s), the protections specified in this Article 8, Buyer shall indemnify, defend and hold Seller harmless from and against any and all claims made by any reseller, end-customer or subsequent purchasers of Products or Services against Seller for loss or damage arising out of the performance or non-performance of Products or Services provided under the Contract.</p> <p>8.4 If Seller furnishes Buyer with advice or assistance that is not required under the Contract, the furnishing of such advice or assistance will not subject Seller to any liability, whether in contract, indemnity, warranty, tort (including negligence), strict liability or otherwise.</p> <p>8.5 For the purposes of this Article 8, the term "Seller" shall mean Seller, its affiliates, subcontractors and suppliers of any tier, and their respective agents and employees, whether individually or collectively.</p> <p>8.6 The provisions of this Article 8 shall prevail over any conflicting or inconsistent provisions contained in any of the documents comprising the Contract, except to the extent that such provisions further restrict Seller's liability.</p>
<p><b>9. Dispute Resolution, Governing Law.</b></p> <p>9.1 Any dispute involving a non-U.S. Buyer and arising out of or in connection with these Terms and Conditions or the Contract, including any question regarding its existence, validity or termination, that cannot be settled by negotiation of the parties shall be referred to and finally resolved by arbitration under the Rules of Arbitration of the International Chamber of Commerce ("ICC Rules"). The number of arbitrators shall be 1 unless the amount in dispute exceeds U.S. \$1,000,000.00, in which event it shall be 3. When 3 arbitrators are involved, each party shall have the right to nominate an arbitrator, and the Chairman shall be appointed by ICC International Court of Arbitration. The seat, or legal place, of arbitration shall be New York, New York, U.S.</p> <p>The arbitration shall be conducted in the English language. In reaching their decision, the arbitrators shall give full force and effect to the intent of the parties as expressed in these Terms and Conditions and the Contract, and if a solution is not found herein, shall apply the law as described in Section 9.3. The decision of the arbitrators shall be final and binding upon both parties, and neither party shall seek recourse to a law court or other authorities to appeal for revisions of such decision.</p> <p>9.2 Any claim involving a U.S. Buyer brought by either party against the other party for claims arising out of or related to these Terms and Conditions or the Contract shall be brought in the U.S. District Court for the Northern District of Georgia, U.S., or in the event that court lacks jurisdiction to hear the claim, in the appropriate state courts of Forsyth County, Georgia, U.S., and the parties hereto consent to the exclusive jurisdiction of such courts in respect of all such claims. Each party hereby submits to and accepts generally and unconditionally the jurisdiction of those courts with respect to its respective person and property, and irrevocably consents to the service of process in connection with any such action or proceeding by personal delivery to the party or by the mailing thereof by registered or certified mail, postage prepaid to the other party at the address for the party.</p> <p>9.3 The validity, performance and all matters relating to the interpretation and effect of these Terms and Conditions and the Contract shall be construed and interpreted in accordance with the laws of the State of Georgia, U.S., excluding its law on the conflict or choice of laws, provided that any provision of such law invalidating any provision of these Terms and Conditions or modifying the intent of the parties as expressed in these Terms and Conditions shall not apply.</p>
<p><b>10. Confidentiality and Use of Trademarks and Trade Names.</b></p> <p>10.1 In connection with the Contract, Seller and Buyer (as to information disclosed, the "Disclosing Party") may each provide the other party (as to information received, the "Receiving Party") with Confidential Information. "Confidential Information" as used herein means: (i) all pricing for Products and Services, (ii) all terms of the Contract, and (iii) all information that is designated in writing as "confidential" or "proprietary" by the Disclosing Party at the time of written disclosure, or within 10 days after oral disclosure, by label, stamp or other written communication. The obligations of this Article 10 shall not apply as to any portion of the Confidential Information which: (i) is or becomes generally available to the public other than as a result of disclosure by the Receiving Party, its representatives or its affiliates; (ii) is or becomes available to the Receiving Party or its representatives or affiliates on a non-confidential basis from a source other than the Disclosing Party when such source is not, to the best of the Receiving Party's knowledge, subject to a confidentiality obligation to the Disclosing Party; (iii) is independently developed by the Receiving Party, its representatives or affiliates, without reference to the Confidential Information; or (iv) is approved in advance for disclosure in writing by an authorized representative of the Disclosing Party, to the extent of such approval.</p> <p>10.2 The Receiving Party agrees: (i) to use the Confidential Information only in connection with the Contract and permitted use(s) of Products and Services, and (ii) to take reasonable measures to prevent disclosure of the Confidential Information, except to its employees or agents who have a need to know in order for Receiving Party to perform its obligations hereunder or to use Products or Services. The Receiving Party agrees to advise any recipient of such Confidential Information of the terms and conditions of this Article 10 and to take reasonable steps to ensure compliance.</p> <p>10.3 If the Receiving Party is required by legal process or by order of a competent court or government agency to disclose any Confidential Information, such party agrees to (i) provide the Disclosing Party with prompt notice, to the extent practicable, so that the Disclosing Party may seek an appropriate protective order or waive compliance by the Receiving Party with the provisions of this Article 10, and (ii) strictly limit disclosure of such information to the extent of such process or order. Any disclosure by Disclosing Party pursuant to process or order shall not alter the confidential nature or status of Confidential Information.</p> <p>10.4 Nothing herein shall be construed as granting to the Receiving Party any license under any invention, patent, trademark or copyright now or hereinafter owned or controlled by the Disclosing Party.</p> <p>10.5 Buyer shall not disclose Confidential Information to Seller in connection with performance hereunder unless it is required to do so to enable Seller to perform work hereunder. If Buyer does disclose Confidential Information, Buyer warrants that it has the right to disclose such information, and Buyer shall indemnify and hold Seller harmless against any claims or damages resulting from improper disclosure by Buyer.</p> <p>10.6 Notwithstanding anything to the contrary herein, this Article 10 shall not supersede any separate confidentiality or nondisclosure agreement signed by the parties.</p> <p>10.7 Buyer shall not publish, distribute, or use any information developed under, or about the existence of, the Contract or use the Hitachi HVB, Inc. name (or the name of any division or affiliate thereof), logo, trademark, service mark, or trade name for the purpose of advertising, making a news release, creating a business reference or for product or service endorsement without the prior written approval of Seller's authorized representative. Buyer acknowledges that all brand names, trade names, and trademarks of Seller are the exclusive property of Seller and that Buyer will not acquire any rights relating to the brand names, trade names, or trademarks by purchasing Products hereunder.</p>
<p><b>11. Health and Safety Matters.</b></p> <p>11.1 Buyer shall take all necessary precautions, at all times, for the health and safety of Seller personnel at the Site. If, in Seller's reasonable opinion, the safe execution of the Contract at the Site is, or is apt to be, imperiled by security concerns, local conditions, war (declared or undeclared), armed conflict or threatened conflict, civil unrest, terrorist acts or threats, threat to safety or well-being of the Site or personnel or Seller's persons or interests, Seller may remove some or all of its personnel from the Site or supervise performance of all or any part of the Contract at a location solely determined by Seller. Buyer shall assist in any evacuation. Any such removal hereunder shall be considered to be an excusable delay.</p> <p>11.2 Before issuing its purchase order, Buyer shall advise Seller in writing of all applicable Site-specific rules, regulations, safety codes, and laws that apply to Products and Services.</p> <p>11.3 Site equipment operation is the responsibility of Buyer. If Buyer requires or permits Seller's personnel to operate equipment at the Site, Buyer shall indemnify and save Seller, its employees and agents, harmless from expense and liability (including reasonable attorneys' fees) incurred by or imposed upon Seller, its employees and agents, based upon injury to persons (including death) or damage to property resulting from operation of equipment at the Site by Seller personnel.</p>



17.9 Failure on the part of Seller to enforce any right pursuant to these Terms and Conditions or the Contract shall not constitute a waiver of such rights or any other rights.

# CITY OF BATAVIA

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**DATE:** August 23, 2016  
**TO:** Committee of the Whole - Utilities  
**FROM:** Robert Rogde  
**SUBJECT:** Resolution 16-75-R Approving Master Services Agreement with Schweitzer Engineering Laboratories, Inc.

The 2016 Electric Utility budget includes funds for engineering of a project to upgrade the relay protection and telemetry of Main Sub. Schweitzer Engineering Laboratories, Inc has worked with the City on several previous projects of new installations. Examples of the new installations are the 138kV Substations and Cherry Park Substation. Through this work we have created many standards and conventions the enable the substations to operate in similar ways making it easier for Staff. Staff would like to continue this work on the remaining substations and into the distribution system bringing the telemetry and control to more of the electric system.

In order to facilitate this process a Master Services Agreement (MSA) needs to be executed. The MSA outlines the basic terms & conditions under which Schweitzer Engineering Laboratories, Inc will provide services to the City. The attached MSA is the City's standard form and has been approved by both Schweitzer Engineering Laboratories, Inc and the City.

**Staff is recommending Resolution 16-75-R approving a Master Services Agreement with Schweitzer Engineering Laboratories, Inc.**

**CITY OF BATAVIA, ILLINOIS  
RESOLUTION 16-75-R**

**AUTHORIZING EXECUTION OF MASTER SERVICES AGREEMENT WITH  
SCHWEITZER ENGINEERING LABORATORIES, INC.**

**WHEREAS**, the City of Batavia owns and operates an electric utility whereby it purchases wholesale power and resells same to its citizens; and

**WHEREAS**, the City of Batavia owns and operates an electric transmission and distribution system; and

**WHEREAS**, in connection therewith, it is necessary and appropriate to retain professional engineering services for the preparation of plans to upgrade and improve the electric transmission and distribution system; and

**WHEREAS**, the City of Batavia shall execute a Master Services Agreement with Schweitzer Engineering Laboratories, Inc. for Professional Engineering Services; and

**WHEREAS**, Schweitzer Engineering Laboratories, Inc. has the appropriate expertise and experience necessary to provide the professional engineering services for the electric transmission and distribution system; and

**WHEREAS**, the City of Batavia desires to enter into a Master Services Agreement with Schweitzer Engineering Laboratories, Inc. to provide engineering services related to maintenance and capital improvements to the transmission and distribution system.

**NOW, THEREFORE, BE IT RESOLVED**, by the Mayor and City Council of the City of Batavia, Kane and DuPage Counties, Illinois, as follows:

**SECTION 1:** That the Mayor and City Clerk are hereby authorized execute a Master Services Agreement, attached hereto as Exhibit 1, for professional services related maintenance and capital improvements to the transmission and distribution system with Schweitzer Engineering Laboratories, Inc.

**PRESENTED** to and **PASSED** by the City Council of the City of Batavia, Illinois, this 6<sup>th</sup> day of September, 2016.

**APPROVED** by me as Mayor of said City of Batavia, Illinois, this 6<sup>th</sup> day of September, 2016.

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Jeffery D. Schielke, Mayor

CITY OF BATAVIA, ILLINOIS RESOLUTION 16-75-R

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Ward	Aldermen	Ayes	Nays	Absent	Abstain	Aldermen	Ayes	Nays	Absent	Abstain
1	O'Brien					Fischer				
2	Callahan					Wolff				
3	Hohmann					Chanzit				
4	Mueller					Stark				
5	Botterman					Theelin Atac				
6	Cerone					Russotto				
7	McFadden					Brown				
Mayor Schielke										
VOTE:		Ayes	Nays	Absent	Abstentions					
Total holding office:		Mayor and 14 aldermen								

ATTEST:

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Christine Simkins, Deputy City Clerk

# Exhibit 1

## **AGREEMENT FOR PROFESSIONAL SERVICES**

THIS AGREEMENT entered into this 7th day of March, 2016 by the CITY OF BATAVIA, 100 North Island Ave, Batavia, IL 60510, hereinafter called the CITY, and Schweitzer Engineering Laboratories, Inc., 2350 NE Hopkins Court, Pullman, WA 99163, hereinafter called the CONSULTANT.

WITNESSETH: That in consideration of the mutual covenants and agreements herein contained, the parties hereto do mutually agree as follows:

1. ENGAGEMENT OF CONSULTANT: The CITY, acting pursuant to its vested authority, does hereby hire the CONSULTANT and the CONSULTANT agrees to perform professional engineering, surveying, environmental science and management services as requested by the CITY as more fully described and on the terms provided herein below. Gary Holm, Director of Public Works or designated representative, will act as the liaison for the CITY and Susan M. Anderson, Contracts and Risk Manager (for contractual matters) or a designated representative (for technical matters) in the specific Task Order, will act as liaison for the CONSULTANT for administration of this Agreement. This Agreement for Professional services can only be amended or revised by a written agreement signed by both parties.

The relationship of the CONSULTANT to the CITY shall be that of an independent CONSULTANT rendering professional services. The CONSULTANT shall have no authority to execute contracts or to make commitments on behalf of the CITY and nothing contained herein shall be deemed to create the relationship of employer and employee or principal and agent between the CITY and the CONSULTANT.

2. CHARACTER AND EXTENT OF SERVICES: The CONSULTANT shall perform certain professional engineering, surveying, and management services as requested. Such services to include, but not limited to, the following types of work:
  - Engineering and surveying tasks for various municipal infrastructure projects
  - Development of studies, plans and reports
  - Design plans and specifications and contract documents
  - Engineering services including commissioning, testing, and other related services.
  - Reviews of various engineering plans on behalf of the city for both public and private improvements.

The CONSULTANT shall at all times observe and comply with all laws, ordinances, and regulations of the federal, state, and local governments, which may in any manner affect the preparation of proposals or the performance of the

Agreement. The CONSULTANT shall obtain, at its own expense, all permits and licenses, if any, that may be required to operate the business of the CONSULTANT by federal, state, and local regulations and laws.

3. TASK ORDERS/SPECIFIC HOURLY RATE BASIS: Prior to commencement of any service to be performed through a task order, the CITY and CONSULTANT shall mutually agree upon and execute a task order for the specified service utilizing the general form of task order attached hereto as Exhibit "B" and by this reference incorporated herein. The task order shall describe the services to be provided, the time for performance of the service, the fee provisions for the services, and any provisions additional to this agreement. Execution by the CITY and CONSULTANT of subsequent task orders shall incorporate such subsequent task orders into this agreement. Task Orders may be issued on a time and material basis or a fixed price basis.
  
4. ITEMS TO BE FURNISHED AND RESPONSIBILITY OF CITY: The CITY will provide or perform the following:
  - a. Provide full information as to CITY requirements of the Project.
  - b. Assist the CONSULTANT by placing at his disposal all available information pertinent to the site of the Project including previous reports, calculations, drawings, plats, reports, surveys, utility records, and any other data relative to design and construction of the Project.
  - c. Examine all studies, reports, sketches, estimates, specifications, drawings, proposals, and other documents presented by the CONSULTANT and render in writing decisions pertaining thereto within a reasonable time so as not to delay the work of the CONSULTANT.
  - d. Advertise for hearings and proposals for bidders, open the proposals at the appointed time and place, and pay for all costs incidental thereto.
  - e. Obtain approval of all governmental authorities having jurisdiction over the Project and such approvals and consents from such other individuals or bodies as may be necessary for completion of the Project.
  
5. COMPLETION TIMES: The services called for under the various phases of Section 2 of this Agreement shall be completed as follows:

Services required for these tasks shall be completed within the times mutually determined by the CITY and the CONSULTANT as outlined in the applicable Task Order.

6. PROFESSIONAL FEES: For the services furnished by the CONSULTANT as described under Section 2 and Section 3 of this Agreement, the CITY agrees to pay the CONSULTANT the fees as set forth herein:

For time and material basis projects; according to Exhibit A - Schedule of Hourly Rates on a time spent basis plus reimbursement for direct non-salary expenses such as laboratory testing, soil reports, reproduction expenses, out of town travel costs, long distance telephone calls, and outside consultants.

For fixed price basis project: CONSULTANT shall submit a quote for completion of the work ordered by CITY which will incorporate a detailed scope of work and milestone payments for the project.

7. PAYMENT: Monthly payments or milestone payments, depending on the type of project, payable according to Section 8 of this Agreement, based on the documented amount due.
8. CITY PAYMENT SCHEDULE: The CITY will process for payment all statements or invoices received by the 10<sup>th</sup> day of each month for work done from the 1<sup>st</sup> day of the prior month or for work completed at the end of the milestone established in the Task Order. Invoices received as indicated herein will be paid no later than thirty (30) days following the 10<sup>th</sup> day of that month. Invoices received after the 10<sup>th</sup> day of the month will be processed for payment in the next month's payment cycle as indicated herein.
9. FACILITIES TO BE FURNISHED BY THE CONSULTANT: The CONSULTANT shall furnish and maintain a central office, drafting space, and equipment suitable and adequate for the prosecution of the work that is normal to the functioning of an established consulting engineering and surveying practice to conduct its work when CONSULTANT will not work on CITY sites. If CONSULTANT is required to work on a CITY job site, CITY shall provide the necessary space and facility on the site for CONSULTANT to complete its work.
10. TERMINATION: The CITY or CONSULTANT may terminate this Agreement by giving thirty (30) days written notice to the other party. In such event, the CITY shall forthwith pay the CONSULTANT in full for all work previously authorized and performed prior to notice of termination and/or non-cancellable obligations incurred for the project. In the event of termination, the CONSULTANT agrees to cooperate reasonably with any consulting engineer thereafter retained by the CITY in making available non-proprietary information regarding the work previously performed by the CONSULTANT. If no notice of termination is given, relationships and obligations created by this Agreement, unless otherwise expressly provided, shall be terminated upon completion of all applicable requirements of the Agreement.

The City may, at any time by written order, require the CONSULTANT to stop all or part of the services required by this Agreement. Upon receipt of such an order the CONSULTANT shall immediately comply with its terms and take all steps to minimize the occurrence of costs allocable to the services covered by the order. The City will pay for costs associated with suspension provided, they are deemed reasonable by the City.

The City reserves the right to terminate the whole or any part of this Agreement, upon ten (10) calendar day's written notice to the CONSULTANT for CONSULTANT's default, provided that the City has previously notified the CONSULTANT of its dissatisfaction in writing stating the reasons therefor and allowing the CONSULTANT a minimum of thirty (30) days to adjust and meet the City's expectations. The City reserves the right to cancel the whole or part of the Agreement immediately for cause, if the CONSULTANT fails to perform any of the provisions in the Agreement or fails to make delivery within the time stated, provided that notice is given in writing to the CONSULTANT of the default, and the CONSULTANT is given ten (10) days to cure the default or to begin curing the default and diligently continues to cure the default, if the default is of the nature that cannot be cured in such time. The CONSULTANT will not be liable to perform if situations arise by reason of acts of God or public enemy, acts of City, fires, or floods.

Should any of the key personnel become unavailable to work on the project, and no permanent substitute personnel reasonably satisfactory to the City is provided by the CONSULTANT within thirty (30) days, and/or no temporary replacement personnel is provided by the CONSULTANT immediately following the commencement of the subject Key Personnel's unavailability, the City may, at its election, declare such contract terminated and at an end, reserve the right to maintain and action to recover damages arising due to breach of contract

In the event of default and termination, the City may procure, upon such terms and in such manner as the City may deem appropriate, supplies or services similar to those so terminated.

The CONSULTANT shall be liable to the City for all direct, documented costs for such supplies or service needed to correct any such default by CONSULTANT unless evidence is submitted to the City that in the sole opinion of the City clearly proves that failure to perform the contract was due to causes beyond the control and without the fault or negligence of the CONSULTANT.

Upon termination, the CONSULTANT shall cause to be delivered to the City all surveys, reports, permits, agreements, calculations, drawings, specifications, partially and completed estimates, and data, as well as products of computer aided drafting, design, and writing that have been paid for by the City. Cost of termination incurred by the CONSULTANT before the termination date will be reimbursed by the City only, if prior to the effective termination date, the City receives from the

CONSULTANT a list of actions necessary to accomplish termination and the City agrees in writing that those actions be taken. Upon receipt of the termination notice, the CONSULTANT shall stop all work until said Agreement is reached.

11. ARBITRATION: All claims, disputes, and other matters in question arising out of, or related to, this Agreement or the breach thereof, shall be decided by arbitration in accordance with the Rules of the American Arbitration Association. This agreement so to arbitrate shall be specifically enforceable under the prevailing arbitration law.

Notice of the demand for arbitration shall be filed in writing with the other party of this Agreement and with the American Arbitration Association. The demand shall be made within a reasonable time after the claim, dispute, or other matter in question has arisen. In no event shall the demand for arbitration be made after institution of legal or equitable proceedings based upon such claim, dispute, or other matter in question would be barred by applicable statute of limitations.

The award rendered by the arbitrator(s) shall be final, and judgment may be entered upon it in any court having jurisdiction.

In the event of a claim, jurisdiction and venue shall be in KANE County, ILLINOIS.

12. INDEMNIFICATION, LIMITATION OF LIABILITY, AND INSURANCE: The CONSULTANT shall indemnify, defend, and hold harmless the CITY, its officers, agents and employees, from and against any and all claims, losses or liability, or any portion thereof, arising from injury or death to persons or damage to property occasioned by the negligent act, omission, or failure of the CONSULTANT, its officers, agents and employees, in performing the work required by this Agreement.

In no event, whether as a result of breach of contract, indemnity, warranty, tort, (including negligence), strict liability or otherwise, shall CONSULTANT's liability to City or its insurers for any loss or damage exceed the insurance limits set forth below. To extent allowed in Illinois law, in no event, shall either Party, whether as a result of breach of contract, indemnity, warranty, tort (including negligence), strict liability or otherwise, be liable for any special, consequential, incidental, liquidated or punitive damages.

The CONSULTANT shall secure and maintain in force throughout the duration of this Agreement, Comprehensive General Liability including Products Liability/Completed Operations insurance naming the City as an additional insured written on an occurrence basis with a coverage of \$2,000,000 per occurrence and \$4,000,000 annual aggregate policy limit, including Broad Form Contractual Liability insurance, in an amount not less than \$2,000,000 per occurrence and \$4,000,000 annual aggregate policy limit subject to the terms and conditions of the policy.

The CONSULTANT shall secure and maintain in force throughout the duration of this Agreement, Automobile Liability insurance in an amount not less than \$1,000,000 combined single limit. Said insurance is to be extended to cover hired and non-owned vehicles.

The CONSULTANT shall secure and maintain in force throughout the duration of this Agreement, Umbrella or Excess Liability coverage of \$2,000,000.

The CONSULTANT shall secure and maintain in force throughout the duration of this Agreement, Workers' Compensation insurance, as required by statute, by an insurance company licensed to write worker's compensation in the State of Illinois. Employer's Liability, in an amount not less than \$500,000 each accident, \$500,000 disease-policy limit and \$500,000 disease-each employee.

The insurance provided by CONSULTANT shall be primary, and not contributory to any insurance purchased by the City. All insurance policies required by this contract shall be underwritten by insurance companies with a minimum A.M. Best rating of A. The certificate of insurance shall provide that it will not be canceled, reduced, or materially changed without providing the City thirty (30) days advance notice, via certified mail.

The CONSULTANT shall not commence work under this contract until they have obtained all insurance required and such insurance has been approved by the City, nor shall the CONSULTANT allow any subcontractors (hereafter Subs) to commence work on their subcontract until the same insurance has been obtained by the Sub. The CONSULTANT and their Subs shall maintain all insurance through completion of this contract.

If the CONSULTANT is providing architectural, engineering, or surveying services, CONSULTANT shall also file a certificate of insurance for professional liability, errors and omissions coverage for \$2,000,000 per claim, \$4,000,000 annual aggregate.

In the event the CITY requires contractors or subcontractors working on CITY projects to acquire and provide proof of insurance covering public liability, death, and property damage naming the CITY as an additional insured, the CITY shall require said contractors or subcontractors to name the CONSULTANT as an additional insured.

13. ASSIGNMENT: The CONSULTANT shall not assign this Agreement, or any of the work or services covered by this Agreement, without the express written consent of the CITY.
14. STANDARD FOR PERFORMANCE AND WARRANTY: The CONSULTANT shall perform its services in accordance with generally accepted engineering and

consultant standards and shall be responsible for the professional and technical soundness and accuracy of all work and services furnished pursuant to this Agreement. The CONSULTANT warrants that it is technically qualified and entirely conversant with the requirements of the work to be provided pursuant to this Agreement; and that it has sufficient properly trained, organized, and experienced personnel and/or subcontractors to perform the services enumerated herein. CONSULTANT shall reperform (or, at CONSULTANT's option, pay a third party to reperform) any defective Services (including Services performed in conjunction with CONSULTANT systems) at no cost upon receipt of notice detailing the defect(s) within one (1) year of performance of the original Services.

15. OPINIONS OF COST: The CONSULTANT has no control over the cost of labor, materials, equipment, or services furnished by others, or over contractors' methods of determining prices, or other competitive bidding or market conditions, and its opinions of probable project or construction costs are to be made on the basis of its experience and qualifications and represents its judgment as an experienced and qualified professional, familiar with the construction industry. However, the CONSULTANT cannot and does not guarantee that proposals, bids, or actual project or construction costs will not vary from the opinions of probable costs prepared by it.
16. CONSTRUCTION AND SAFETY: The CONSULTANT shall not be responsible for the means, methods, techniques, sequences, or procedures of construction selected by contractors or the safety precautions and programs incidental to work of contractors. It is the intent that the construction contractors will be held responsible for means and methods of construction and all safety issues.
17. SUBMITTAL REVIEW: Review of proposed contractor substitutions of materials and equipment by CONSULTANT is only for general conformance with the design concept of the Project and general compliance with the information given in the Contract Documents. The review does not affect the contractor's responsibility to perform all contract requirements. CONSULTANT will not be held responsible for errors or omissions made in the Contract Documents and/or City specifications.
18. OWNERSHIP AND REUSE OF DOCUMENTS: CONSULTANT retains all its intellectual property rights. The originals of all documents, including drawings and specifications, prepared by CONSULTANT pursuant to this Agreement shall remain the property of CONSULTANT and are instruments of service in respect of the Project. The CONSULTANT shall provide the CITY with reproducible copies, in the formats directed below, of all documents, drawings, specifications, and other work products that shall be the property of the CITY. Such documents, drawings, and specifications are not intended nor represented by the CONSULTANT to be suitable for reuse by the CITY or others on extensions of the services provided for the intended project or on any other project. The basic survey notes and sketches, charts, computations, and other data prepared or obtained by the CONSULTANT pursuant to the Agreement will be made available, upon request, to the City without

cost and without restriction or limitations as to their use. All field notes, test records, and reports shall be available to the City upon request.

Any reuse without written verification or adaptation by the CONSULTANT for the specific purpose intended will be at the CITY's sole risk and without liability or legal exposure to the CONSULTANT, and the CITY shall indemnify and hold harmless the CONSULTANT from all claims, damages, losses, and expenses including attorney's fees arising out of or resulting therefrom.

The above provisions also apply to electronic media files. The CONSULTANT shall provide the CITY with "AutoCAD drawing (dwg)" and "pdf" files of work performed for and paid for by the CITY at the request of the CITY, with the following provisions:

- a. The documents are protected by the rules and regulations of U.S. Copyright Laws.
  - b. The use or reuse of original or altered electronic files by the CITY or others the CITY has released these files to, except for the City's purposes stated herein, will be at the CITY's own risk and liability.
  - c. The CONSULTANT shall be indemnified and held harmless by the CITY to the fullest extent of the law from any and all claims, suit, liability, demands, or costs arising out of the use or release of the information contained on the files except for the City's purposes stated herein.
  - d. The CONSULTANTS shall perform its services in accordance with generally accepted engineering and consultant standards within the consulting engineering industry and shall be responsible for the professional and technical soundness and accuracy of all work and services furnished pursuant to this agreement.
19. CITY-PROVIDED INFORMATION: CONSULTANT is entitled to rely on all information furnished or to be furnished by CITY. CITY agrees to hold harmless and indemnify CONSULTANT, its officers, agents, and employees from any and all claims of any kind arising out of or relating to any claims caused by an error or omissions in information provided by the CITY which were the cause of the claim for damages and provided, further, that the CONSULTANT's reliance on such information was reasonable under the circumstances. All information, worksheets, reports, design calculations, plans, and specifications as provided by the City shall be the sole property of the City unless otherwise specified in this agreement.
20. THIRD-PARTY BENEFICIARIES: It is recognized that the services performed by CONSULTANT are for the benefit of the CITY and no other party. There are no third party beneficiaries to this Agreement.

21. ACCESS TO RECORDS CLAUSE: The CITY and other authorized representatives of the state and federal governments shall have access to any books, documents, papers, and records of the CONSULTANT for time and material type projects which are directly pertinent to this Agreement for the purposes of making audits, examination, excerpt, and transcriptions.

All such time and material project records pertinent to this Agreement and work undertaken under this Agreement shall be retained by the CONSULTANT for a period of three years after the completion of the CITY's project, unless a longer period is required to resolve audit findings or litigation. In such cases, the CITY shall request a longer period for record retention.

22. PROHIBITED INTERESTS: No member of the governing body of the CITY and no other elected or appointed officer, employee, or agent of the CITY who exercises any functions or responsibilities in connection with the planning and carrying out of the program, shall have any personal financial interest, direct or indirect, in this contract; and the CONSULTANT shall take appropriate steps to assure compliance.

23. INTEREST OF CONSULTANT AND EMPLOYEES: The CONSULTANT covenants that he presently has no interest and shall not acquire any interest, direct or indirect, in the study area or any parcels therein or any other interest which would conflict in any manner or degree with the performance of his services hereunder. The CONSULTANT further covenants that in the performance of this Agreement, no person having such interest shall be employed.

24. NOTICE: Any notice relating to claims for damages or relating to allegations of default shall be in writing and shall be made by certified or registered mail, postage prepaid, return receipt requested, or reliable overnight courier, to the parties as follows:

If to CONSULTANT:                   Schweitzer Engineering Laboratories, Inc.  
  Attention: Susan M. Anderson,  
  Contracts and Risk Manager  
  Address 2350 NE Hopkins Court  
  Pullman, WA 99163

If to the CITY:                        City of Batavia  
  Attention: Gary Holm, Director of Public Works  
  100 N. Island Avenue  
  Batavia, IL 60510

with copy to:                         City Attorney  
  Attention: Kevin G. Drendel  
  111 Flinn Street  
  Batavia, IL 60510

25. NON-DISCRIMINATION: The CONSULTANT shall not discriminate on the basis of handicapped status in the admission of, access to, or treatment of employment in its programs and activities.
26. ENTIRE AGREEMENT: This Agreement contains the entire agreement between the parties. No other writing, discussion or any other communication about possible terms is to be construed as forming part of the agreement between the parties. Any terms and conditions submitted by the CONSULTANT as part of its proposal are specifically disavowed and such terms and conditions shall not supersede this Agreement
27. BINDING EFFECT: This Agreement shall be binding upon the partners, heirs, successors, executors, administrators, and assigns of all the parties hereto.
28. LAW AND VENUE: This Agreement shall be construed in accordance with the laws of the State of Illinois. Venue for any litigation arising from this Agreement shall be limited to the Courts of the Sixteenth Judicial Circuit, Kane County, Illinois.
29. ILLINOIS PREVAILING WAGES: To the extent the proposed contract calls for the construction of a “public work,” within the meaning of the Illinois Prevailing Wage Act, 820 ILCS 130/.01 et seq. (“the Act”), Contractor shall not pay less than the prevailing rates of wages to all laborers, workmen, and mechanics performing work under this contract, and shall comply with the requirements of the Illinois Wages of Employees on Public Works Act 820 ILCS 130/1-12, to the extent they are applicable, including, without limitation, the submission of certified monthly payroll reports as required by 820 ILCS 130/5. The contractor is advised that failure to timely submit such reports shall be cause for the withholding of payments otherwise due the contractor until compliance with the reporting requirements is achieved. The current Illinois Department of Labor Prevailing Wage Rates for the County of Kane are available at their website <http://www.state.il.us/agency/idol/>. Prevailing wage rates are subject to revision monthly. Copies of the current prevailing wage rates are also available at the Kane County Purchasing Department, 719 Batavia Avenue, Geneva, Illinois.

Any bond furnished under this contract shall include such provisions as will guarantee the faithful performance of such prevailing wage clause as provided by the contract.

The Contractor and each of his Sub-Contractors shall pay each of his employees engaged in work on the project under this Contract in full (less deductions made mandatory by law) not less often than once each week.

If a contractor or subcontractor deems the work is not subject to the Act, the contractor or subcontractor shall then submit to the City, a letter indicating receipt

of this notice and their determination that the Act does not apply. If the contractor or subcontractor believes the work is not subject to the Prevailing Wage Act, and it is later determined by the Illinois Department of Labor or a court of competent jurisdiction that prevailing wages should have been paid, the contractor shall indemnify and hold the City harmless therein for all costs and penalties incurred by the City related to the violation, including reasonable attorneys fees incurred by the City to defend such an action.

IN WITNESS WHEREOF the parties hereto have executed this Agreement as of the day and year first above written.

CITY OF BATAVIA

SCHWEITZER ENGINEERING  
LABORATORIES, INC.

\_\_\_\_\_



\_\_\_\_\_  
Erik C. Newman, Finance Director

ATTEST:

ATTEST:

\_\_\_\_\_  
(SEAL)

\_\_\_\_\_  
(SEAL)

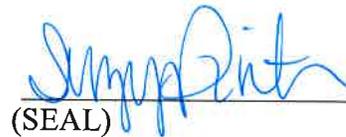


EXHIBIT "A"

SCHEDULE OF RATES  
FOR TIME AND MATERIALS PROJECTS  
SCHWEITZER ENGINEERING LABORATORIES, INC.  
ENGINEERING SERVICES RATES

**Time and Expense Additional Work**

Schweitzer Engineering Laboratories, Inc. (SEL) will perform additional work on an actual time and expense (T&E) basis, unless SEL and the Customer agree on other arrangements. The party identifying a potential change in scope will request the change of scope to the other in writing (fax, email, or letter). SEL will identify any budget or schedule impact and submit it for approval. SEL will proceed with the work as soon as SEL receives written approval, in accordance with established contract provisions.

Work performed on an actual T&E basis will be in accordance with the schedule of charges shown in the Rate Table (below), unless specifically modified in this proposal.

T&E Rate Table (U.S.)

Role	Weekday (per hour)	Weekday Overtime (per hour)	Saturday (per hour)	Sunday/ Holiday (per hour)	Travel (per hour)	Travel Expenses
Consultant	\$250	\$375	\$375	\$500	\$250	Actual
Principal Engineer	\$165	\$247.50	\$247.50	\$330	\$165	Actual
Senior Engineer	\$155	\$232.50	\$232.50	\$310	\$155	Actual
Program Manager Engineer V-VI Resource Manager	\$145	\$217.50	\$217.50	\$290	\$145	Actual
Engineer III-IV Project Manager II-III	\$135	\$202.50	\$202.50	\$270	\$135	Actual
Engineer I-II Project Manager I Senior Specialist	\$125	\$187.50	\$187.50	\$250	\$125	Actual
Specialist II-III	\$115	\$172.50	\$172.50	\$230	\$115	Actual
Associate Engineer Associate Project Manager Senior Designer	\$105	\$157.50	\$157.50	\$210	\$105	Actual
Technician II-III Specialist I Designer IV Project Coordinator	\$90	\$135	\$135	\$180	\$90	Actual
Technician I Designer I-III	\$80	\$120	\$120	\$160	\$80	Actual
Associate Technician Engineering Intern Drafter I-II Senior Project Administrator	\$70	\$105	\$105	\$140	\$70	Actual
Administrative	\$60	\$90	\$90	\$120	\$60	Actual

## ENGINEERING SERVICES RATES

The following details apply to the T&E Rate Table:

- The price does not include any sales or use tax.
- The Customer is to reimburse SEL for actual travel expenses such as airline tickets, meals, lodging, rental car, parking, and fuel (where applicable). Airline tickets are at the coach rate to the commercial airport nearest the work site; business rates apply for international travel.
- The T&E rate is the charge per person, per hour. Typical working hours are 8 a.m. to 6 p.m., Monday through Friday. Lunch shall be up to 60 minutes with two 15-minute breaks each day. On-site work outside of typical working hours shall be agreed upon between SEL and the Customer in advance and be subjected to additional charges.
- Overtime is defined as time in excess of 8 hours per day.
- As a general rule, no SEL service personnel should be required to work more than 12 hours of any day. Should job requirements result in workloads that exceed 12 hours, SEL and the Customer must agree on other arrangements that may be subject to additional costs.
- Time spent by SEL personnel on site while waiting standby, training, or traveling to/from the site will be considered billable time.
- On-site T&E invoices will include billable project administration and project management time not performed on site.
- The hourly rates quoted include clerical support and the use of personal computers loaded with Microsoft<sup>®</sup> Office, Lotus Notes<sup>®</sup>, MATLAB<sup>®</sup>, Mathcad<sup>®</sup>, AutoCAD<sup>®</sup>, MicroStation<sup>®</sup>, and SEL software used in the preparation, documentation, and processing of settings for SEL products.
- SEL does not bill for long-distance telephone, fax, low-volume copying, and document shipping charges.
- Hourly rates are valid for work performed within one year of the proposal date.
- Holidays observed for U.S. Offices include: New Year's Day (observed), Memorial Day, Independence Day (observed), Labor Day, Thanksgiving Day, Thanksgiving Friday, and Christmas Day (observed).

### NOTES:

1. THE RATES LISTED ABOVE ARE VALID UNTIL DECEMBER 31ST, 2016.
2. THE RATES FOR REIMBURSABLES SUCH AS POSTAGE, DOCUMENTFEES AND IN-HOUSE PRINTINGS/DISCS ARE APPLIED BASED ON THE NORMAL ON-GOING CHARGES.
3. THE ABOVE RATES DO NOT INCLUDE THE SERVICES OF OTHER PROFESSIONALS OR COMPANIES REQUIRED TO PERFORM WORK TO ASSIST SCHWEITZER ENGINEERING LABORATORIES, INC. GROUP IN THE PERFORMANCE OF A TASK ORDER.

EXHIBIT "B"

TASK ORDER NO. \_\_\_\_\_

REGARDING GENERAL AGREEMENT BETWEEN CITY OF BATAVIA

AND

SCHWEITZER ENGINEERING LABORATORIES, INC.

Project Description:

Scope of Services:

Time of Performance:

Estimated Fee for Services: Time and Materials:

Fixed Price:

**Schweitzer Engineering Labs, Inc.**

Proposed: \_\_\_\_\_

Date \_\_\_\_\_

**City of Batavia, City Approver**

Approved: \_\_\_\_\_

Date \_\_\_\_\_

# CITY OF BATAVIA

---

**DATE:** August 23, 2016  
**TO:** Committee of the Whole - Utilities  
**FROM:** Robert Rogde  
**SUBJECT:** Resolution 16-76-R Approving Task 1 with Schweitzer Engineering Laboratories, Inc.

The Main Substation is operating with electrical protection, telemetry, communication equipment that is at least 20 years old, with some older. The electrical protection is still functioning but the telemetry and communication equipment is failing and beyond the equipments' useful life.



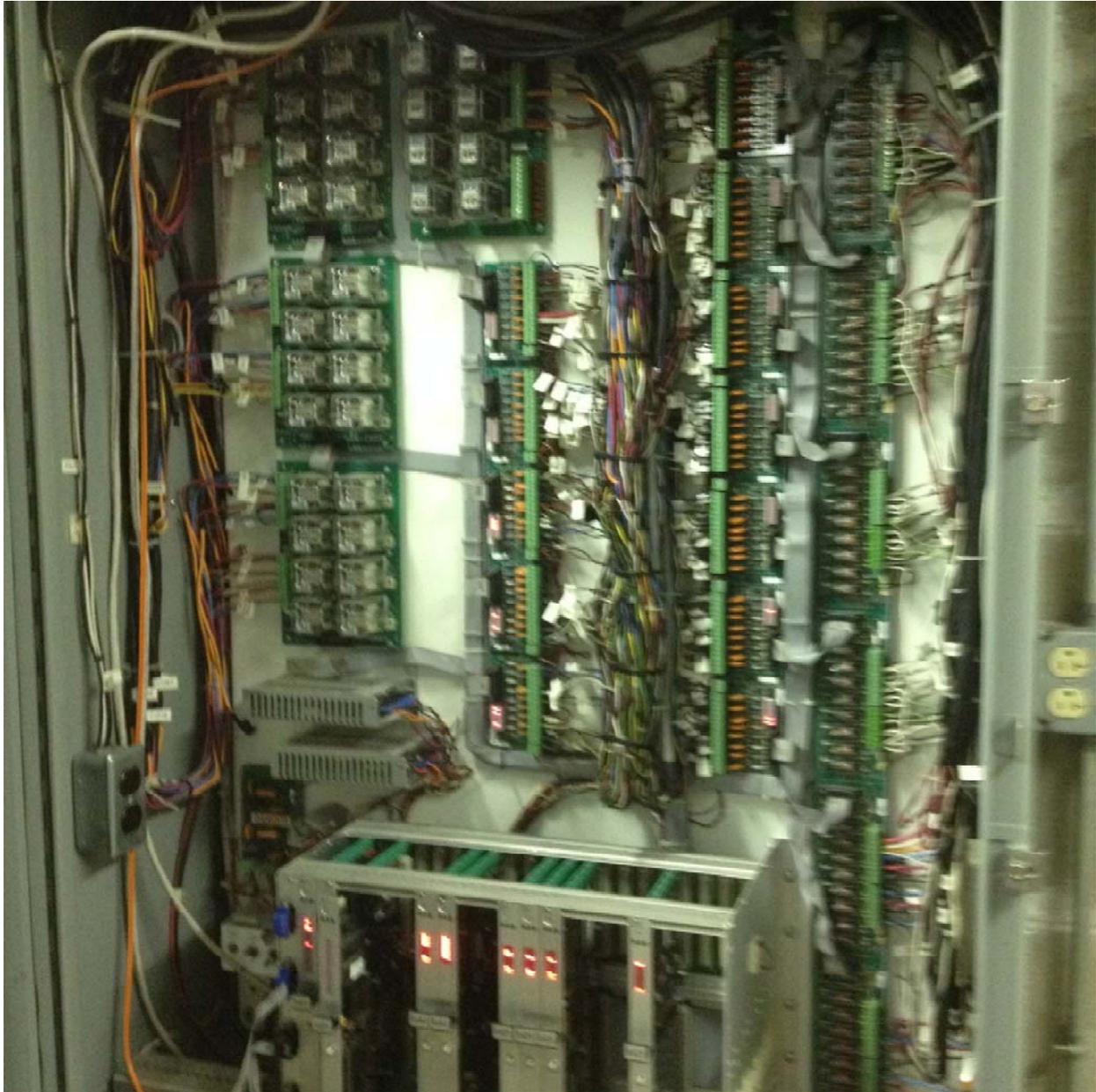
Main Substation Relay Panels

The electrical protection is provided by mainly electro-mechanical relays with some solid state relays.



As you see in the photos some modifications have been made to the panels and sheet metal patches have been installed.

These electro-mechanical relays provide just the electrical protection function. The new solid state relays provide electrical protection, self health check, alarming, telemetry, fault and event recording, and other advanced features. At this time the telemetry is provided by Remote Terminal Units (RTUs).



We have these RTUs remaining at Main Substation, McKee Substation, and Paramount Park Substation. Parts of this RTU, at Main Substation has failed which started us to look at replacement. Recently part of the RTU at McKee Substation has failed also.

In our newer substations, North East, South East, and Cherry Park, we have used the SEL solid state relays and communication equipment which eliminates the need for the RTUs. We have also installed that equipment the Ethernet portion of our fiber network. The older substations are using

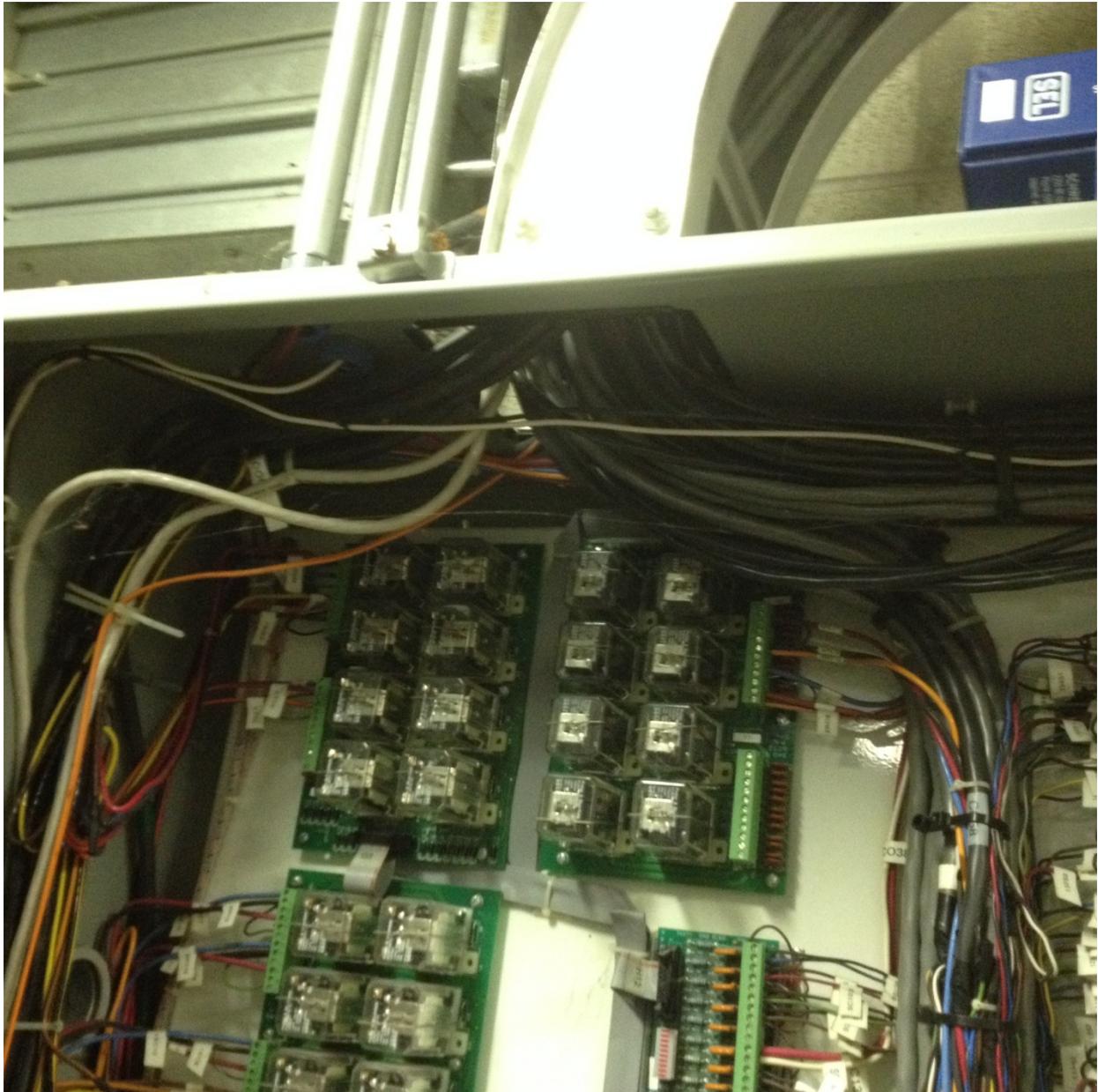
old serial communication systems on our fiber network but those electronics are also 20 years old and parts have failed. This has severely limited our capabilities with the older substation. We are in the process of moving to the Ethernet network and removing the serial networks. This project would move the Main Substation off of the serial networks.

This project will make the Main Substation similar to the newer substations in operations and capabilities. It will also remove the aged protection and communication getting the City one step closer to eliminating the old systems and the need for us to support them.

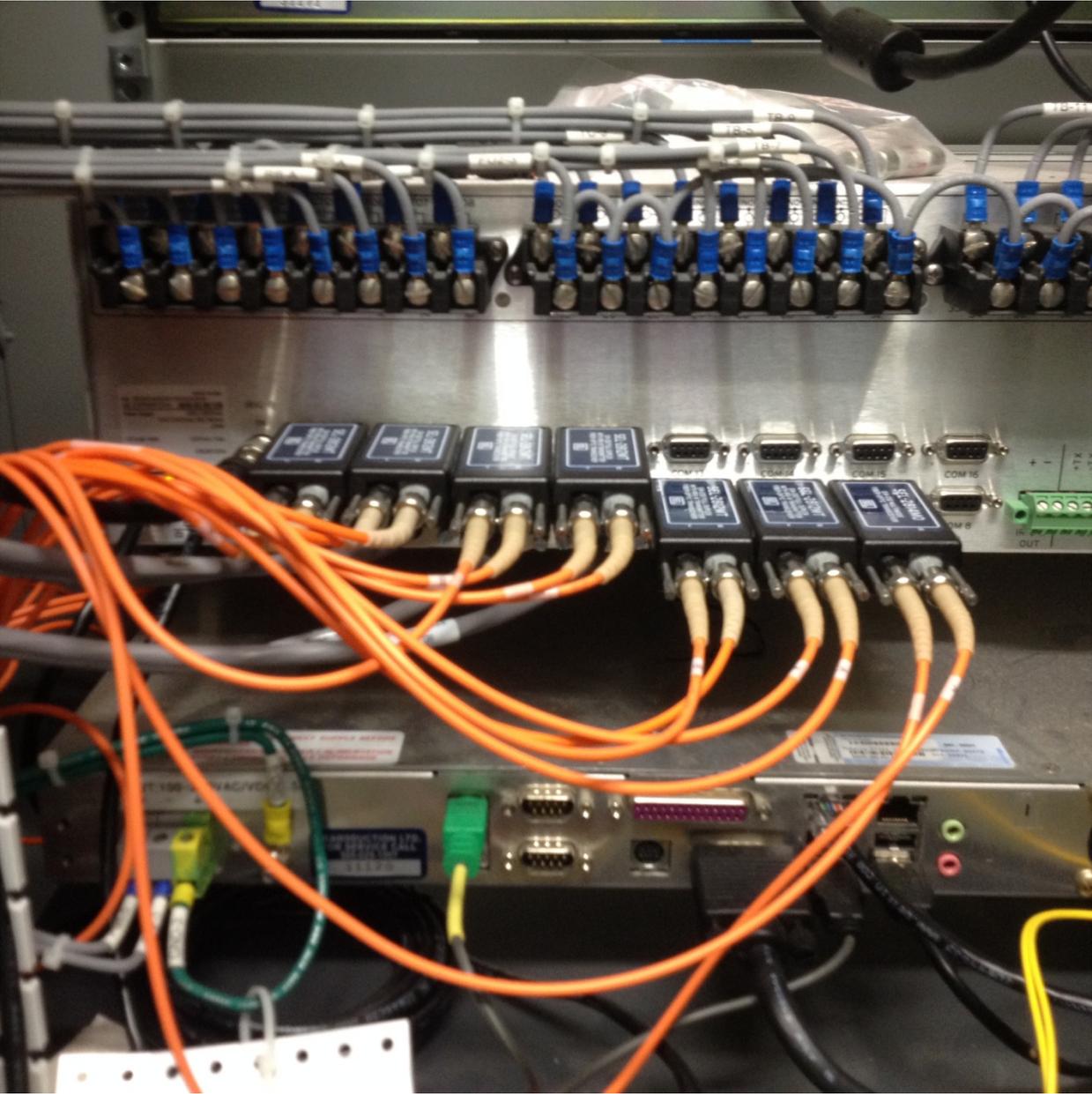
Here is a picture of the panels at Cherry Park Substation.



The new panels are built using a 19 inch rack type panel making change easier in the future. The amount of wiring and equipment is greatly reduced. Here is a picture of just some of the wiring for the telemetry.



This is replaced by some fiber optic cables (orange) and a communication processor.





The City much of the equipment required in stock. Staff began to look at installing the equipment in to the old panels. The old panels would require a tremendous amount of work to get the equipment installed. The removal of the old wiring and installation of the new wiring also added to the already lengthy outage required. Staff began to investigate the idea of installing new panels that were pre-wired, programmed, and tested. This option allows for an outage of a week and the substation would be more similar to the new substations. Staff is recommending the second plan of getting everything ready and an outage of a week for installation.

Staff has reviewed the proposal and has found it to be complete and found the costs in line with other similar projects. At the end of this project, Main Substation will operate and have capabilities similar to the other new substations. The control room will have more space and old equipment and much of the wiring reduced. The new equipment will monitor itself and let us know something is wrong. We will have updated drawings and the amount of time to troubleshoot a problem will be greatly reduced. Main Substation will be converted to communicating via the Ethernet network eliminating the need for the old serial communication systems. The serial communication system will be retired after work at McKee and Paramount.

Funds for this expenditure are in the 2016 Budget at \$ 150,000 and the not-to-exceed amount is within the amount budgeted.

**Staff is recommending Resolution 16-76-R approving Task 1 with Schweitzer Engineering Laboratories, Inc. for the not-to-exceed amount of \$ 148,200.**

**CITY OF BATAVIA, ILLINOIS  
RESOLUTION 16-76-R**

**AUTHORIZING EXECUTION OF AN AGREEMENT WITH SCHWEITZER  
ENGINEERING LABORATORIES, INC. FOR TASK 1**

**WHEREAS**, the City of Batavia owns and operates an electric utility whereby it purchases wholesale power and resells same to its citizens; and

**WHEREAS**, the City of Batavia owns and operates an electric transmission and distribution system; and

**WHEREAS**, the City of Batavia has identified the need to make certain improvements to Main Substation and in order to facilitate those improvements desires to enter into Task #1 with Schweitzer Engineering Laboratories, Inc to provide engineering design services; and

**WHEREAS**, the City of Batavia shall execute a Master Services Agreement with Schweitzer Engineering Laboratories, Inc. for Professional Engineering Services; and

**WHEREAS**, Schweitzer Engineering Laboratories, Inc. has the appropriate expertise and experience necessary to provide the professional engineering services for the electric transmission and distribution system; and

**WHEREAS**, the City of Batavia has entered into a Master Services Agreement with Schweitzer Engineering Laboratories, Inc. to provide engineering services related to maintenance and capital improvements to the transmission and distribution system.

**NOW, THEREFORE, BE IT RESOLVED**, by the Mayor and City Council of the City of Batavia, Kane and DuPage Counties, Illinois, as follows:

**SECTION 1:** That the Mayor and City Clerk are hereby authorized to execute Task 1, attached hereto as Exhibit 1, for professional services related maintenance and capital improvements to Main Substation with Schweitzer Engineering Laboratories, Inc.

**PRESENTED** to and **PASSED** by the City Council of the City of Batavia, Illinois, this 6<sup>th</sup> day of September, 2016.

**APPROVED** by me as Mayor of said City of Batavia, Illinois, this 6<sup>th</sup> day of September, 2016.

---

Jeffery D. Schielke, Mayor

CITY OF BATAVIA, ILLINOIS RESOLUTION 16-75-R

---

Ward	Aldermen	Ayes	Nays	Absent	Abstain	Aldermen	Ayes	Nays	Absent	Abstain
1	O'Brien					Fischer				
2	Callahan					Wolff				
3	Hohmann					Chanzit				
4	Mueller					Stark				
5	Botterman					Thelin Atac				
6	Cerone					Russotto				
7	McFadden					Brown				
Mayor Schielke										
VOTE:		Ayes	Nays	Absent	Abstentions					
Total holding office:		Mayor and 14 aldermen								

ATTEST:

---

Christine Simkins, Deputy City Clerk

# Exhibit 1



**ENGINEERING SERVICES**

A Services Division of Schweitzer Engineering Laboratories, Inc.



# Proposal for City of Batavia

*Main Street Substation - Panel Replacement and Relaying Upgrades*

SEL Project #: 015466.000.00

Submitted: 27th April 2016

This document, and all information contained herein, is proprietary to Schweitzer Engineering Laboratories, Inc. Any unauthorized use, distribution, or reproduction of this document (in whole or in part) or of any information contained herein is specifically prohibited. This legend must appear on any authorized reproduction (in whole or in part).



**SCHWEITZER ENGINEERING LABORATORIES, INC.**

## City of Batavia Contact Information

**Robert Rogde**  
**Senior Project Engineer**  
City of Batavia  
200 N. Raddant  
Batavia, IL 60510-2292  
Office: 630.454.2357  
Fax: 630.454.2351  
Email: rrogde@cityofbatavia.net

## SEL Contact Information

**SEL Engineering Services**  
**Schweitzer Engineering Laboratories, Inc.**  
340 Office Court, Suite D  
Fairview Heights, IL 62208  
USA  
Phone: 509.336.7945  
Fax: 509.334.8135  
PE Firm License for Illinois: 184.004774

**Harish Chaluvadi, PE**  
**Engineer V – Protection**  
450 Enterprise Parkway  
Lake Zurich, IL 60047  
Office: 847.540.5833  
Cell: 312.882.3857  
Email: harish\_chaluvadi@selinc.com

## Sales Representative Contact Information

**Erik Hansen**  
**Sales Engineer**  
A Star Electric Company  
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Email: erik@astareg.com

Document Revision Table

Rev.	Issue Date	Notes
0	04/27/2016	Initial Revision



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# 1 Scope of Services

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This proposal is provided in response to a request from Robert Rogde of City of Batavia.

<b>Service Description</b>	<b>Price</b>
1. Two (2) prefabricated 19” racks (28" x 24" x 90"), channel mount, open back Bill of Materials (BOM) and details in Section 1.1.1	\$24,100.00 <i>(Fixed Price)</i>
2. Complete Turn-key Services <ul style="list-style-type: none"> <li>a. Project Management</li> <li>b. Electrical Design Package</li> <li>c. Relay Settings</li> <li>d. Onsite Commissioning Support</li> <li>e. Training</li> </ul> Details in Sections 1.1.2 through 1.1.3	\$109,100.00 <i>(Estimated T&amp;E)</i>
3. Equipment Installation and Energization Details in Section 1.1.4	\$15,000.00 <i>(Estimated T&amp;E)</i>
<b>Total</b>	<b>\$148,200.00</b>

All quoted prices are exclusive of any sales, use, value-added, or similar taxes, which will be added, if applicable, at the statutory rate(s) at the time of invoicing.

## 1.1 Deliverables to Customer

### 1.1.1 Equipment

SEL will provide a two (2) prefabricated 19” racks (28" x 24" x 90"), to City of Batavia (“Customer”). Table 1 provides a price breakdown per panel, and Tables 2 and 3 show the bill of materials (BOM) that will be provided in each panel.

Table 1 Panel Price Breakdown

Service Description	Qty	Unit Price	Total Price
1. 12 kV Panel In accordance to hardware defined on Table 2	1	\$17,000.00	\$17,000.00
2. 35 kV Panel In accordance to hardware defined on Table 3	1	\$7,100.00	\$7,100.00



Table 2 - 12kV Panel

Item	Qty	Manufacturer	Part / Model Number	Description
1	1	SEL	TBD	Prefabricated 19" rack (28" x 24" x 90")
2	1	SEL	24070001B	SEL-2407 Clock with 75' cable
3	1	SEL	TBD	SEL Rotary Switch
4	2	SEL	TBD	SEL 751A Relay (To be provided by Customer)
5	1	SEL	TBD	SEL 787 Relay (To be provided by Customer)
6	1	SEL	TBD	SEL 3530 RTAC (To be provided by Customer)
7	8	ABB	TBD	FT-1 Test Switch 10 Poles
8		TBD	TBD	Misc. terminal blocks, circuit breaker, fuse blocks, ground bar, wire, crimps, terminations and ties.

Table 3 - 35kV Panel

Item	Qty	Manufacturer	Part / Model Number	Description
1	1	SEL	TBD	Prefabricated 19" rack (28" x 24" x 90")
2		TBD	TBD	Misc. terminal blocks, circuit breaker, fuse blocks, ground bar, wire, crimps, terminations and ties.

### 1.1.2 Engineering Services

SEL will provide the following documentation to the Customer:

- An initial set of drawings for the Customer to review, comment, and approve consisting of the following:
  - Single Line relaying and metering
  - AC elementary drawings
  - DC elementary drawings
  - Wiring drawings
  - Bill of Material (BOM), including revised part numbers, quantities, etc.
  - Panel layout drawings, including revised placement, numbers, etc.
  - Nameplate list, including revised device numbers, etc.
- A final set of the above drawings that have been approved by the Customer
- An electronic copy of all drawings and BOMs furnished by SEL

### 1.1.3 Relay Settings and Calculations

SEL will provide the following:



- Custom programming of SEL relays to provide complete and redundant protection for the transformer and feeders
- Expert relay settings calculations for the overcurrent and differential elements
- Relay settings for new relays being installed
- RTAC (RTU) configuration files
- Functional design document for the RTU

### 1.1.4 Commissioning Services

SEL will demolish the existing panels and install, wire, and check out the new panels. The demolished panels will be delivered to a recycling facility. An SEL relay engineer will perform functional testing to ensure that all the equipment is working as desired. The expected outage time is six (6) days and will employ two (2) wiremen for the demolition.

## 1.2 Deliverables to SEL

The Customer will provide the following items to SEL:

- An approved one-line drawing for the project
- All equipment information to be used for this project, including instrument transformer nameplate diagrams and test reports, transformer nameplate, schematic, wiring diagrams, transformer positive sequence, and zero sequence impedance values, circuit breaker nameplate, schematic, and wiring diagrams, any additional diagrams of related equipment needed to complete the project
- Input on all conceptual issues as necessary
- Review and comment on above documentation within a reasonable time frame
- An Aspen database of the system so fault analysis may be completed
- Relay settings on adjacent zones to ensure coordination of phase and ground backup elements, if used
- End-user standards, setting philosophies, and relay setting templates, if required to be followed
- Any and all information deemed necessary for this project that is not mentioned above
- Drawing templates, standards, and numbers. If not supplied, then the project will use SEL drawing templates, standards, and numbers
- A review and approval of the initial drawing package

## 1.3 Change in Scope

The party identifying a potential change in scope will request the change of scope to the other in writing (fax, email, or letter). SEL will identify any budget or schedule impact and submit it for approval. SEL will proceed with the work as soon as SEL receives written approval, in accordance with established contract provisions.



## 2 Payment and Work Schedule

---

<b>Milestone Activity</b>	<b>Price</b>
1. Upon issuing the purchase order	\$15,000.00
2. Upon delivery of equipment	\$9,100.00
3. Engineering & Commissioning fee will be billed on a T&E basis monthly. Price shown is the estimated maximum	\$124,100.00
<b>Total</b>	<b>\$148,200.00</b>

All quoted prices are exclusive of any sales, use, value-added, or similar taxes, which will be added, if applicable, at the statutory rate(s) at the time of invoicing.

T&E projects will be invoiced monthly.

Unless indicated otherwise in this proposal, the price does not include the cost of any payment, performance, and/or warranty security instrument.

This proposal is valid for 60 days. SEL reserves the right to withdraw this offer if mutually accepted credit terms cannot be agreed upon.

### 2.1 Payment and Credit Terms

If your company does not have established credit terms sufficient to cover this purchase, SEL reserves the right to require any of the following: credit information, prepayment, letter of credit, or progress payments prior to acceptance.

Work cannot be initiated until adequate credit terms have been established.

Payment Terms: Net 30 days after date of invoice.

### 2.2 Schedule

A schedule of deliverables will be provided within one (1) week after receipt of purchase order and agreed upon terms.

Delivery of equipment shall be 10 weeks after approval of design drawing(s).

The panel fabrication schedule is based on the following:

- Customer approval of the panel structural and panel layout drawings
- Customer approval of the panel BOMs
- A one-time review of drawings, BOMs, and nameplate lists
- Review and approval of wiring diagrams

Drawings will be transmitted electronically by email to expedite approval turnaround time.

SEL will furnish a schedule for engineering, drawings for approval, manufacture, test, and shipment within one (1) week after receipt of a purchase order and agreed upon terms.

Proposed schedules are based on present workloads and, if applicable, material and equipment deliveries. The schedule may change depending upon the start date and the impact of work that may be awarded to SEL between the date of this proposal and the date of the award.

Schedule is subject to acceptable payment and credit terms.



## 3 Clarifications and Exceptions

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SEL developed the scope of work, schedule, and price based on the information provided to us as listed in this proposal. Should additional or changed work be required, including such work resulting from unusual conditions or for any other reasons that are not evident from the information provided, changes to the price or schedule may result.

SEL will assign a project manager to the project. The project manager will oversee and maintain the schedule within SEL. The project manager will also be the point of contact with the Customer in order to maintain a smooth flow of information.

### 3.1 Clarifications

Customer to provide the equipment listed in Table 2 under Customer responsibility.

SEL is not responsible for battery sizing, cable sizing, and/or voltage drop calculations.

Lightning protection is not provided in this proposal.

### 3.2 Time and Expense Additional Work

Schweitzer Engineering Laboratories, Inc. (SEL) will perform additional work on an actual time and expense (T&E) basis, unless SEL and the Customer agree on other arrangements. The party identifying a potential change in scope will request the change of scope to the other in writing (fax, email, or letter). SEL will identify any budget or schedule impact and submit it for approval. SEL will proceed with the work as soon as SEL receives written approval, in accordance with established contract provisions.

Work performed on an actual T&E basis will be in accordance with the schedule of charges shown in Table 4, **unless specifically modified in this proposal.**



Table 4 T&E Rate Table (U.S.)

Role	Weekday (per hour)	Weekday Overtime (per hour)	Saturday (per hour)	Sunday/Holiday (per hour)	Travel (per hour)	Travel Expenses
Consultant	\$250	\$375	\$375	\$500	\$250	Actual
Principal Engineer	\$165	\$247.50	\$247.50	\$330	\$165	Actual
Senior Engineer	\$155	\$232.50	\$232.50	\$310	\$155	Actual
Program Manager Engineer V–VI Resource Manager	\$145	\$217.50	\$217.50	\$290	\$145	Actual
Engineer III–IV Project Manager II–III	\$135	\$202.50	\$202.50	\$270	\$135	Actual
Engineer I–II Project Manager I Senior Specialist	\$125	\$187.50	\$187.50	\$250	\$125	Actual
Specialist II–III	\$115	\$172.50	\$172.50	\$230	\$115	Actual
Associate Engineer Associate Project Manager Senior Designer	\$105	\$157.50	\$157.50	\$210	\$105	Actual
Technician II–III Specialist I Designer IV Project Coordinator	\$90	\$135	\$135	\$180	\$90	Actual
Technician I Designer I–III	\$80	\$120	\$120	\$160	\$80	Actual
Associate Technician Engineering Intern Drafter I–II Senior Project Administrator	\$70	\$105	\$105	\$140	\$70	Actual
Administrative	\$60	\$90	\$90	\$120	\$60	Actual

All quoted prices are exclusive of any sales, use, value-added, or similar taxes, which will be added, if applicable, at the statutory rate(s) at the time of invoicing.

The following details apply to Table 4:

- The Customer is to reimburse SEL for actual travel expenses such as airline tickets, meals, lodging, rental car, parking, and fuel (where applicable). Airline tickets are at the coach rate to the commercial airport nearest the work site; business rates apply for international travel.
- The T&E rate is the charge per person, per hour. Typical working hours are 8 a.m. to 6 p.m., Monday through Friday. Lunch shall be up to 60 minutes with two 15-minute breaks each



day. On-site work outside of typical working hours shall be agreed upon between SEL and the Customer in advance and be subject to additional charges.

- Overtime is defined as time in excess of 8 hours per day.
- As a general rule, no SEL service personnel should be required to work more than 12 hours of any day. Should job requirements result in workloads that exceed 12 hours, SEL and the Customer must agree on other arrangements that may be subject to additional costs.
- Time spent by SEL personnel on site while waiting standby, training, or traveling to/from the site will be considered billable time.
- On-site T&E invoices will include billable project administration and project management time not performed on site.
- The hourly rates quoted include clerical support and the use of personal computers loaded with Microsoft® Office, Lotus Notes®, MATLAB®, Mathcad®, AutoCAD, MicroStation®, and SEL software used in the preparation, documentation, and processing of settings for SEL products.
- SEL does not bill for long-distance telephone, fax, low-volume copying, and document shipping charges.
- Hourly rates are valid for work performed within one year of the proposal date.
- Holidays observed for U.S. Offices include: New Year's Day (observed), Memorial Day, Independence Day (observed), Labor Day, Thanksgiving Day, Thanksgiving Friday, and Christmas Day (observed).

### 3.3 On-Site Commissioning Support

On-site commissioning support is provided as part of this proposal. For this support, the SEL engineer will work under the direction of the Customer's engineer in charge and will assist with technical issues that arise during commissioning regarding SEL devices. The engineer in charge will be responsible for providing and operating required test equipment. The SEL engineer will follow operational and safety procedures governing the work site but will not be responsible for enforcing operations and safety procedures, for the direct supervision of personnel, or for taking or releasing system clearances.

Delays in project completion, or non-completion of the on-site commissioning support, due to troubleshooting, finding, and correcting problems created by the Customer's installation shall not be the responsibility of SEL.

SEL engineers will bring hard hat, safety glasses, and cotton or fire-rated shirt. Customer will provide any other special clothing or safety equipment required to enter site. Also, Customer will provide any special safety training to enter site (training time shall apply to on-site support time).

### 3.4 Factory Acceptance Testing

Our highly qualified personnel thoroughly test each panel, and we will adapt our testing procedures to meet special Customer requirements.

SEL performs complete factory assembly and testing of integrated systems, contributing to easier, faster, and error-free commissioning.

The checkout procedures, specifically for relays and automation FAT activities, typically include the following activities:



- Prefunctional test checkout (general workmanship, wire continuity, isolation, etc.)
- Settings loaded into the relays and communications processors
- Data cable connection checkout
- Communications status checkout
- DC control circuit functional tests (battery bank and charger)
- AC sensing circuit functional tests

There is no charge for the Customer being present during factory acceptance testing. The Customer will be notified at least two (2) weeks prior to the FAT.

### 3.5 Compliance

SEL will comply with state and local codes, standards, rules, regulations, and laws insofar as they do not exceed national codes, standards, rules, regulations, and laws.

In the event a part, other than protective relays, is not obtainable or develops a lengthy lead time, SEL will consult with the Customer to determine if the project deadline can be extended or if the part can be substituted with an alternate manufacturer's model that will meet or exceed the specifications of the original part.

All panels require storage in a dry, temperature-controlled building. Improper storage will void manufacturer's warranty.

### 3.6 Relay Setting Service

Relay settings will be selected to maintain coordination, to the extent that it is practical to do so. If system coordination cannot be maintained, SEL will work with the Customer to determine the best course of action. Any fault study developed or performed will be limited to that as defined under Section 1: Scope of Services. Adjacent substations and equivalent systems within one line segment of the study area will be included to verify coordination. All pertinent fault and relay data are to be provided by the Customer in a timely manner. Remote substation relay settings, evaluation, and recommendations are beyond the scope of this project.

Relay settings and supporting documentation are provided by electronic means. The documents include relay settings, supporting calculations, pertinent fault study results, and overcurrent coordination curves, as required in Microsoft Word or Adobe® Acrobat® format. In addition, these documents will also include settings for all SEL relays in an SEL-5010 Relay Assistant Software or ACSELERATOR QuickSet® SEL-5030 Software database to facilitate setting the relays.

### 3.7 Cybersecurity – Project Passwords

To maintain security during the processes of engineering, fabrication, factory tests, shipment, delivery, on-site testing, and commissioning, the electronic devices in this system are assigned project passwords. They are specific to this project and are controlled at SEL on a strict need-to-know basis.

As part of the final deliverables from SEL, the Customer will receive documentation identifying the project passwords in each of the delivered products. SEL recommends that the Customer change the project passwords to Customer-defined passwords upon receipt of their products.

SEL policy is to change passwords; however, SEL will follow the Customer policy regarding passwords as advised.



# 4 Project Quality Plan

SEL maintains a documented quality system that meets all of the requirements of ISO 9001:2008, ISO 17025:2005, and U.S. Nuclear Regulatory Commission Regulation: Title 10, Part 50, Appendix B Quality Assurance Criteria for Nuclear Power Plants<sup>1</sup>. Copies of SEL Quality System certificates are available at [www.selinc.com](http://www.selinc.com).

Through partnership and performance, SEL Engineering Services (ES) strives to design and deliver quality solutions using the ES Project Procedure illustrated in Figure 1. The ES Project Procedure encompasses a sequential, phase-gate design process where each succeeding phase starts after the preceding phase is completed and approved. The primary goal is to design in quality and meet expectations from the beginning of the project; time spent early on helps ensure that project requirements and the design basis are correct and, in turn, saves much time and effort in later phases for the Customer, the project team, and others involved.

The SEL ES Project Procedure has phases for planning, design, development, testing/validation, commissioning, and close out. This project methodology, based on past project applications and industry best practices, uses a strong process approach and incorporates the Customer’s perspective into the project definition and execution. Detailed design reviews of requirements and deliverables by competent technical reviewers from SEL’s authorized reviewer lists ensure the quality of deliverables. Testing and validation processes prove the performance of the solution for the Customer’s application.

The Customer has an important role in the SEL Quality process. Throughout the project, the Customer can expect communication on project status and will have opportunities to define requirements, review deliverables, and provide feedback on SEL performance.

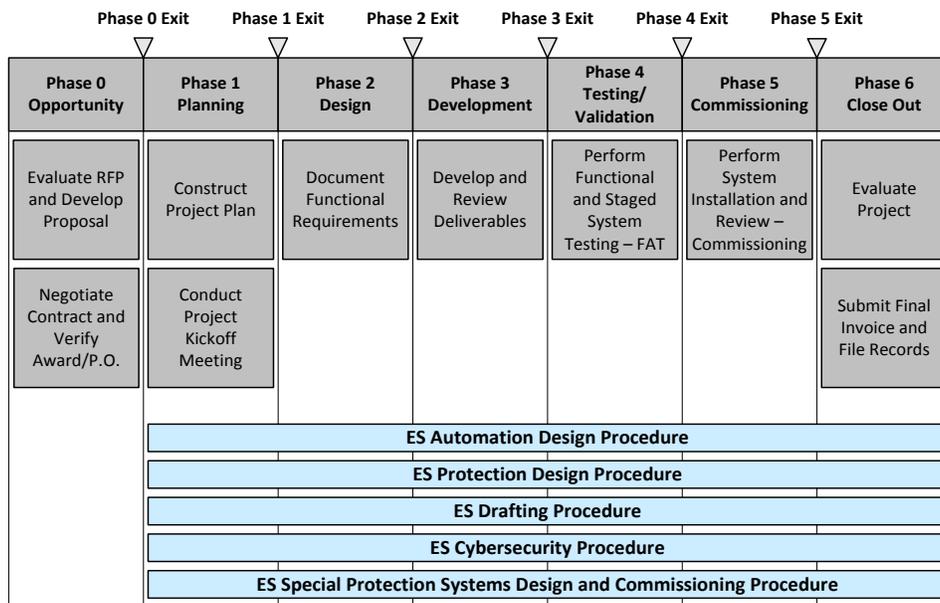


Figure 1: Engineering Services Project Procedure Diagram

<sup>1</sup> Code of Federal Regulations, Domestic Licensing of Production and Utilization Facilities, Quality Assurance Criteria for Nuclear Power Plants and Fuel Reprocessing Plants.



# 5 SEL Terms and Conditions

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To accept this proposal, please return this sheet, signed and dated.

City of Batavia (“Customer”)	Schweitzer Engineering Laboratories, Inc. (“SEL”)
200 N. Raddant	Fairview Heights
Batavia, IL 60510-2292	340 Office Court, Suite D
USA	USA
FAX: (630) 454-2351	FAX: (509) 334-8135
Signature: _____	Signature: _____
Print Name: _____	Print Name: _____
Title: _____	Title: _____
Date: _____	Date: _____

Contract Information (to be completed by client):

Contract Amount: \$ _____	Client PO/ Reference/Contract#: _____
Ship To Address: _____	
Bill To Street Address: _____	
Bill To Email Address: _____	

Work done on the project will be subject to the Master Services Agreement entered between City of Batavia and SEL.

