

# CITY OF BATAVIA

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**DATE:** November 15, 2019  
**TO:** Mayor and City Council  
**FROM:** Peggy Colby, Finance Director  
**SUBJECT:** Budget Adjustments

**Summary:** The Budget discussion on November 12<sup>th</sup> ended with the City Council choosing to keep the tax rate the same as last year and to use reserves to cover both the operating deficit and the capital deficit. The City Council also supported leaving the transfer of \$1,000,000 from the General Fund to the Capital Fund in the budget.

There have been no other changes to the budget except for two changes to Fund 43 (Street Capital). The engineering department just received notice that the City may move forward with design engineering for the second phase of Prairie Street Reconstruction. Stage 2 will involve reconstruction of Prairie Street north of Laurel Street to Wilson Street. Railroad gates will be installed at Prairie Street just south of Wilson Street along with traffic signals at the Wilson and Prairie Street intersection. The City will only need to contribute 30% of the cost for the project which is planned for construction in 2022. I have added \$238,000 in 43-47 for the design engineering and \$166,600 in 43 grant revenue. There will be sufficient funds available to do the project at that time and the City should accept the grant funding of \$1.8M for 70% of the project costs. The City share of the project will be \$765,750.

The total budget recommended for approval for All Funds is as follows:

\$117,581,945 Revenues

\$136,229,566 Expenses/Expenditures

(\$ 18,647,621) Deficit

\$ 37,269,599 Reserves

# City of Batavia 2020 Annual Budget

## All Funds

Description	Actual 2017	Actual 2018	Approved Budget 2019	Estimated 2019	Proposed Budget 2020
<b>Surplus and Reserves</b>	<b>\$48,352,034</b>	<b>\$56,591,420</b>		<b>\$56,909,635</b>	<b>\$55,917,220</b>
01 Intergovernmental	\$6,295,228	\$5,750,426	\$8,585,354	\$5,272,315	\$6,958,564
01 Municipal Taxes/Fees	\$5,465,002	\$6,525,507	\$6,655,700	\$6,406,271	\$6,401,000
01 Property Taxes	\$7,984,172	\$8,707,845	\$8,821,310	\$8,812,275	\$9,050,633
01 Sales Taxes	\$8,975,819	\$8,793,196	\$8,750,000	\$8,730,000	\$8,735,000
01 Utility Operating Revenues	\$58,578,657	\$57,568,202	\$58,940,032	\$56,993,504	\$58,307,100
02 Contributions	\$4,348,585	\$4,592,967	\$4,958,419	\$5,078,710	\$4,982,990
02 Utility Nonoperating Revenues	\$893,700	\$1,891,122	\$541,900	\$1,111,225	\$812,700
04 Fees & Services	\$1,186,399	\$1,508,440	\$1,460,500	\$1,348,217	\$1,565,242
05 Fines and Forfeitures	\$198,503	\$273,047	\$259,000	\$241,500	\$259,000
06 Other Revenues	\$1,107,138	\$1,967,681	\$1,321,111	\$1,817,426	\$1,212,500
07 Interfund Allocations	\$2,819,265	\$2,536,600	\$2,491,420	\$2,457,688	\$3,492,450
08 Bond/Loan Debt Proceeds	\$16,400,964	\$18,242,202	\$1,143,401	\$2,129,344	\$15,804,766
<b>Total Revenue</b>	<b>114,253,432</b>	<b>118,357,235</b>	<b>103,928,147</b>	<b>100,398,475</b>	<b>117,581,945</b>
TIF District Projects	\$3,006,648	\$358,778	\$1,113,000	\$263,000	\$11,143,000
Administration & Legislative	\$1,141,920	\$1,147,428	\$1,216,706	\$1,195,060	\$1,229,721
Human Resources	\$434,286	\$400,943	\$463,296	\$464,605	\$476,213
Community & Economic Dev	\$1,206,063	\$1,229,724	\$1,453,227	\$1,495,103	\$1,579,309
Public Works Administration	\$239,170	\$254,691	\$258,702	\$261,762	\$273,190
Engineering	\$561,375	\$677,957	\$839,804	\$709,699	\$734,867
Public Properties & Services	\$1,030,410	\$1,066,175	\$2,292,167	\$2,216,146	\$2,354,396
Finance and Accounting	\$633,244	\$684,978	\$701,389	\$700,473	\$723,203
Information Systems	\$891,946	\$909,258	\$1,211,657	\$1,079,203	\$1,393,320
Utility Billing	\$381,074	\$416,942	\$483,586	\$452,319	\$464,389
Worker's Compensation Plan	\$580,103	\$290,307	\$624,000	\$592,700	\$622,000
Police Admin & Operations	\$8,876,597	\$9,312,595	\$9,946,950	\$9,789,864	\$10,270,069
Fire Services	\$5,241,841	\$5,441,013	\$5,887,187	\$5,855,741	\$6,124,045
E.S.D.A.	\$31,475	\$29,508	\$40,810	\$37,840	\$41,200
Drainage Capital Projects	\$786,210	\$3,257,800	\$2,995,224	\$1,864,497	\$2,293,247
Public Works Capital Acquisition	\$216,637	\$354,606	\$612,000	\$582,800	\$575,000
Fire Capital Acquisition	\$24,813	\$27,364	\$45,000	\$45,000	\$1,075,000
Health Benefit Plan	\$4,001,297	\$4,330,078	\$4,595,994	\$4,932,700	\$4,613,474
Deerpath Bridge Construction	\$134,051	\$500	\$59,371	\$0	\$0
Streets and Sanitation	\$2,894,893	\$3,319,420	\$2,767,606	\$2,642,611	\$2,831,345
Street Improvement Projects	\$2,313,273	\$2,325,500	\$7,528,067	\$1,743,933	\$6,376,396
Building Capital Improvements	\$183,093	\$1,046,747	\$626,801	\$137,302	\$1,861,800
Electric Capital Improvements	\$3,203,053	\$3,689,938	\$9,271,508	\$4,033,770	\$8,641,800

# City of Batavia 2020 Annual Budget

## All Funds

Description	Actual 2017	Actual 2018	Approved Budget 2019	Estimated 2019	Proposed Budget 2020
<b>Surplus and Reserves</b>	<b>\$48,352,034</b>	<b>\$56,591,420</b>		<b>\$56,909,635</b>	<b>\$55,917,220</b>
Meter Reading/Locating	\$258,456	\$283,547	\$254,156	\$265,936	\$276,311
Transmission & Distribution	\$44,820,162	\$44,581,411	\$46,873,335	\$41,997,997	\$46,235,092
Water Capital Improvements	\$565,861	\$847,037	\$3,764,906	\$2,338,633	\$5,842,167
Water Production	\$772,454	\$932,048	\$971,000	\$971,600	\$937,625
Water Distribution	\$2,320,956	\$2,346,294	\$2,480,068	\$2,432,756	\$2,646,828
Utility Share General Fund	\$-1,284,843	\$-1,645,000	\$-1,735,000	\$-1,735,000	\$-2,025,000
Sewer Capital Improvements	\$9,073,023	\$18,741,041	\$2,270,448	\$2,678,250	\$2,617,797
Waste Water Treatment	\$2,554,650	\$2,639,174	\$2,776,227	\$2,726,865	\$2,855,917
Sanitary Sewer	\$283,451	\$199,726	\$267,624	\$269,124	\$309,715
Interfund Allocations	\$2,819,265	\$2,536,600	\$2,491,420	\$2,457,688	\$3,492,450
ED Grant Agreement	\$1,677,753	\$1,203,410	\$1,548,156	\$0	\$1,393,731
Revenue Bond Payments	\$3,286,861	\$3,536,086	\$5,126,298	\$4,628,730	\$4,684,416
General Obligation Debt Service	\$852,525	\$1,265,396	\$1,262,183	\$1,262,183	\$1,265,533
<b>Total Expense</b>	<b>106,014,046</b>	<b>118,039,020</b>	<b>123,384,873</b>	<b>101,390,890</b>	<b>136,229,566</b>
<b>Surplus/(Deficit)</b>	<b>\$8,239,386</b>	<b>\$318,215</b>	<b>(\$19,456,726)</b>	<b>( \$992,415)</b>	<b>(\$18,647,621)</b>
<b>Surplus and Reserves</b>	<b>\$56,591,420</b>	<b>\$56,909,635</b>		<b>\$55,917,220</b>	<b>\$37,269,599</b>

# City of Batavia 2020 Annual Budget

## Fund #10 — General Fund Summary

Description	Actual 2017	Actual 2018	Approved Budget 2019	Estimated 2019	Proposed Budget 2020
<b>Surplus and Reserves</b>	<b>\$9,276,015</b>	<b>\$10,354,057</b>		<b>\$12,215,764</b>	<b>\$12,348,754</b>
01 Intergovernmental	\$3,611,458	\$3,798,927	\$3,783,900	\$4,092,293	\$4,171,000
01 Municipal Taxes/Fees	\$5,229,353	\$5,560,379	\$5,605,700	\$5,440,271	\$5,451,000
01 Property Taxes	\$6,540,980	\$7,149,751	\$7,293,834	\$7,293,823	\$7,547,657
01 Sales Taxes	\$8,975,819	\$8,793,196	\$8,750,000	\$8,730,000	\$8,735,000
04 Fees & Services	\$1,186,399	\$1,508,440	\$1,460,500	\$1,348,217	\$1,565,242
05 Fines and Forfeitures	\$198,503	\$273,047	\$259,000	\$241,500	\$259,000
06 Other Revenues	\$407,436	\$560,099	\$510,000	\$610,000	\$590,000
<b>Total Revenue</b>	<b>\$26,149,948</b>	<b>\$27,643,839</b>	<b>\$27,662,934</b>	<b>\$27,756,104</b>	<b>\$28,318,899</b>
Administration & Legislative	\$1,141,920	\$1,147,428	\$1,216,706	\$1,195,060	\$1,229,721
Human Resources	\$434,286	\$400,943	\$463,296	\$464,605	\$476,213
Community & Economic Dev	\$1,206,063	\$1,229,724	\$1,453,227	\$1,495,103	\$1,579,309
Public Works Administration	\$239,170	\$254,691	\$258,702	\$261,762	\$273,190
Engineering	\$561,375	\$677,957	\$839,804	\$709,699	\$734,867
Public Properties & Services	\$1,030,410	\$1,066,175	\$2,292,167	\$2,216,146	\$2,354,396
Finance and Accounting	\$633,244	\$684,978	\$701,389	\$700,473	\$723,203
Information Systems	\$891,946	\$909,258	\$1,211,657	\$1,079,203	\$1,393,320
Utility Billing	\$381,074	\$416,942	\$483,586	\$452,319	\$464,389
Police Admin & Operations	\$8,876,597	\$9,312,595	\$9,946,950	\$9,789,864	\$10,270,069
Fire Services	\$5,241,841	\$5,441,013	\$5,887,187	\$5,855,741	\$6,124,045
E.S.D.A.	\$31,475	\$29,508	\$40,810	\$37,840	\$41,200
Streets and Sanitation	\$2,894,893	\$3,319,420	\$2,767,606	\$2,642,611	\$2,831,345
Utility Share General Fund	\$-1,284,843	\$-1,645,000	\$-1,735,000	\$-1,735,000	\$-2,025,000
Interfund Allocations	\$2,792,455	\$2,536,500	\$2,457,688	\$2,457,688	\$3,492,450
<b>Total Expense</b>	<b>\$25,071,906</b>	<b>\$25,782,132</b>	<b>\$28,285,775</b>	<b>\$27,623,114</b>	<b>\$29,962,717</b>
<b>Surplus/(Deficit)</b>	<b>\$1,078,042</b>	<b>\$1,861,707</b>	<b>( \$622,841)</b>	<b>\$132,990</b>	<b>( \$1,643,818)</b>
<b>Surplus and Reserves</b>	<b>\$10,354,057</b>	<b>\$12,215,764</b>		<b>\$12,348,754</b>	<b>\$10,704,936</b>

# City of Batavia 2020 Annual Budget

## Fund #43 — Street Improvements Capital Summary

Description	Actual 2017	Actual 2018	Approved Budget 2019	Estimated 2019	Proposed Budget 2020
<b>Surplus and Reserves</b>	<b>\$314,976</b>	<b>\$503,770</b>		<b>\$1,352,275</b>	<b>\$1,665,266</b>
01 Intergovernmental	\$1,746,680	\$1,252,209	\$4,120,815	\$402,250	\$1,576,155
01 Municipal Taxes/Fees	\$197,101	\$730,606	\$970,000	\$850,000	\$850,000
06 Other Revenues	\$224,677	\$282,477	\$86,611	\$101,787	\$6,000
07 Interfund Allocations	\$240,000	\$350,000	\$350,000	\$350,000	\$350,000
<b>Total Revenue</b>	<b>\$2,408,458</b>	<b>\$2,615,292</b>	<b>\$5,527,426</b>	<b>\$1,704,037</b>	<b>\$2,782,155</b>
Street Improvement Projects	\$2,192,854	\$1,766,687	\$7,006,517	\$1,391,046	\$4,447,192
Interfund Allocations	\$26,810	\$100	\$33,732	\$0	\$0
<b>Total Expense</b>	<b>\$2,219,664</b>	<b>\$1,766,787</b>	<b>\$7,040,249</b>	<b>\$1,391,046</b>	<b>\$4,447,192</b>
<b>Surplus/(Deficit)</b>	<b>\$188,794</b>	<b>\$848,505</b>	<b>( \$1,512,823)</b>	<b>\$312,991</b>	<b>( \$1,665,037)</b>
<b>Surplus and Reserves</b>	<b>\$503,770</b>	<b>\$1,352,275</b>		<b>\$1,665,266</b>	<b>\$229</b>

# City of Batavia 2020 Annual Budget

## Revenues

### Fund #43 — Street Improvements Capital

Acct.	Description	Actual 2017	Actual 2018	Approved Budget 2019	Estimated 2019	Proposed Budget 2020
4232	State & Federal Grants	\$1,746,680	\$1,252,209	\$4,120,815	\$402,250	\$1,576,155
	<b>01 Intergovernmental</b>	<b>\$1,746,680</b>	<b>\$1,252,209</b>	<b>\$4,120,815</b>	<b>\$402,250</b>	<b>\$1,576,155</b>
4122	Gasoline Tax	\$197,101	\$730,606	\$970,000	\$850,000	\$850,000
	<b>01 Municipal Taxes/Fees</b>	<b>\$197,101</b>	<b>\$730,606</b>	<b>\$970,000</b>	<b>\$850,000</b>	<b>\$850,000</b>
4453	Street Recapture	\$224,231	\$280,592	\$86,611	\$76,787	\$0
5000	Investment Income	\$446	\$1,885	\$0	\$25,000	\$6,000
	<b>06 Other Revenues</b>	<b>\$224,677</b>	<b>\$282,477</b>	<b>\$86,611</b>	<b>\$101,787</b>	<b>\$6,000</b>
5510	Transfer from General Fd10	\$240,000	\$350,000	\$350,000	\$350,000	\$350,000
	<b>07 Interfund Allocations</b>	<b>\$240,000</b>	<b>\$350,000</b>	<b>\$350,000</b>	<b>\$350,000</b>	<b>\$350,000</b>
<b>Total Revenue</b>		<b>\$2,408,458</b>	<b>\$2,615,292</b>	<b>\$5,527,426</b>	<b>\$1,704,037</b>	<b>\$2,782,155</b>

# City of Batavia 2020 Annual Budget

Fund # 43 — Street Improvements Capital

Department #00 — Revenues

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## Detail on Significant Items

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### Account

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<b>4232</b>	<b>State &amp; Federal Grants</b>	
	IDOT Participation for Phase 3 Construction Engineering of Main Street Reconstruction	\$50,000
	Prairie Street CE STP Grant Funding	\$187,500
	Prairie Street Reconstruction (IDOT Grant)	\$322,000
	STP Grant Phase 2 Prairie St - Ph 2 Eng	\$166,600
	Main Street Reconstruction (IDOT Grant)	\$850,055
	<b>Total</b>	<b>\$1,576,155</b>

# City of Batavia 2020 Annual Budget

## Expenditures

### Fund #43 — Street Improvements Capital

<b>Acct.</b>	<b>Description</b>	<b>Actual 2017</b>	<b>Actual 2018</b>	<b>Approved Budget 2019</b>	<b>Estimated 2019</b>	<b>Proposed Budget 2020</b>
6465	Land Acquisition	\$0	\$202,345	\$300,000	\$22,000	\$55,000
6471	Street Maintenance	\$1,685,569	\$1,005,275	\$1,025,000	\$878,179	\$872,000
6474	Infrastructure Construction	\$507,285	\$559,067	\$5,681,517	\$490,867	\$3,520,192
		<b>\$2,192,854</b>	<b>\$1,766,687</b>	<b>\$7,006,517</b>	<b>\$1,391,046</b>	<b>\$4,447,192</b>



# City of Batavia 2020 Annual Budget

Fund # 43 — Street Improvements Capital

Department #47 — Street Improvement Projects

## Detail on Significant Items

### Account

<b>6465</b>	<b>Land Acquisition</b>	
	Prairie Street Reconstruction - Easement Acquisition	\$55,000
	<b>Total</b>	<b>\$55,000</b>

<b>6471</b>	<b>Street Maintenance</b>	
	Resurfacing Area 3 - Phase 3	\$175,000
	Ward 1 Resurfacing	\$75,000
	Resurfacing Area 3 Phase 2	\$110,000
	New Sidewalk	\$100,000
	Sidewalk & Curb Replacement	\$125,000
	Remove concrete brick on east side of N Batavia Ave (Wilson-Houston) & replace with concrete.	\$32,000
	Street Patching	\$75,000
	Pavement Preservation	\$50,000
	Municipal Parking Lot Maintenance	\$55,000
	Materials Testing	\$5,000
	Remove permeable brick on River Street Install new regular brick for half the cost or replace permeable. The brick has settled & needs to be raised.	\$70,000
	<b>Total</b>	<b>\$872,000</b>

<b>6474</b>	<b>Infrastructure Construction</b>	
	Prairie Street Construction - \$2,225,000 less STP Grant \$1,668,750, IDOT Grant \$322,000 revenue, Net cost \$234,250. IDOT will let construction contract.	\$556,250
	Main Street Construction Engineering -\$529,000 less IDOT Participation \$50,000; Storm Fund \$40,000; Sanitary Fund \$40,000; Water Fund \$85,000 - Net Street \$314,000	\$364,000
	Prairie St Construction Engineering less STP \$187,500	\$250,000

# City of Batavia 2020 Annual Budget

Fund # 43 — Street Improvements Capital

Department #47 — Street Improvement Projects

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## Detail on Significant Items

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### Account

#### Grant

Rt 31 Road Diet Phase I Engineering \$75,000

Design Engineering for 2nd Phase of Prairie Street \$238,000

Main Street Reconstruction \$4,919,942 less STP \$1,986,942

\$2,500,000, IDOT Participation \$433,000, IDOT Grant  
\$850,036 reimbursement - net cost \$1,136,906) - IDOT  
will let construction contract.

Rt. 31 - Batavia Ave Road Diet Traffic Analysis \$50,000

**Total** \$3,520,192